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By

Thammapot Wattanapanalai

A Thesis

Submitted to the Faculty of the

J.B. Speed School of Engineering of University of Louisville

In Partial Fulfillment of the Requirements for the Degree of

Master of Science

in Civil Engineering

Department of Civil and Environmental Engineering

University of Louisville

Louisville, Kentucky

May 2018

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VJ G'RGTHQTO CPEG'QH'VJ G'I TCP WNCT'CP F 'CURJ CNV"VTCEMDGF U

By

Thammapot Wattanapanalai

A Thesis Approved on

April 26, 2018

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Finally, I would like to thank my mother, “ Soawarot Wattanapanalai”, who is unconditional support and sacrifice. Thus, this is my absolute honor to dedicate this dissertation to her.

## ABSTRACT

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Thammapot Wattanapanalai

April 26, 2018

In the last two decades, trains have been developed either to carry heavy weight of cargo or for high-speed rail transport. Nonetheless, track structure, have not been improved adequate to support the extra loads or dynamic vibrations of high-speed trains. As a result, the performance of track will be affected from heavy freight or high-speed trains. In the long term it results in trackbed damage by increasing the subgrade and ballast displacement. Besides, it negatively affects the performance of the locomotives. Therefore, alternative subballast, should be introduced to reduce the stress and deformation of the track under dynamic or heavy loads. Asphalt underlayment trackbed is an alternative solution, which has been applied in many countries. The

thickness of the asphalt layer varies from 10 cm to 20 cm, depends on the regulation of each country.

In this study Finite Element (FE) Program, ABAQUS, is used to simulate a three-dimensional railway track to predict the trackbed performance. The model is validated through an analytical model and experimental. The validated model then used to determine the effect of different parameters on the stress and displacement increments on the subgrade under a static load. In the next step, the comparison of the granular and asphalt trackbeds displacement has been studied. The numerical model is used to predict the stress-strain and displacement variations on the subgrade while the thickness of the asphalt layer is varied. Results show increasing the asphalt layer from 15 cm to 18 cm significantly reduces the stress and displacement of the subgrade and results in uniform displacement. Furthermore, results show placing the asphalt layer below the subballast is more efficient than above it. And using the theory of Winkley to determine the track modulus, which is one of the important indicator to determine the track performance.



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# CHAPTER 1 INTRODUCTION

## 1.1 Introduction

Railway affects our society in some way such as shipping cargo or providing fast and safe travels across the country. Railway industry has been developing a locomotive from steam locomotives to modern locomotives, like diesel or electric locomotive, with the purpose of serve customers with sufficient time and cost. Occasionally, a train can run on schedule, so it is impact to trust of train service. Therefore, a delay in railway industry results in the loss of millions of dollars on the trip.

It is well-known fact that modern locomotive's speed recently is reaching to the maximum speed of 300 km/hr for a passage train and a freight train contains double-stack with weigh around 100 tons. Either high speed trains or freight trains will generate a massive impact to conventional track. In order to keep the high performance of train's speed, the track has to be smooth. However, the increasing of speed and train load cause deformation, pumping, and attrition track. As a result, all train has to be stop to repair the track and this can cause the delay and maintenance cost.



Although increasing granular thickness is one of the regular method to improve the track performance, this technique is not economical. Therefore, many researches have been developing some new methods, like reinforcing subballast or using alternative material as subballast, to solve the failure in subgrade from major causes such as repeated load, soil condition, and environmental issues. As a result, the track performance is developed by increasing the strength of the track structure, extending the service life, and reducing the maintenance cost.

Installing an asphalt layer as subballast or adding an asphalt layer above or below the subballast has been applied recently in many countries; however, the effective thickness of asphalt layer has not been identified yet. For example, in the U.S. the thickness of the asphalt layer varies from 15.0 cm to 20.0 cm. While, European countries, like France, Italy, Spain, and Germany use 8.0-cm to 14-cm-thick asphalt layers.

## **1.2 Problem Statement**

The thickness of granular layer, combining with ballast layer and subballast layer, is from 450 mm to 600 mm for the conventional track design. However, to maintain the track performance and preparing for future development that could increases capacity and speed, the frequent maintenance is required with short period of time. Although alternative subballast, like asphalt or bitumen, is installed to improve the track performance and reduce the maintenance cost, the design of asphalt layer as subballast provides various thickness from 10 cm to 20 cm.

Therefore, in this study the optimum thickness of the asphalt layer will be proposed. A three-dimensional track structure will be simulated using finite element program, ABAQUS. A finite element model firstly will be validated using analytical and experimental data. The validated model will be used to investigate the track performance under loading with different thickness of asphalt layer, which are 10, 12 , 14 , 15, 18, 20, and 25 cm. Furthermore, this study will simulate the combination design, that use asphalt layer and granular subballast, to compare the sufficiency between installing asphalt layer above subballast and installing asphalt layer below subballast by fixing the thickness of asphalt layer at 15 cm. The result of this study will present stress and displacement under the sleeper and on the surface of subgrade comparing with the conventional track design that uses 15 cm of granular subballast. Chapter 2 of this thesis presents the brief literature review. In Chapter 3 the methodology and background of the numerical model are presented. In Chapter 4, a 2-D numerical model is discussed and then it provides the validation steps. Chapter 5 presents the 3D numerical model and sensitivity study. At the end, the conclusion and suggested future studies will be presented in Chapter 6.

## CHAPTER 2 LITERATURE REVIEW

### **2.1 Track Structure Components**

Over a century, track structure has been developing to support either high speed trains or locomotive freight trains. It is a quest for many researchers to design a reliable track with lower cost.

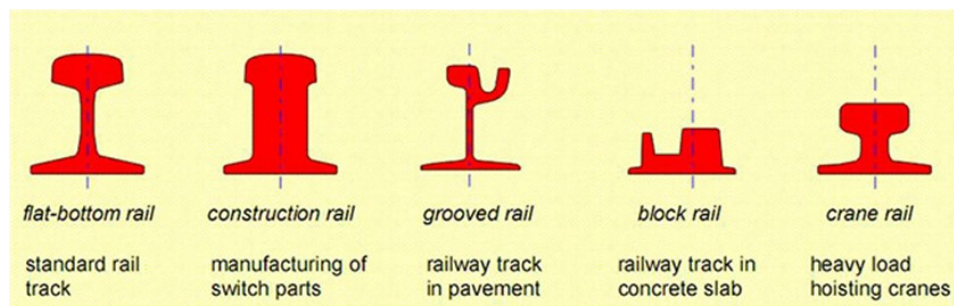
A rail track should provide a durable, and smooth surface. It also needs to reduce the pressure from wheel loads to the subgrade.

Railway tracks structure can be divided into superstructure and substructure. Superstructure combines rail, fastening system, and sleeper. The rail contacts directly with the train wheel and generates dynamic load with the joint connection of the rail, which transmits to other parts until it reaches the substructure. Substructure is constructed as layers, which is ballast, subballast, and subgrade. The significant function of each layer is to distribute stress and provide adequate vertical, lateral, and longitude resistance.

## 2.1.1 Superstructure

### 2.1.1.1. Rail

A design of rail cross sections has been developed over a century. Figure 2-1 shows different cross sections of the rail. Nowadays, with new technology, a rail cross section is produced from high carbon steel to become durable and prevent maintenance. The connection between rails or rail joints creates dynamic load (Chandra and Agarwal, 2007) that affects the whole track structure; therefore, many companies produce a very long rail to eliminate dynamic load. Other techniques, like continuous welded rail, are applied to improve the quality of service. However, a stronger and stiffer rail will not provide a better performance; in contrast, the stiffer rail will reduce service life of the track because of the deterioration.



**Figure 2–1 Different rail cross-section (Coenrad, 2001)**

### 2.1.1.2. Fastening

Rail Fastening not only fixes rails and sleepers but also attenuates dynamic load transferring from rail to the sleepers.

In addition, installing fastening will increase service life of rail and sleeper, since fastening reduces abrasion and corrosion between those two components. Single, double shoulder, and pandrol plate are commonly installed with wood sleeper, as shown

Figure 2-2.



**Figure 2–2 Types of rail-pad, which are single-shoulder, double-shoulder, and pandrol (A&K Railroad Material, Inc, 2013)**

### 2.1.1.3. Sleepers

Although sleepers (tie) can be produced from wood, concrete, steel, and composite/plastic, wood sleepers and concrete sleepers are common worldwide. For example, wood sleepers are the most commonly used in the United States because they are cheap can provide high flexibility and will reduce interaction between the train wheel and track structure. Unfortunately, wood sleepers are sensitive to water rot and decay. Unlike wood sleepers, concrete sleepers, which have a very high stiffness, provide some advantages such as resisting higher load, improving ballast life and longer

service life. However, production processes should meet the qualification of design and quality control, which includes the installation process of concrete sleepers. Although concrete sleepers have such strict instructions, Europe and Britain commonly use this type of sleeper.

### **2.1.2. Substructure**

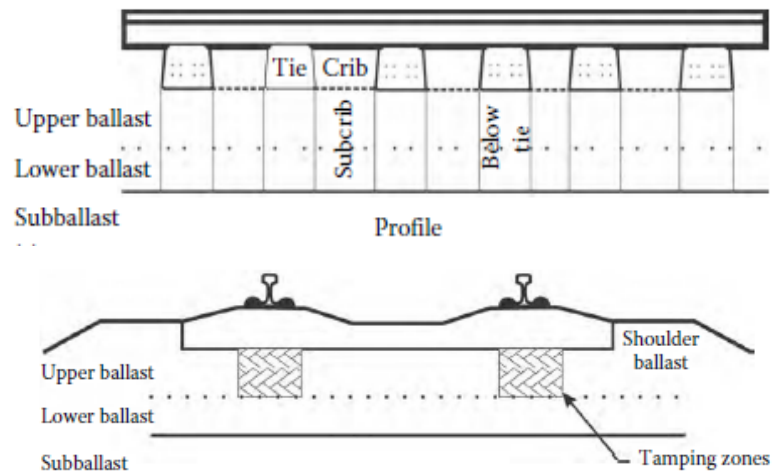
As the interdependent structure, a quality of the track performance depends on the substructure, thus a degradation of foundation will decrease the performance of the train such as reducing speed of the train, and creating pumping mud. The substructure of the track combines three main layers (ballast, subballast, and subgrade) to support and arrange drainage of the superstructure.

#### **2.1.2.1 Ballast**

Ballasts have a large grain size and uniform granular particle, made from crushed hard stone, like granite and basalt. The ballast layer should have enough void spacing to drain water from the track and accumulate small material, like abraded and broken ballast, known as fouling material; therefore, the average standard size of ballast is around 35 mm. Providing a thickness of the ballast layer increases resilience and damping to attenuate vibration transmission to the subgrade.

Ballast layer, which has thickness around 300 mm, is divided into different zones that are upper ballast, lower ballast, and tamping zone. The upper ballast is the zone that

usually disturbs from track maintenance, whereas lower ballast is not disturbed from tamping maintenance. Tamping zone is located at the upper ballast supports the sleeper directly under the rail, so this zone carries usually effect from the traffic load as shown in Figure 2-3.



**Figure 2–3 Profile and cross-section of ballast layer (Li et al, 2010)**

#### **2.1.2.2. Subballast**

Only the ballast layer cannot prevent progressive shear failure in the subgrade, so another layer known as subballast layer is placed between the ballast layer and the subgrade. The subballast layer is constructed with various sizes of gravel and sand with high compaction, thus this layer requires drainage freely and prevent the migration of subgrade particle. The other purpose of subballast layer is reducing the stress o the subgrade, so this layer should not plastically deform under cyclic load. Both function, separation and drainage, performances are influenced by grain size of the subballast. For example, constructed subballast with low fines grain provides a good drainage but

it will not achieve the function of separation between ballast and subgrade. Therefore, the subballast thickness is constructed with low fines content and thickness should not exceed more than 300 mm.

### **2.1.2.3 Subgrade**

Subgrade is the foundation of the track structure that supports dead load (track structure) and live load (train load) without any deteriorated consolidation. Unfortunately, subgrade is considered as the weakest component of the track, because it is difficult to detect a failure condition as well as to remediate it. Subgrade is consisted of either the placed soil (fill) or the natural soil (formation). However, the construction process usually lies a thin lay of place soil over the formation to provide a smooth surface in various elevation. In addition, Soil condition of subgrade should be considered whether coarse grained or fine grained. That is because subgrade should provide a stable foundation, which is not sensitive with environment damage.

## **2.2 Capacity of Modern Train**

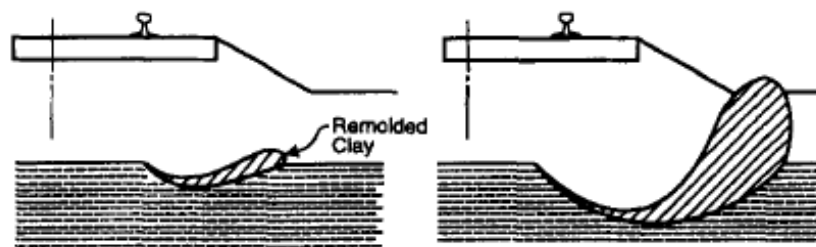
Increasing of car capacities, like higher horsepower and heavier locomotives, are accompanied by large track forces. According to CSX's railroad equipment, capacity of freight trains is around 70 to 100 tons with the maximum speed around 129 km/hr,



whereas the weight of passage train is around 65 tons with the maximum speed up to 350 km/hr.

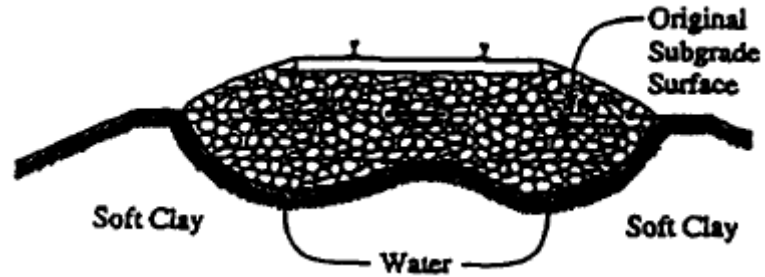
### 2.3 Track Structure Failure

Serval design methods have been developing to prevent the most common cases of failures, which are progressive shear failure and excessive plastic deformation or ballast pocket. Progressive shear failure, is the first case of failure, occurred under repetitive stress on the surface of fine-grain soil, like clay. In this case, the surface subgrade under rails slowly squeezes outward and upward push to a shoulder of the track (Li and Selig, 1998) as shown in Figure 2-4.



**Figure 2–4 Progressive shear failure develops on the subgrade surface (Li and Selig, 1998)**

The second case of failure happens when the subgrade is under repetitive stress which results in excessive plastic deformation. This creates ballast pocket as shown in Figure 2-5. This type of failure is a result of vertical deformation that causes by a progressive compaction which will affect a substantial depth of subgrade.



**Figure 2–5 Subgrade is excessive plastic deformation (Li and Selig, 1998)**

Both cases of failure are not completely independent because these two cases are occurred with the similar condition. Designing an optimum granular thickness is essential to provide a subgrade protection. However, the granular layer might not be good for other type of failures that reduces the ability to carry traffic load such as mud pumping, slope erosion, and swelling shrinkage. Furthermore, modifying the subgrade in case of “fix as needed” may be very expensive because of the delays in train operation and cost opportunity loss. To avoid subgrade problems, contractors need to determine subgrade soil characteristics that include soil type, physical state, and mechanistic properties.

## **2.5 Design Method**

Granular layer, is combined with Ballast and subballast layer, locates between sleepers and subgrade layer. Designing an effective granular thickness should be economical and achieve the function of reducing stress in subgrade. Thus, in several design codes, the thickness of the granular layer is selected to limit the traffic load-induced stress in the track subgrade (Li and Selig, 1998).

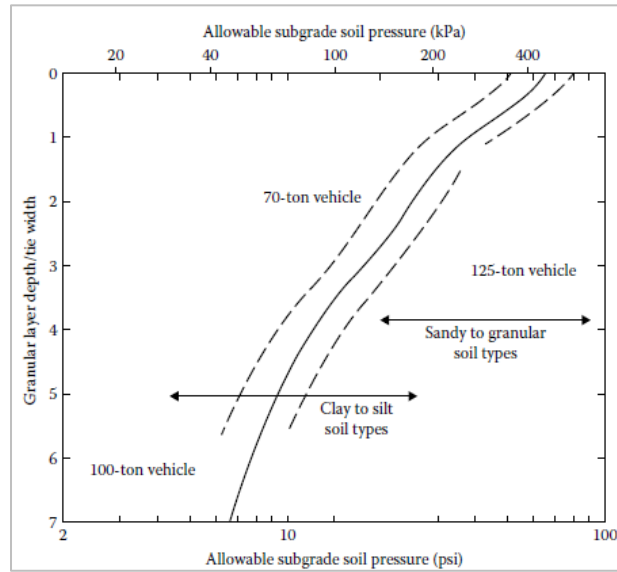
American Railway Engineering Association (AREA) recommends Talbot's equation that is based on field tests over many years, to determine the efficient granular thickness,  $H$ , and also recommends using 138 kPa for the allowable subgrade,  $P_c$ . However, this equation is not simplified in soft soil condition and soil condition becomes too conservative.

$$H = 0.24 \left( \frac{P_m}{P_c} \right)^{0.8} \quad 2 - 1$$

Where  $P_m$  is vertical stress applied on the ballast surface.

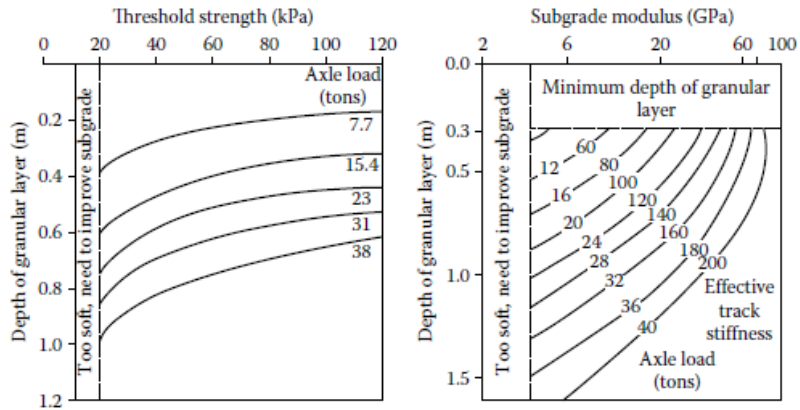
$P_c$  is 138 kPa for the allowable subgrade.

Raymond (1985) developed a design chart for vehicles weighing from 70 to 125 tons from the design AREMA by assuming ballast, subballast, and subgrade are homogeneous half-space. This design defines allowable bearing capacity subgrade from soil classification. Figure 2-6 shows the design chart that provides three different vehicle weights, which are 70-tons, 100-tons, and 125-tons. The granular thickness is defined by using correlation between vertical stress and the allowable bearing capacity of subgrade.



**Figure 2–6 Raymond design chart (Li et al, 2016)**

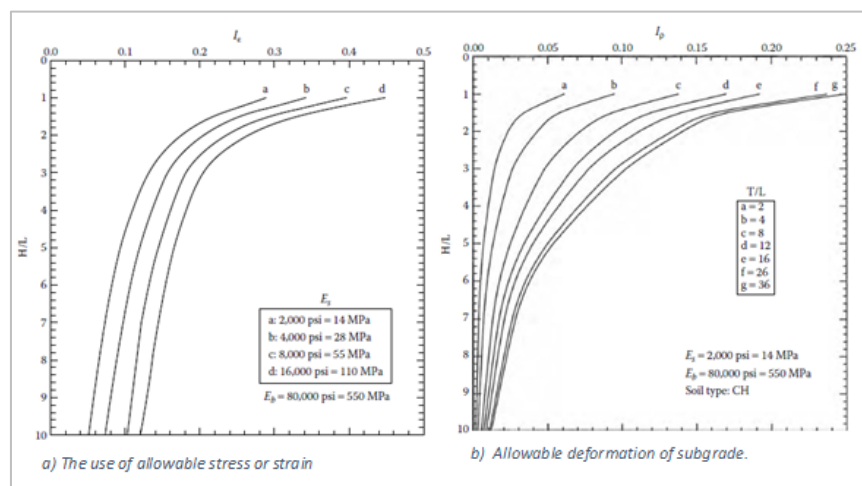
British railway introduces a design method to select a granular thickness to prevent progressive subgrade shear failure by comparing subgrade stress with threshold stress, which is a testing result of determining soil cumulative strain under repeated loading. If the stress reaches to the threshold stress level, the subgrade would certainly at plastic deformation state. On other hand if the applied stress is lower than the threshold stress level, the deformation of subgrade would be small. However, this method has a limitation in terms of the amount of cumulative tonnage. For example, designing a railway track with the same axle loads for 10 MGT and 100 MGT, both track design would have a similar design granular thickness.



**Figure 2-7 British Design chart that based on axle load and soil threshold (Li and Selig, 1998)**

Li-Selig (1998) suggested a design method to prevent the common subgrade failure types that are progressive shear failure and excessive plastic deformation by determining an effect of train induced stresses to the subgrade, and using as analytical program, known as GEOTRACK, to predict the expected cumulative permanent settlement of the subgrade.

The theoretical granular thickness for variety subgrade condition is defined by input the limit of settlement and comparing influence settlement from the train induced stress into GEOTRACK program. Then, the program will provide two design charts which gives the minimum thickness of the trackbed layers and a function of subgrade depth as shown in Figure 2-8.



**Figure 2-8 Example design chart after analyzed by using GEOTRACK (Li et al., 2016)**

## 2.6 Design Traffic Loading

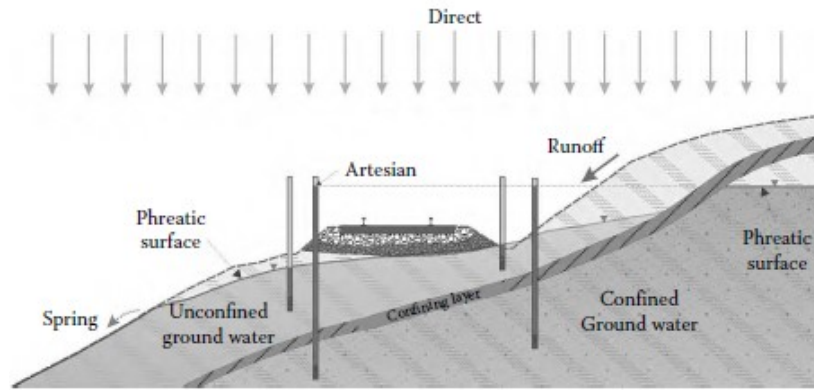
To design the railway track, it is important to understand amount of stress at the foundation due to variation of the axle load and wheel spacing. AREMA has been using a design live loads by developed cooper E series configuration.

Between heavy haul freight and a high-speed passenger train, both types of train apply different load characteristic to the track foundation. Several researchers show that increasing a train speed will increase of the dynamic load to the track vibration (Fu and Zheng, 2014, Li et al., 2017). Consequently, the induced stress distribution varies with the weight and speed of the train. American Railway Engineering Association (AREA) provides an equation converting from a static wheel load,  $P_s$  to a dynamic wheel load,  $P_d$  by determining the impact factor used in the calculation of the design wheel load is a function of the vehicle speed and the wheel diameter.

$$P_d = \left(1 + \frac{0.0052 V}{D}\right) \cdot P_s \quad 2 - 2$$

## 2.7 Drainage

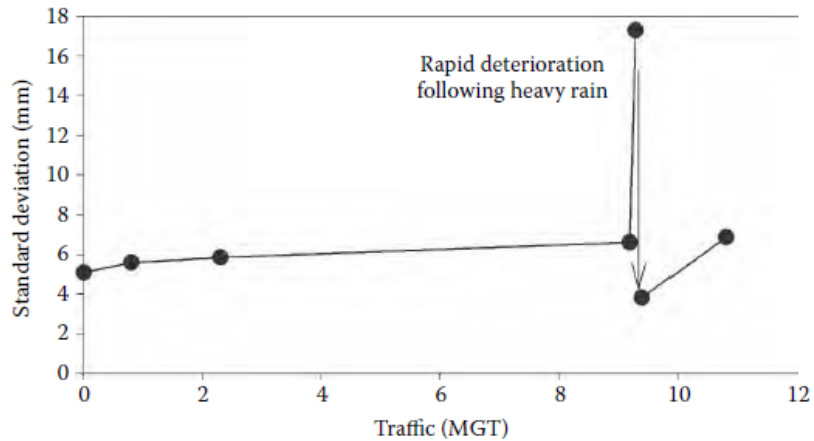
Many types of track failure are associated with the inadequate drainage system (Burrow et al., 2017), although it is the most crucial to reduce maintenance cost. The track drainage control has access from three major sources, direct water, runoff water, and ground water.



**Figure 2–9 Diagram of three major sources (Li et al., 2016)**

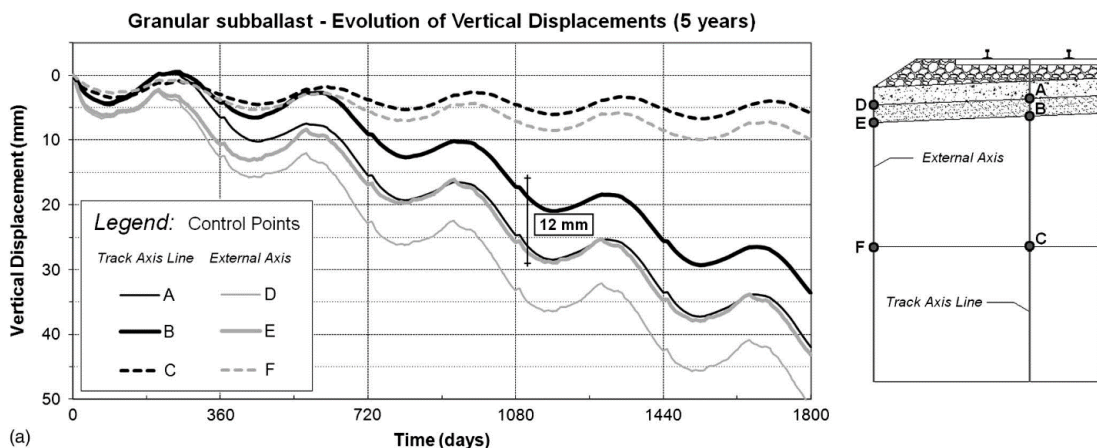
Figure 2-9 presents the track structure will get wet from water from various sources such as rain, surface water, and confined ground water. Various location will have a different precipitation, so designing the drainage system should consider the magnitude and duration for a certain area. In case of water remaining excessive in the substructure for over periods of time, it will be increasing the magnitude of deformation, and decreasing resilient modulus. In the other words, the ballast layer has a higher plastic strain and lower shear strength (Li et al., 2016).

Li et al., (1995) presents a study that the granular layer losses ability of carrying heavy axle load after a heavy rain, so the train operation is cancelled because of the track geometry has a large deformation. This situation can be explained that the granular layer is contaminated with foul ballast and water creating weak spot inside the track; consequently, the track losses a strength to carry a heavy load and will start the degradation. The track that has a problem with mud pumping perform poorly and will have a deformation along the wet bed and this problem will influence ride quality and restrictions of train speed (Hudson et al, 2016).



**Figure 2-10 Impact of poor drainage system effect to the track geometry (Li et al., 1995)**

The track geometry degradation is not only from the direct water but also comes from fluctuate of ground water. Variation of the groundwater table at different weather condition makes a negative impact to the long-term track performance. As a result, this problem leads to shrink-swell of soil and created uneven track settlement. Ferreira et al., (2011) conduct a simulation a changing of ground water level over 5 years. The result shows that the evolution of vertical displacement over 5 years highly impact at the middle of the track when comparing with other positions.



**Figure 2-11 the simulation of fluctuate of ground water impact to the railway track (Ferreira et al., 2011)**



## **2.8 Hot Mixed Asphalt as Alternative Subballast**

Installing Hot Mix Asphalt (HMA) as subballast provides to improve the performance of track structure in term of protect water penetrating into subgrade and increasing track stiffness. Two applications of installing HMA, are underlayment and overlayment, are dependent on the subgrade soil condition. Therefore, before installing asphalt layer should provide subgrade treatment to become more economical.

## **2.9 Previous Study**

Over a decade, several researchers perform either an experiments or simulation models to determine the strength and deflection of a railway track. Results from both methods show some agreement that the degradation of track structure happens because of either a freight train or a passenger train. Occasionally, the railway track was constructed on poor subgrade, like fines grain soil with lower bearing capacity. Some of researchers show a method of track improve, like installing asphalt layer, while other introduce a new material that is only increasing the strength but also increasing ability of attenuation vibration.

Table 2-1 presents a summary of the research has been performed in two decades.

**Table 2- 1 Summary of the previous study on track performance**

Author	Year	Methodology
Rose and Hensley	1991	Experiment
Buonanno and Raffaele	2000	Experiment
Li	2000	Experiment
Rose and Bryson	2009	Experiment
Lee et al	2014	Experiment
Mino et al	2015	Experiment
Wang et al	2005	Simulation model
Lei and Rose	2008	Simulation model
Yang et al	2009	Simulation model
Huang et al	2010	Simulation model
Galvin et al	2010	Simulation model
Huang et al	2012	Simulation model
Mino et al	2012	Simulation model
Fang et al	2013	Simulation model
Fu and Zhen	2014	Simulation model

## 2.9.1 Experimental Methodology

Rose and Hensley (1991) presented long-term testing to investigate the track modulus of asphalt subballast under traffic condition and climate condition. In this case, all location used the similar structural design and quality of hot mixed asphalt (HMA) as summary in Table 2-2.

**Table 2- 2 Location of HAM testing (Rose and Hensley, 1991)**

Location (Railroad)	Cleveland (RTA)	New Mexico (ATSF)	Oklahoma City (ATSF)	Conway, KY (CSX)
Traffic (MGT)	3	3	10	40
Year Constructed	1968	1969	1982	1983
HMA Width (m)	3	5	3.5	3.5
HMA Thickness (m.)	0.1 ~ 0.12	0.065 ~ 0.19	0.2	0.12 ~ 0.20
Ballast Thickness (m.)	0.3	0.25	0.2	0.12

Table 2-3 shows that in all locations HMA layer is was provided underlayment with a various thickness of asphalt and ballast layer due to different traffic loads. For example, the railway track at Oklahoma City was constructed by ATSF, installed 20 cm thickness of asphalt to serve a freight train, whereas RTA constructed railway track at Cleveland to serve a passenger train, uses asphalt thickness from 10 to 12 cm.

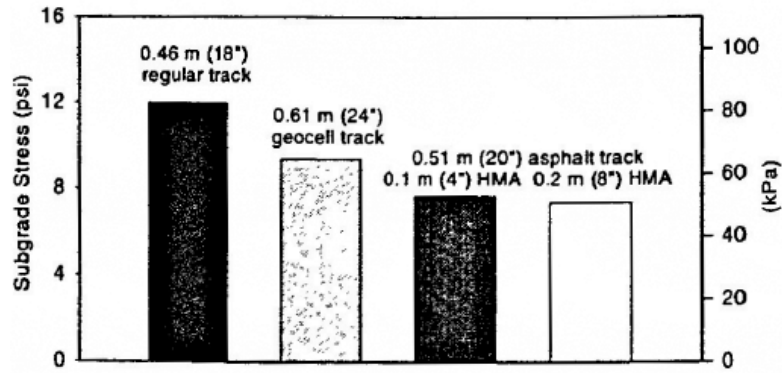
Results show that the track geometry of several mainline HMA trackbeds have no detectable deformations at 6-month intervals, although that all those mainline have a drainage and highly required for maintenance. Furthermore, the study chooses the accumulated date of track deflection from Conway, KY to calculate the track modulus by using correlation between stiffness of the track structure and static loading, known as Beam-On-Elastic Foundation method. The record from both locations show that

deflections of track under freight trains (100 ton) is around 4.6 mm, which presents 170 MN/m/m of the track modulus.

Buonanno and Raffaele, (2000) studies an alternative subballast for high speed train by using ultrasound speed test to evaluate energy absorption and vibration attenuation of four difference materials, which are bituminous grade 50/70, modified bituminous, bituminous with crumb rubber 4%, and bituminous with crumb rubber 8%. The result shows that modified bituminous with crumb rubber have the ability of energy absorption and vibration attenuation, and it is better than bituminous grade 50/70.

Li, (2000) conducts a field test to investigate three different improving track designs under poor subgrade condition. Increasing granular thickness, reinforce with geocell, and installing asphalt lay are a method of improving the conventional track on Clay-highly plasticity. The initial track was constructed from 0.46m of ballast thickness, and 0.15 m of subballast thickness.

From the investigation shows that all those three applications with extend service life of the track under freight train. However, increasing granular thickness around 0.2 m is not economical and the track will rapid deterioration after heavy rain. Reinforcement by geocell and installing asphalt layer significantly improve the strength of the track structure, but the track modulus of installing asphalt is around 25000 kPa, whereas the track modulus of reinforcing by geocell is 17200kPa. In addition, installing asphalt layer reduces stress of the subgrade layer as show in Figure 2-12.



**Figure 2-12 Comparison of subgrade Stress (Li, 2000)**

Rose and Bryson, (2009) conduct the following study to evaluate qualities of asphalt after service as the track layer for a several years from seven different locations as shown in Table 2-3.

**Table 2- 3 Summary of testing location (Rose and Bryson 2009)**

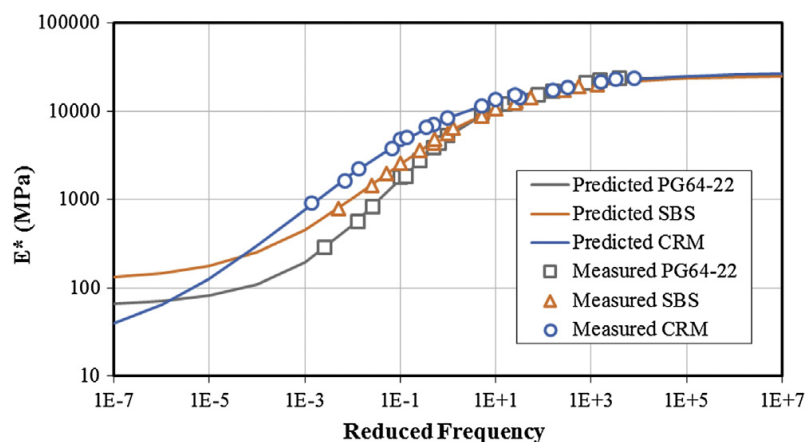
Location	Year Asphalt Track Installed	Age of Asphalt at Time of Testing
Conway, KY	1983	15 and 24
Cynthiana, KY	1984	14 and 23
Deepwater, WV	1984	14 and 23
Guthrie, OK	1989	9 and 18
Oklahoma City, OK	1982	16 and 25
Quinlan, OK	1995	3 and 12
Hoover, TX	1994	4 and 13

A sample of asphalt layers from seven locations were constructed as underlayment with a various thickness, which is from 12 cm to 20 cm. The sample of asphalt is tested with in-situ moisture contents, standard proctor moisture contents, and California Bearing Ratio (CBR). And then they compared the result with the previous measurement in 1998.

After tested, the result showed that the average of in-situ moisture is decreased around 0.1 percent and the optimum moisture content changed less than 1 percent and still achieved the maximum density. As a result, the qualities of the asphalt layer serving as subballast is not reducing over time, in the other words, the test result does not detect any deterioration or cracking of the asphalt.

Lee et al, (2014) conducts an experiment to compare three different asphalt binders, which those are PG 64-22, asphalt mixed with crumb rubber (CRM), and styrene-asphalt mixed with butadiene styrene (SBS), with regard to the strength and deformation for railway substructure. All three samples are tested by using dynamic modulus test, uniaxial creep test, indirect tensile test, and Flow number.

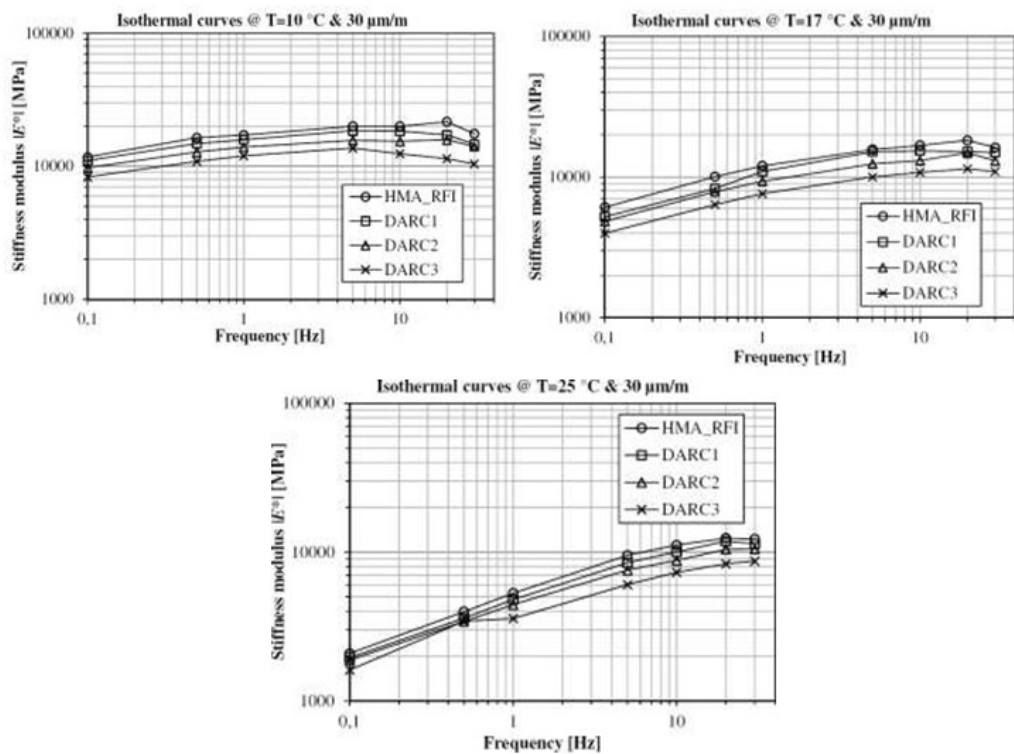
The result shows that the stiffness of SBS is higher than the stiffness of CRM and PG 64-22 at the low frequency, which is equivalence with high temperature. The result also shows that SBS and CRM have some similarity of characteristics, in particular rutting and creep. Therefore, using SBS or CRM as subballast may provide a better track performance.



**Figure 2–13 Stiffness of three different materials under low frequency (Lee et al, 2014)**

Mino et al, (2015) uses four-point bending test to determine the stiffness modulus of Hot Mixed Asphalt combining with various percentages of dry crumb rubber (DARC), which are 1%, 2%, and 3.5%. The result of this study is compared with a general hot mixed asphalt. Applied various temperatures, which are 10 °C, 17°C, and 25°C, with the constant strain at 30 µm/m. Furthermore, various frequencies, which are 0.1 Hz and 20 Hz, are applied to determine the stiffness modulus.

The result of this study shows that if the percentage of crumb rubber is increased into hot mixed asphalt, the stiffness modulus will be decreased. Similarly, the stiffness modulus of hot mixed asphalt with the same percentage of crumb rubber is also decreased with increasing of temperature as shown in Figure 2-14.



**Figure 2–14 Modulus stiffness versus frequency under different temperatures, 10 °C, 17°C, and 25 °C. DARC 1, DARC 2, and DARC 3 are indicated as 1%, 2%, and 3.5% of crumb rubber, respectively (Mino et al, 2015)**

## **2.9.2 Simulation by using Finite Element Analysis**

Wang et al, (2005) determined attenuate vibration of four materials used as subballast, which are ballast, concrete, asphalt, and asphalt mixed with 20% of crumb rubber (RMAC), for a high-speed train by using three-dimensional finite element program, ABAQUS.

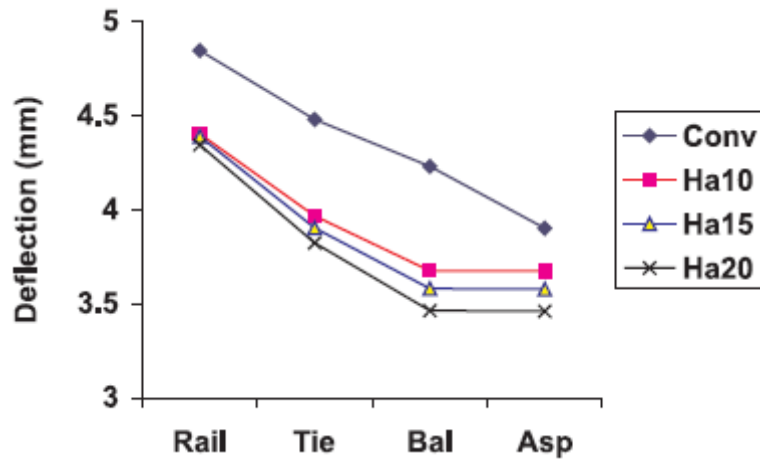
All of materials are simulated with the same thickness at 15 cm and applied linear elastic and 20 node-quadratic with Euler-Bernoulli beam theory to present mesh. And then applied load 37.5 kN to 0.75 m<sup>2</sup>, that equivalent to the rail supports the train force at 75 kN.

The result in this study shows that asphalt and RMAC are able to reduce the ground acceleration around the track significantly but the ground acceleration at the middle increased around 3.5%. Using concrete as subballast shows the ground vibration is increased at middle and around the track, when comparing with other materials.

Lei and Rose, (2008) investigated the deformation of the track by various thickness of asphalt combining with 20% of crumb rubber due to the load at 142.5 kN. Furthermore, the study also determined an impact from changing the percentage of crumb rubber into asphalt. The continuous elastic beam model (Euler-Bernoulli beam) is applied to simulate four layers of the track, including the asphalt layer.

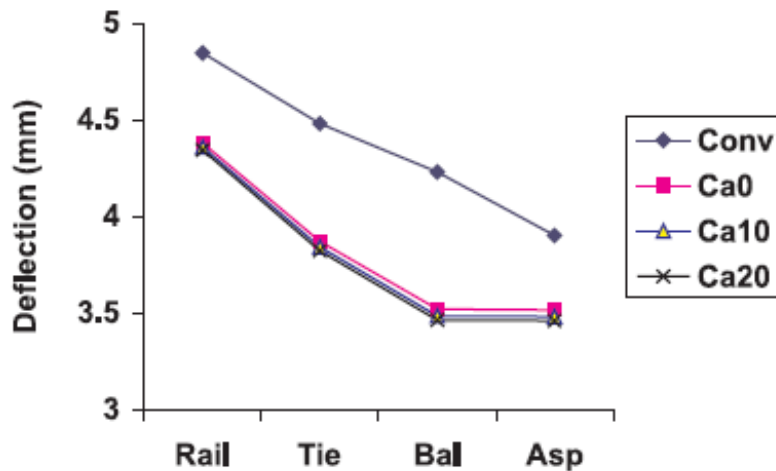
The analytical result shows that increased thickness of the asphalt layer will improve the track performance in term of reduced deflection of the track as shown in Figure 2-15.





**Figure 2–15 Comparison between granular layer and three different thickness of asphalt layer (Lei and Rose, 2009)**

The study also shows a comparison of deflection in term of adding various percentages of crumb rubber into hot mixed asphalt, there are not significantly difference as shown in Figure 2-16.



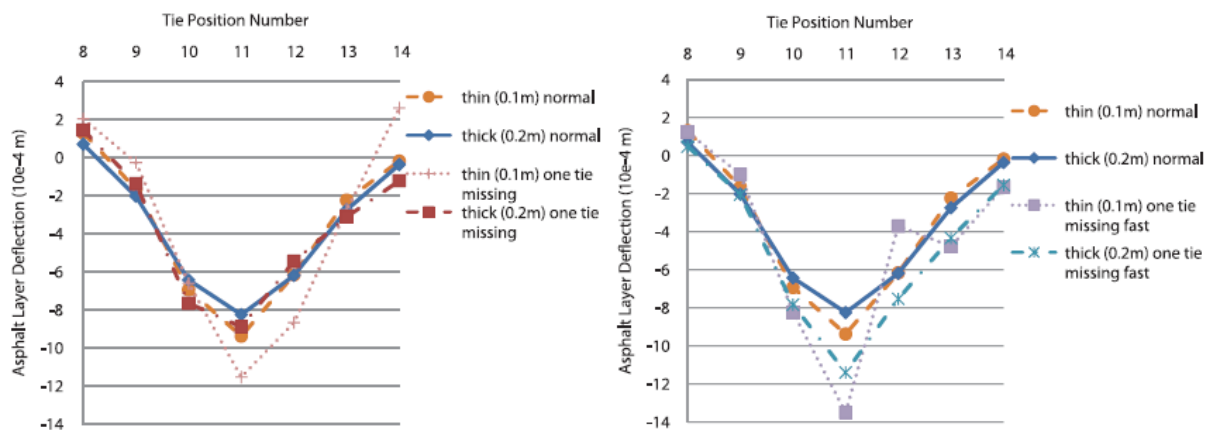
**Figure 2–16 Comparison between granular layer and 20 cm of HMA with 0%, 10%, and 20% of crumb rubber (Lei and Rose, 2009)**

Yang et al (2009) simulates two-dimensional conventional track by using ABAQUS program to determine the stress under a freight train’s speed at 47.5 km/h. All components of the track are simulated as solid element with elastic properties. The

result shows that the shear stress is related with a speed of the train. Thus, increased speed of train also increases the vertical stress and vertical displacement.

Huang et al, (2010) determined discontinuous of track that installed the asphalt layer by modeling a 2-D track structure as Euler-Bernoulli beam. The structure of simulation is called “sandwich type structure” because this simulation modeled beam on discrete support on beam, similar with sandwich. Purposes of this study are to compare the performance of asphalt layer between 10 cm and 20 cm under two different speeds of a passage train, which are normal speed (20m/s) and high-speed (50m/s).

The result shows that the deflection of track, that used 20 cm of asphalt layer, is less impacted under both speeds of the train, even though the track loses one tie support. On the other hand, using 10 cm of asphalt layer may not impact from the train’s normal speed, but the track is highly influenced from the train’s high speed as shown in Figure 2-17.

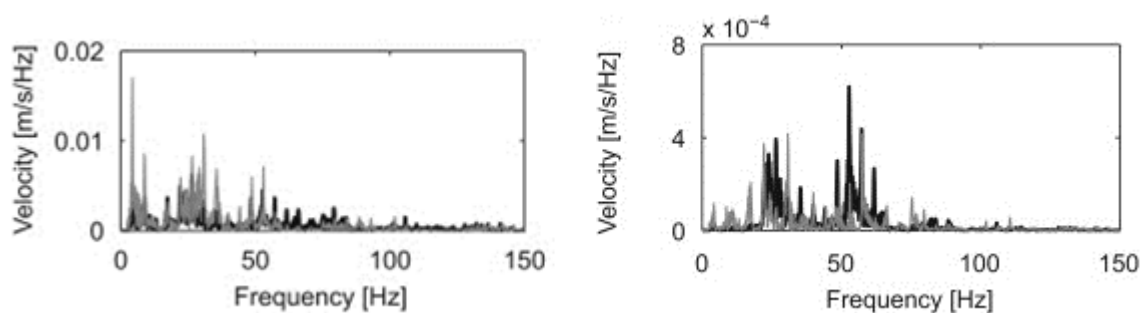


**Figure 2–17 Deflection of asphalt layer profiles between 10 cm and 20 cm under train speed at 20 m/s (Left) and train speed at 50 m/s (Right) (Huang et al, 2010)**

Galvin et al, (2010) simulated a conventional track due to a train's speed at 298 km/h to predict the ground vibration of the track and surrounding. In this case, a vehicle that has two cars and eight passengers, is represented as a multi-body system, and the track is simulated as a half-space by the boundary element model. The result is displayed by using nine nodes rectangular and six nodes triangular quadratic element and comparing with the experimental.

This analysis shows that the result of analytical model and experimental have the agreement on the frequency at 8 m from the train's speed at 298 km/h as shown in

Figure 2-18

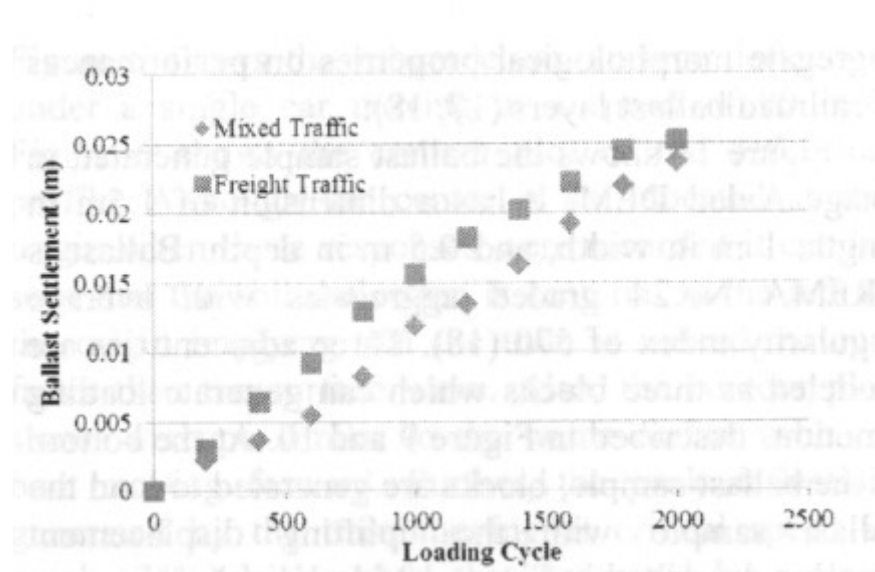


**Figure 2–18 Frequency content of the vertical velocity at the sleeper (Left) and 8 m from the track (Right) under the passage train (Galvin et al, 2010)**

Huang, (2012) determined an immediate performance of conventional track under a normal train's speed (20m/s) and a high train's speed at 90m/s. This study uses Discrete Element Modelling (DEM) to determine the settlement of ballast under both speeds. The study simulates the track design of AREMA by using three-dimensional sandwich model that adopted from his previous research. Thus, this simulation is similar with

two-dimensional model, which is beam (rail) on support structure (ballast) on beam (subballast).

After applied load 2,000 cycles, the result shows that the freight train created the settlement around 0.025 m in ballast layer, while the displacement of ballast under mixed traffic is slightly lower than 0.025 m.

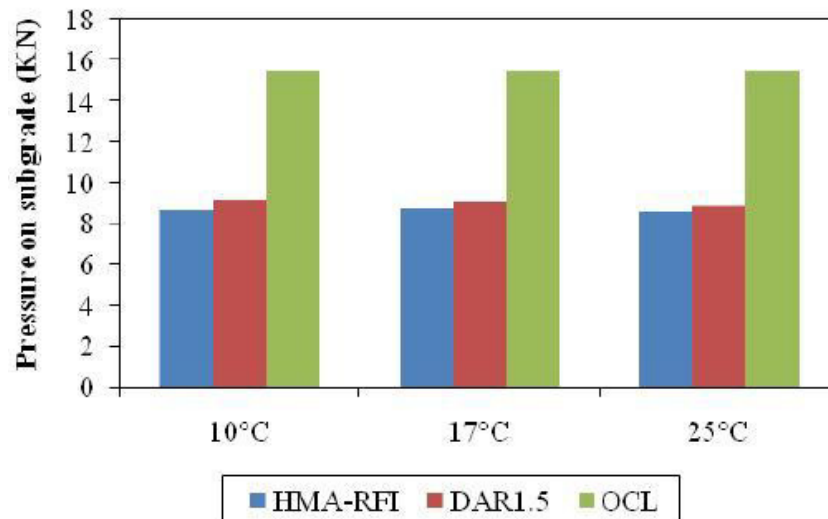


**Figure 2–19 Ballast displacement due to a freight traffic and mixed traffic (Huang, 2012)**

Mino et al, (2012) simulated a two-dimensional track comparing the performance between asphalt and asphalt combining with various percentages of crumb rubber, which are 1% and 5%. This research also focuses on the track's performance in typical average temperatures of Southern Italy, which are which are 10 °C, 17°C, and 25°C. The model simulated four levels of track as Euler Bernoulli beam and thickness of asphalt layer is 12 cm. After analyzed, the result shows that the deflection between using

asphalt without crumb rubber and using asphalt with crumb rubber is not significantly different due to temperatures.

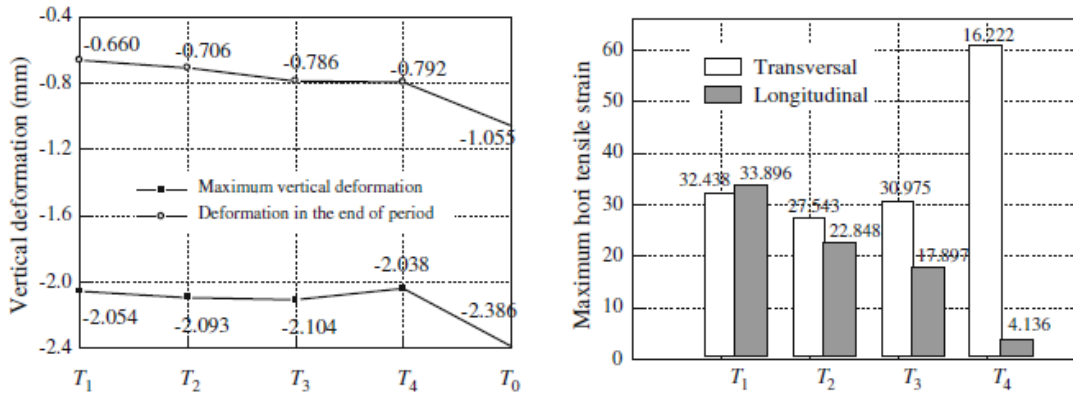
However, using asphalt combining with crumb rubber shows the pressure of subgrade is slightly higher than using general asphalt as shown in Figure 2-20.



**Figure 2–20 Pressure on subgrade between three different subballast (Mino et al, 2012)**

Fang et al, (2013) used the finite element program, ABAQUS, to determine the optimal location of 15 cm of asphalt thickness layer due to 200 km/h of high speed train. This model simulated components of the track structure as solid elements. Furthermore, the mesh of hexahedral elements, known as C3D8R, is chose to present the result.

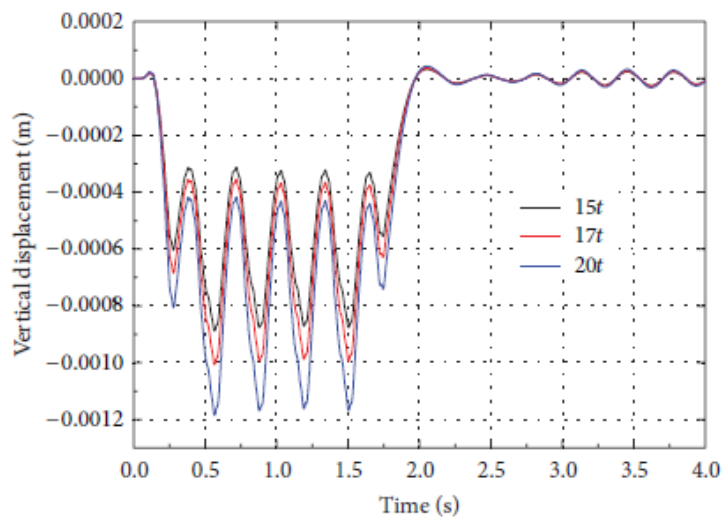
Analytical result shows that the optimal location of using asphalt layer should be located on top of subballast layer. That is because at this location presents the vertical displacement is around 2.093 mm, having less horizontal strain tan other position as shown in Figure 2-21.



**Figure 2–21 Vertical displacement (Left) and horizontal strain (Right) (Fang et al, 2013)**

Fu and Zhen, (2014) analyzed a conventional track at four different speeds, which are 60, 80, 100, and 120 km/h, by using ABQUS. This simulation considers components of the track as linear elastic. Bed-rock or bottom of the boundary is fixed in every direction to limit ground movement after being analyzed. Hexahedral element is selected to present the result of this analysis.

Analytical result shows that the vertical displacement is related with the train speed shown in Figure 2-22.



**Figure 2–22 Vertical displacement under three different loaded (Fu and Zheng, 2014)**

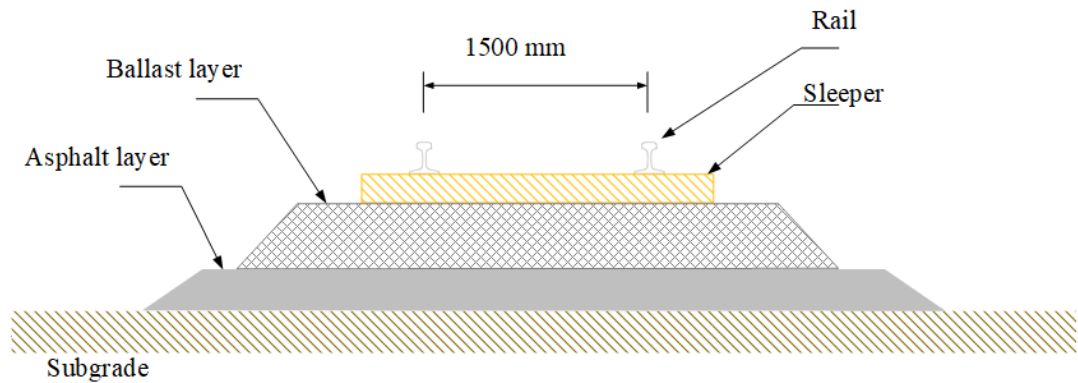
## CHAPTER 3      METHODOLOGY

### **3.1 Design of Hot Mixed Asphalt**

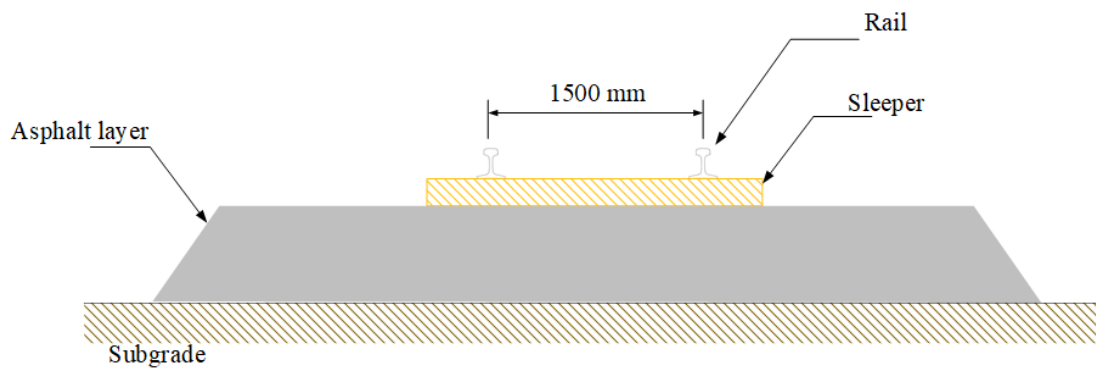
Hot mix asphalt can be placed below the ballast to improve the track's performance.

This underlayment method is shown in Figure 3-1. Thickness of the asphalt layer for this method varies from 10 cm to 20 cm. On the other hand, for the overlayment or full-depth method the whole ballast and subballast layers will be substitute with the asphalt layer. Thickness of the asphalt in this method should be in the range of 15 cm to 45 cm (See Figure 3-2). However, the underlayment design is the most common method, particularly for the freight operation track, because this method is easily adjusted the track geometry.

Furthermore, the ballast layer will protect the asphalt layer from environment condition such as sunlight, rain, and changing in temperature. However, for both methods quality control testing should be done to check temperature, density, and strength, to ensure asphalt reaching to the design specifications (Rose and Hensley, 1991)



**Figure 3–1 Cross-section of underlayment**



**Figure 3–2 Cross-section of overlayment**

### 3.2 Static Stress Analysis

Static stress analysis can be applied to determine either linear or nonlinear without an influence from inertia and time-dependent material effects such as creep, swelling and viscoelasticity, including some properties such as pore fluid flow, mass diffusion, and thermal properties. However, Static stress analysis still consider rate-dependent plasticity and hysteresis behavior for hyperelastic materials.



The bottom boundary considered to be fixed and all other four boundaries can only have vertical displacement. Stress, strain, and displacement were compared and predicted at different locations such as under the tie, and above the subgrade.

Although linear static analysis reduces the computational analyses, it has a limitation to present some effect such as large displacement, nonlinear material and boundary; therefore, nonlinear static is applied in this study by using Newton's method to calculate nonlinear equilibrium equation. Furthermore, the increment size has some restriction in the algorithmic, so increments selected by using automatic increments scheme to ensure the correct modeling.

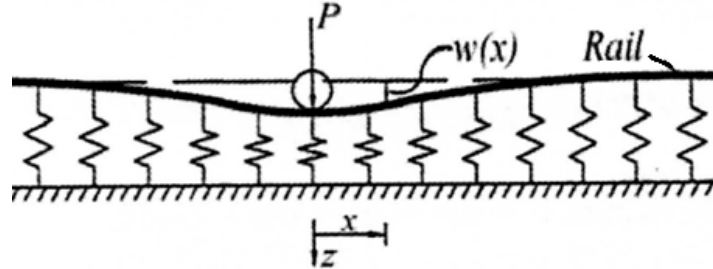
### **3.3 Methods for Determining Track Modulus**

Track modulus or modulus of elastic of rail support is one of the important parameters that influence the track performance, which is determined from the correlations between deflection of the track and the traffic loading. According to Hooke's law, a concentrated vertical load ( $P$ ) produces the maximum deflection ( $\delta$ ), so the spring stiffness,  $k$ , is defined as following.

$$k = \frac{P}{\delta} \qquad 3 - 1$$

With the same theory, assuming that the track structure as a continuous beam support of the rail which is placed above the uniform spring layer. This layer of spring is

combined with the remainder of the track structure, ballast layer, subballast, and subgrade as shown in Figure 3-3.



**Figure 3–3 Winkler track foundation model (Cai et al. 1994)**

Figure 3-3 illustrates the concept of Winkler method (Beam on elastic foundation method) that a concentrated load from the train wheel,  $P$ , produces a vertical rail deflection at point  $x$ ,  $w(x)$ . The remaining parts such as sleepers, ballast, subballast will distribute the vertical wheel load,  $q(x)$ , which is equal to track modulus,  $k$ , multiple with the vertical deflection,  $w(x)$ .

The difference between applied load and reaction load will lead a curvature in the beam as in Eq.3-2:

$$EI \frac{d^4 w(x)}{dx^4} + kw(x) = P(x) \quad 3 - 2$$

Where  $EI$  is a flexural rigidity of the rail beam.

Since the train wheel is a single concentrated load applied vertically on the rail, the calculated deflection of the rail from the differential equation yields to:

$$w(x) = -\frac{p\beta}{2k} e^{-\beta x} (\cos \beta x - \sin \beta x) \quad 3 - 3$$

where  $\beta = \sqrt[4]{\frac{k}{4EI}}$ , and  $x$  is the longitudinal distance.

### 3.4 Finite Element Expression of Static stress analysis.

The principle of virtual work can be applied to define the formula of the element stiffness matrix and a small change of the configuration in a system without requiring much mathematics. In case if the admissible displacement is occurred from applied loads, the equilibrium configuration can be used to explain initial strains, body forces, and surface traction relate with load vector. Results are based on interpolation of displacements from nodal degree of freedom.

In the other words, admissible displacement,  $u$ , that stores energy in term of the increment of strain,  $\varepsilon$ , is equal to the increment of work done by body force,  $F$ , in volume,  $V$ , and surface traction,  $\phi$ , on surface,  $S$  as shown in Eq.3-4:

$$\int (\delta\varepsilon)^T \{\sigma\} dV = \int \{\delta u\}^T \{F\} dV + \int \{\delta u\}^T \{\phi\} dS \quad 3 - 4$$

From Eq. 3-4, the symbol of differential,  $\delta$ , uses for virtual displacement.

The displacement,  $u$ , transforms to the deformation of element by using the relationship between the nodal displacement of an element,  $d$ , and shape function,  $N$ .

$$\{u\} = [N]\{d\} \quad 3 - 5$$

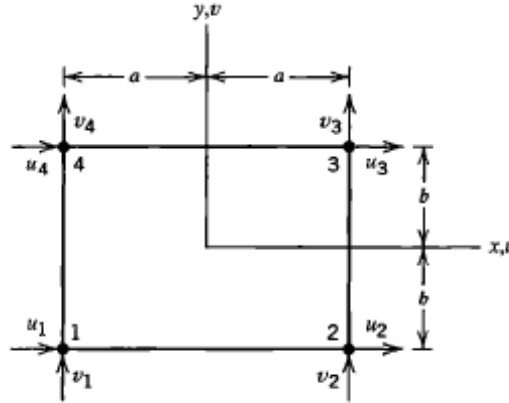
Where  $\{u\}$  is transpose matrix of displacement on x, y, z-axis.

Furthermore, the strain,  $\varepsilon$ , is a derivative of displacement,  $\partial u$ , and the strain-displacement matrix,  $[B]$ , is equal to the derivative of shape function,  $\partial N$ . Substituted, strain and strain-displacement matrix into Eq. 3-6 to indicate the element stiffness matrix.

$$[k] = \int [B]^T [E] [B] dV \quad 3 - 6$$

where  $[E]$  is a constant of elastic modulus.

In case of one-dimensional linear in xy-plane, the shape function contains four-node plane element, known as bilinear rectangle (Q4) as shown Figure 3-4.



**Figure 3–4 Rectangular on xy-plane, that displacement u on x-axis and displacement v on y-axis (Cook et al, 2002)**

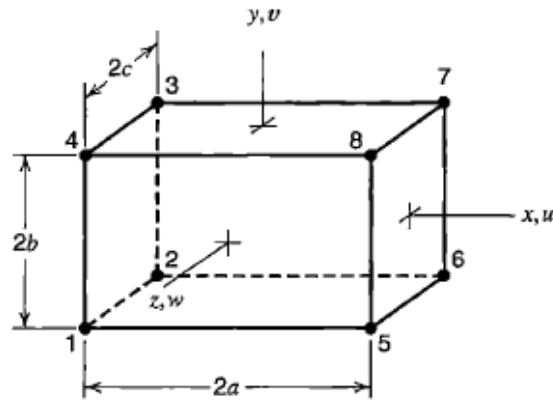
Figure 3-4 shows the rectangular on xy-plane, which has wide from  $-a$  to  $a$  on x-axis and height is from  $-b$  to  $b$  on y-axis, thus the element displacement field is rearranged into the matrix as Eq.3-7

$$\begin{Bmatrix} u \\ v \end{Bmatrix} = \begin{bmatrix} N_1 & 0 & N_2 & 0 & N_3 & 0 \\ 0 & N_1 & 0 & N_2 & 0 & N_3 \end{bmatrix} \begin{Bmatrix} u_1 \\ v_1 \\ u_2 \\ v_2 \\ u_3 \\ v_3 \\ u_4 \\ v_4 \end{Bmatrix} \quad 3 - 7$$

Therefore, the element stiffness matrix is determined from bubble integral multiple with the element thickness,  $t$ , as shown Eq.3-8.

$$[k]_{8 \times 8} = \int_{-b}^b \int_{-a}^a [B]_{8 \times 3}^T [E]_{3 \times 3} [B]_{3 \times 8} t \, dx \, dy \quad 3 - 8$$

If the thickness,  $t$ , is expanding on  $z$ -axis with  $2c$  (shown Figure 3-5), the rectangular plane will become a rectangular solid element, or called “brick element”, which has the displacement,  $w$ , on  $z$ -axis.



**Figure 3–5 Brick element, which has eight-node element (Cook et al, 2002)**

Consequently, the shape function becomes three linear functions, namely trilinear, and the relationship of shape function and element displacement is illustrated as Eq.3-9.

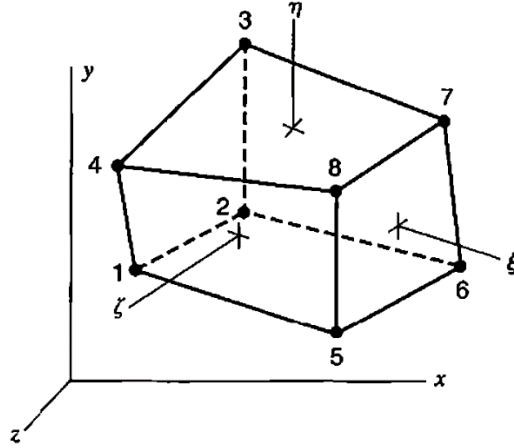
$$\begin{Bmatrix} u \\ v \\ w \end{Bmatrix} = \begin{bmatrix} N_1 & 0 & 0 & N_2 & 0 & 0 & N_3 & 0 & 0 & \dots \\ 0 & N_1 & 0 & 0 & N_2 & 0 & 0 & N_3 & 0 & \dots \\ 0 & 0 & N_1 & 0 & 0 & N_2 & 0 & 0 & N_3 & \dots \end{bmatrix} \begin{Bmatrix} u_1 \\ v_1 \\ w_1 \\ u_2 \\ \vdots \\ w_8 \end{Bmatrix} \quad 3 - 9$$

As a result, the element stiffness matrix resembles, that is restricted to rectangular shape, as Eq.3-10.

$$[k]_{24 \times 24} = \int_{-c}^c \int_{-b}^b \int_{-a}^a [B]_{24 \times 6}^T [E]_{6 \times 6} [B]_{6 \times 24} dx dy dz \quad 3 - 11$$

ABAQUS program uses the hexahedral isoparametric or known as “C3D8R”, as a common element type, to do the numerical integration and calculate the stiffness matrix as well as the nodal displacement. In this case, the solid isoparametric elements are not sensitive to distortion and allows a number of nodes, curvatures of edges, or faces of a

hexahedral lie on the extension axes at  $\xi = \pm 1, \eta = \pm 1, \text{ and } \zeta = \pm 1$  as shown in Figure 3-6.



**Figure 3–6 Eight-nodes hexahedral as nonrectangular shape (Cook et al, 2002)**

From Figure 3-6, the shape of rectangular solid becomes nonrectangular solid, so the shape function is influenced by analogously Jacobian matrix,  $[J]$  as Eq. 3-12

$$[J] = \begin{bmatrix} x_{,\xi} & y_{,\xi} & z_{,\xi} \\ x_{,\eta} & y_{,\eta} & z_{,\eta} \\ x_{,\zeta} & y_{,\zeta} & z_{,\zeta} \end{bmatrix} = \sum_i \begin{bmatrix} N_i x_{,\xi} & N_i y_{,\xi} & N_i z_{,\xi} \\ N_i x_{,\eta} & N_i y_{,\eta} & N_i z_{,\eta} \\ N_i x_{,\zeta} & N_i y_{,\zeta} & N_i z_{,\zeta} \end{bmatrix} \quad 3-12$$

Furthermore, the shape functions of Eight-nodes hexahedron are defined as Eq. 3-13

$$\begin{aligned} N_1 &= \frac{1}{8}(1 - \xi)(1 - \eta)(1 + \zeta) \\ N_2 &= \frac{1}{8}(1 - \xi)(1 - \eta)(1 - \zeta) \\ N_3 &= \frac{1}{8}(1 - \xi)(1 + \eta)(1 - \zeta) \end{aligned} \quad 3-13$$

Thus, the stiffness matrix for nonrectangular can be resembled by including Jacobian matrix,  $J$  as Eq. 3-14

$$[k]_{24 \times 24} = \int_{-1}^1 \int_{-1}^1 \int_{-1}^1 [B]_{24 \times 6}^T [E]_{6 \times 6} [B]_{6 \times 24} J d\xi d\eta d\zeta \quad 3-14$$

### 3.5 Mohr-Coulomb

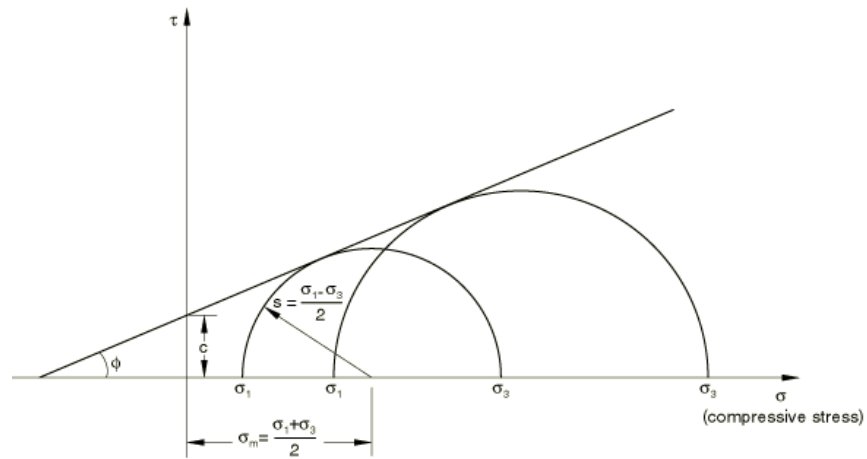
Several theories have been developed to explain the time of failure in materials under stress condition. Although some theories are able to describe and satisfy the failure behavior, some of those are very complex to use for practice and need lots of parameters to define the constitutive model. In Geotechnical Engineering, Mohr-coulomb theory is traditionally applied to soil and can appropriately model the soil failure and soil behavior under the normal condition.

This theory is applied to finite element model known as Mohr-coulomb plastic model, that can be used with any stress/displacement element and will allow the potential of materials either harden and/or soften as a hyperbolic shape relating to stress plane, and a piecewise elliptic shape in the deviatoric stress plane.

In Mohr-coulomb model, a linear failure envelope to determine the critical combination of normal stress and shear stress will be considered. In other words, the shear stress and the normal stress produce the friction angle that represents the plane failure (Labuz and Zang, 2012). From the relation between shear strength and normal stress, it can be illustrated by plotting Mohr's circle to present a state of stress with the mathematic function of failure envelop as shown in Figure 3-7.

$$\tau = c - \sigma \tan \theta \qquad 3 - 15$$

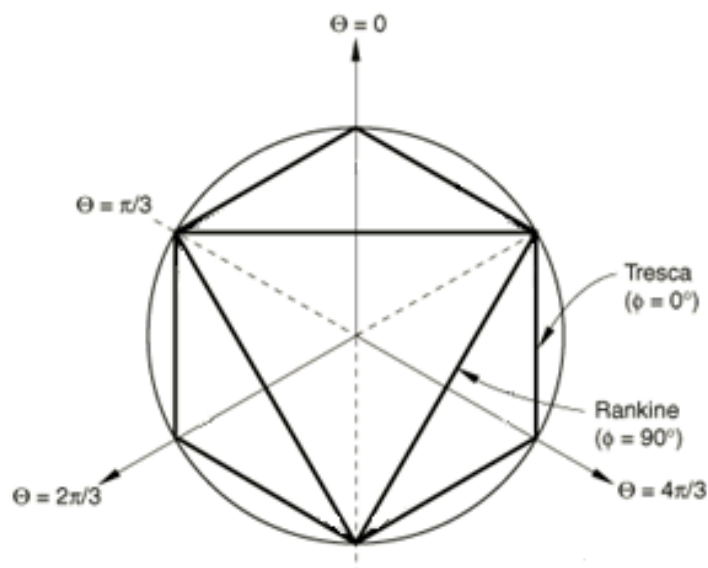
- Where
- $\tau$  is a shear strength of the soil.
  - $C$  is a cohesion of the soil.
  - $\sigma$  is a compressive stress.
  - $\theta$  is friction angle.



**Figure 3–7 Mohr's circle at failure (ABAQUS 6.14, 2014)**

Figure 3-7 presents the half of Mohr's circle diagram for a soil element, which compressive stress and shear strength act on to the tangency points between the circle and the failure envelop. At the average of principal stress or the difference between the maximum principal stress and minimum principal stress, the maximum shear stress can be defined.

The shape of yield surface in the deviatoric plane as shown Figure 3-8, which has the rang from 0° to 90°, is controlled by the friction angle.



**Figure 3–8 Mohr-Coulomb surface in deviatoric plane (ABAQUS 6.14, 2014)**



The pressure-independent Tresca model is formed a perfectly hexagonal deviatoric section at friction angle equal to  $0^\circ$ . On other hand, at the maximum friction angle at  $90^\circ$ , the tension cutoff Rankine model is reduced and form a triangular deviatoric section. Mohr-Coulomb plasticity model has been used to define the subgrade. Note, elastic behavior is considered for all other pieces such as, rail, sleeper, asphalt subballast, and ballast behavior.

## CHAPTER 4      VALIDATION OF THE MODEL

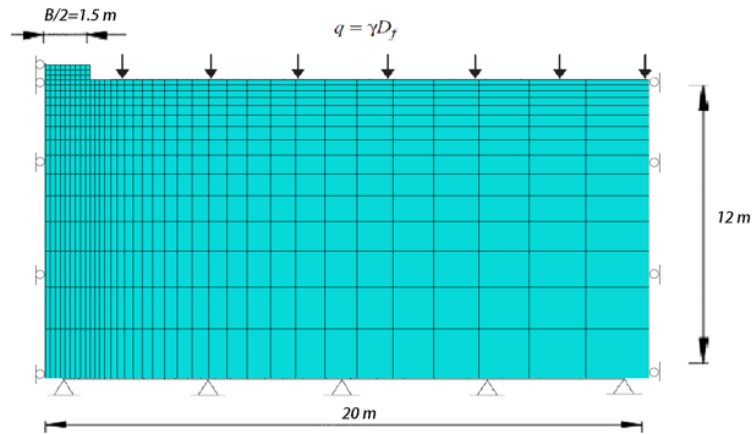
### 4.1 Model Calibration

#### 4.1.1 Bearing Capacity Evaluation of Footing on a Layered-Soil

For the first step to develop and validate a numerical model, stress and displacements of the soil below the shallow foundation was studied. The predicted failure of the shallow foundation either can be compared with a theoretic model or published results. Studying the basic failure mechanism of soil under footing with various types of soil condition is a viable method to validate the railway trackbed model. In general, many researchers are conducting an experiment to investigate influence of various parameters on soil failure under the footing. Finite element method can accurately estimate bearing capacity of a shallow foundation on a layered-soil.

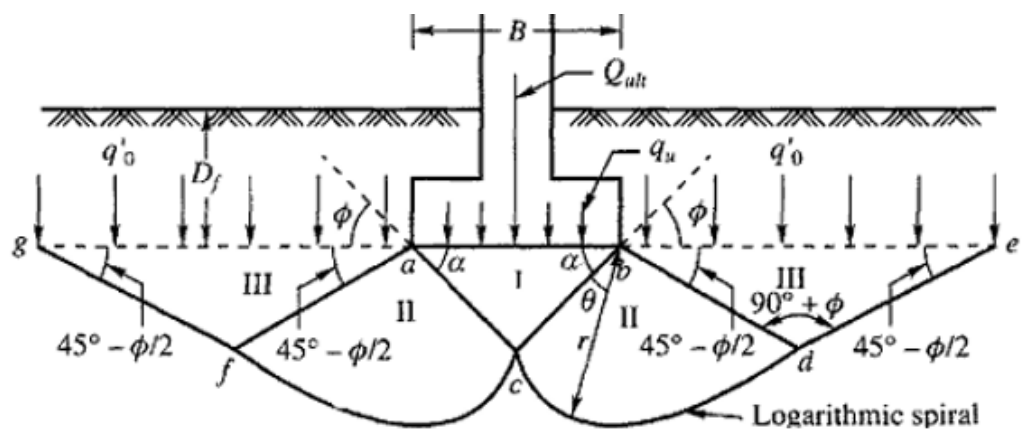
Mosadegh and Nikraz, (2015) conducted two –dimensional model by using commercial program, ABQUS, to compare the failure of one-layer soil. They considered two scenarios (with and without dilation angle). They assumed a 0.5-m rigid footing placed on top of a one-layer sand. Isotropic Elastic-plastic material satisfying Drucker-Prager were considered. The overburden pressure was 9.6 kPa and downward

settlement ( $=50$  cm) was applied on the foundation for 100 second (See Figure 4.1). The result was validated with Terzaghi's equation.

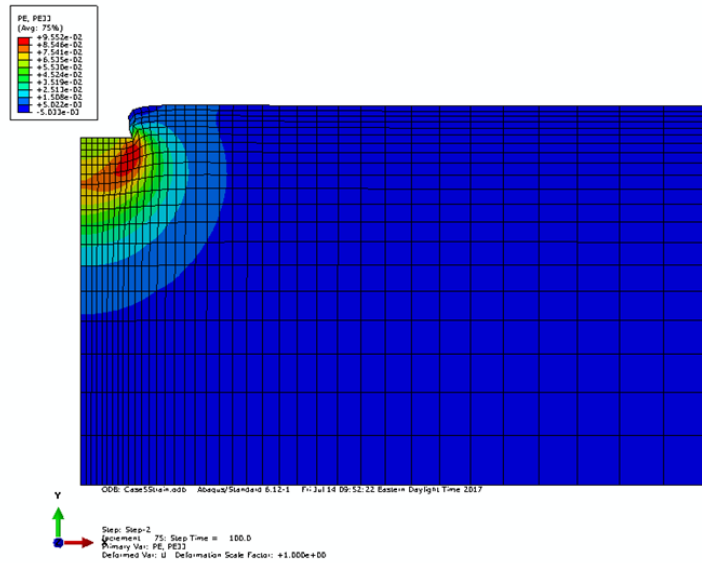


**Figure 4-1 Model simulation and boundary condition, that a model of 510 elements (Mosadegh and Nikraz, 2015)**

Comparison of soil failure model predicted from Terzaghi with FEM model, illustrates three different zone of plastic shear failure, which are immediately under the footing, two radial zones, and two Rankine passive zones as shown in Figure 4-2 and Figure 4-3.

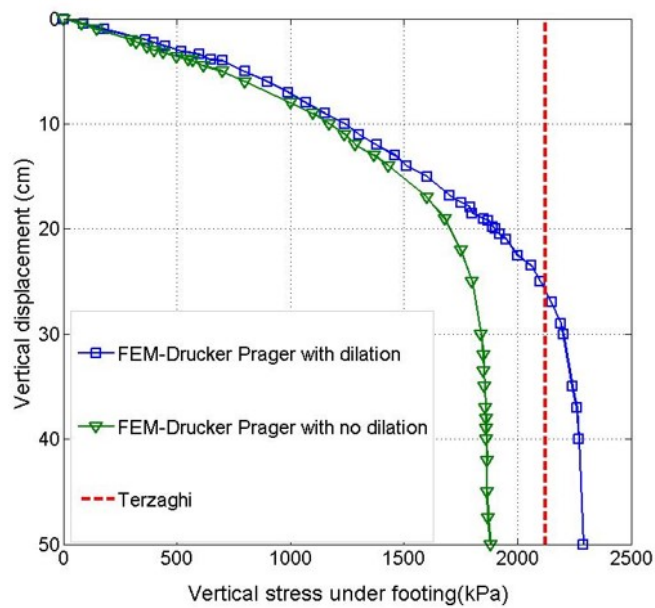


**Figure 4-2 Assumption of soil failure in Terzaghi model (Mosadegh and Nikraz, 2015)**



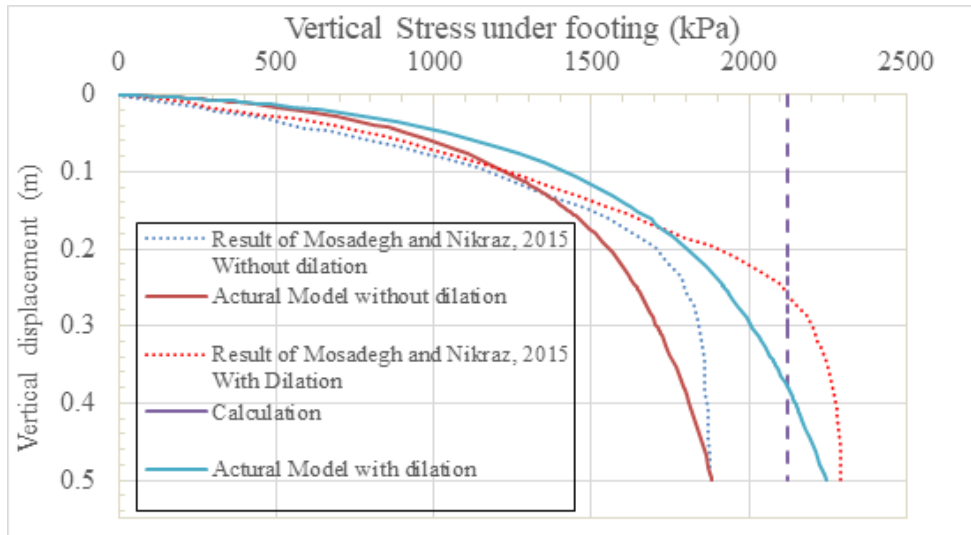
**Figure 4–3 Soil failure from finite element analysis (Mosadegh and Nikraz, 2015)**

Results show that the bearing capacity of soil considering dilation angle is 13% higher than bearing capacity of soil  $c$  without dilation. Note the predicted bearing capacity is slightly higher than the value calculating using Terzaghi's equation as shown in Figure 4-4.



**Figure 4–4 Comparison bearing capacity, with dilation, without dilation, and calculated value by using Terzaghi's equation (Mosadegh and Nikraz, 2015)**

The bearing capacity value from Mosadegh and Nikraz, (2015) shows that the vertical stress under the footing equals to 2290 kPa for soil with dilation. Whereas the vertical stress in case of no dilation has the value equals to 1900 kPa. Both results match well with the present model. Using the developed model, the value of vertical stress without dilation is equal to 1884 kPa, while the value of vertical stress with dilation is equal to 2243 kPa. Furthermore, the bearing capacity is calculated by using Terzaghi's equation shows the value of vertical stress is equal to 2124 kPa, which slightly lower than the analytical model. The predicted results from present study matches well with empirical model and available published research. However, a slight difference in the results can be because of the different assumptions. The calculated vertical stress by using Terzaghi's equation assumes that the soil behavior is perfectly plastic but the Finite Element Analysis (FEA) model assumes that the soil behavior is elasto-plastic. Furthermore, the sensitive of parameter, like yield stress, and absolute plastic stain, can affect the accuracy of the result.



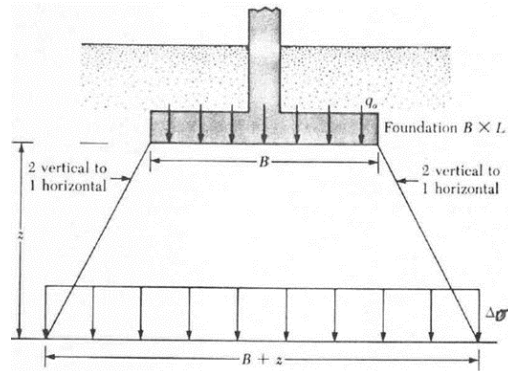
**Figure 4–5 Comparison of soil failure between present study, other FEM results and theoretical model**

#### **4.1.2 Railway Trackbed Modeling**

The trackbed geometry is different from the shallow foundation. Therefore, the accurate geometry of the railway trackbed is simulated in this step. The word “track” refers to a combination of all components, such as rail, sleepers, ballast layer, and subballast layer. However, the trackbed FEM should be validated using the Elastic theory and experimental observations.

### 4.1.3 Stress Below a Rectangular Area

Figure 4-6 presents stress distribution at depth  $Z$  below the loading on the surface of the model using 2:1 method.



**Figure 4–6 Stress increase at depth  $z$  under the shallow foundation (Das, 2015)**

The total stress increase,  $\Delta\sigma$ , at any point under a rectangular area is calculated from the relationship between the applied load,  $q_0$ , and an area,  $dA$  to obtain the preceding equation as shown

$$\Delta\sigma = \int_{y=0}^L \int_{x=0}^B \frac{3q_0(dx dy)z^3}{2\pi(x^2 + y^2 + z^2)^{\frac{5}{2}}} \quad 4 - 1$$

Alternatively, the increments in total stress,  $\Delta\sigma$  is calculated from the applied load,  $q_0$ , multiple with influence factor,  $I$ , which is calculated from a ratio of the rectangular area (as shown Figure 4-7) divided with the depth  $z$  substitute into influence factor equation.

$$\Delta\sigma = q_0 I \quad 4 - 2$$

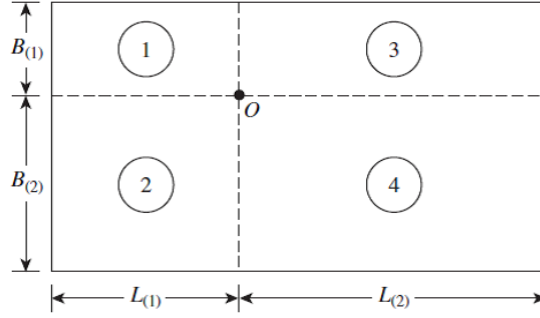
$$I = \frac{1}{4\pi} \left( \frac{2mn\sqrt{m^2 + n^2 + 1}}{m^2 + n^2 + m^2n^2 + 1} \cdot \frac{m^2 + n^2 + 2}{m^2 + n^2 + 1} + \tan^{-1} \left( \frac{2mn\sqrt{m^2 + n^2 + 1}}{m^2 + n^2 + m^2n^2 - 1} \right) \right)$$

Where

$$m = \frac{B}{z}$$

and

$$n = \frac{L}{z}$$



**Figure 4–7 Example of rectangular loaded area (Das, 2015)**

The stress at a depth  $z$  below point  $O$  or at the intersection of four rectangles is calculated from the summation of influence values from  $I_1$  to  $I_4$  multiple with the applied load.

$$\Delta\sigma = q_0(I_1 + I_2 + I_3 + I_4) \quad 4 - 3$$

However, the center of a rectangular area is the important point to show the vertical stress that can be calculated directly by using Eq. 4-4

$$\Delta\sigma = q_0 I_c \quad 4 - 4$$

Where

$$I_c = \frac{2}{\pi} \left[ \frac{m_1 n_1}{\sqrt{1 + m_1^2 + n_1^2}} \cdot \frac{1 + m_1^2 + n_1^2}{(1 + n_1^2)(m_1^2 + n_1^2)} + \sin^{-1} \left( \frac{m_1}{\sqrt{m_1^2 + n_1^2} \sqrt{1 + n_1^2}} \right) \right]$$

$$m_1 = \frac{L}{B}$$

$$n_1 = \frac{z}{\left(\frac{B}{2}\right)}$$

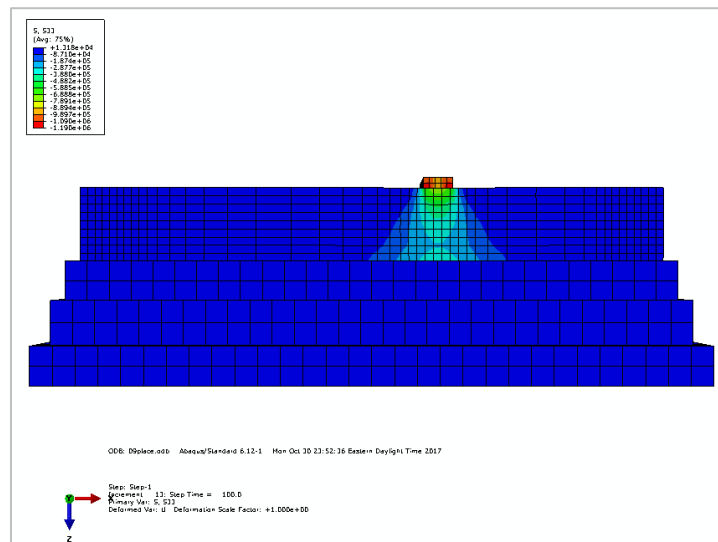
In this case,  $m_1$  and  $n_1$  are defined by using a full dimensional of the rectangular area.

Comparison between the results obtained from the present FEM and elasticity theory (applying the theory of stress below a rectangular area) will help to validate the current model and its accuracy to predict the vertical stress.



Further in this chapter real geometry is used. However, in this section the rail was replaced with a rectangular area, that has dimension of  $7 \times 22.86 \times 2.54$  in cm, lie over the half of sleeper. Moreover, this model uses the average property. Applied pressure is 900 kPa, which is equivalent to a train with 235 km/hr speed. The value of vertical stress is measured at 17.7 cm depth.

Overall of the result shows the vertical stress spreads similar with 2:1 method. However, the result from vertical stress at the center below the rectangular place in he current model is around 239.26 kPa, which is slightly higher (36.1 kPa) than the vertical stress from the equation. This slight reduction in stress can be due to the different assumption in the vertical stress's distribution. The theory of 2:1 method presents the prefectly distribution of vertical stress, whereas the simulation shows the vertical stress highly concentrate at around the center of rectangular place as illstrated Figure 4-8.



**Figure 4–8 Result of vertical stress in the simulation, the green area presents the high concentrated vertical stress at the middle of the rectangular place**

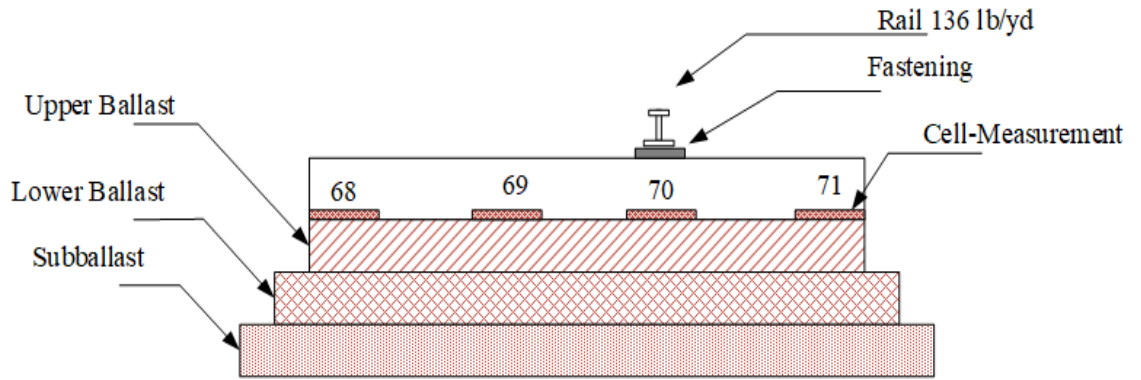
#### 4.1.4 Comparison Modeling and Experiment

Jerry Rose from University of Kentucky created a full-scale of half-track structure to determine the vertical stress distribution under the tie due to different static loads. Thus, four load cells, namely 68, 69, 70, and 71, are installed under the tie to measure an impact from different applied static loads, which varies from 1500 lbs to 10500 lbs as shown in Figure 4-9.



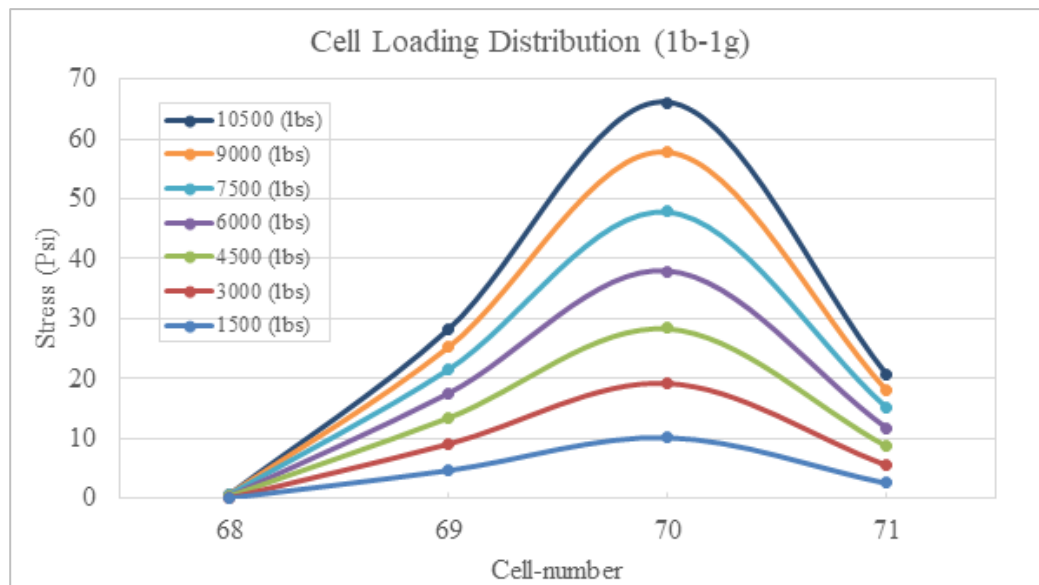
**Figure 4–9 Full-scale of half-track at University of Kentucky**

The half-track structure is combined with six different components, which are Rail 136 lb/yd, double-should fastening, wooden sleeper, upper-ballast layer, lower-ballast, and subballast layer as shown in Figure 4-10. Thicknesses of upper-ballast, lower-ballast, and subballast are around 9 cm, 15 cm, and 9 cm, respectively. This experiment presents the result of stress under applied loading in British-Units.



**Figure 4–10 Cross-section of half-track structure**

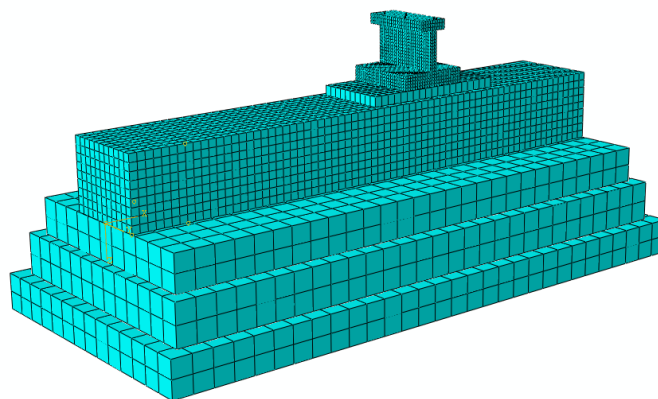
After applied various load to the track structure, the result shows that the maximum vertical stress is obviously at cell-number 70, which locates under the rail, and then spreading to cell-number 69 and 71 as shown in Figure 4-11. For example, the result of the half-track structure under applied load at 3000 lbs shows the cell-number 70 has the vertical stress around 19.18 Psi, whereas the vertical stress at cell-number 69 and cell-number 71 are around 9.11 Psi and 5.6 Psi, respectively.



**Figure 4–11 The result of vertical stress is applied various loads (Rose et al. 2018)**

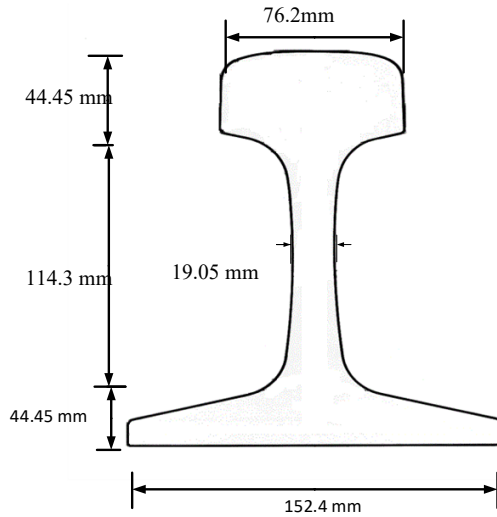
Finite Element program, ABAQUS used to mode a three dimension of the half-track structure as shown in Figure 4-12. Results from numerical model are compared with the experimental observations. Eight-node brick element, which comprises a total of 55,677 elements are used in this model. Perfectly contact surface-to-surface and generating rough tangential with hard contact are considered for the interaction between surfaces of each layers. The boundary condition restrains the longitudinal and transversal directs as symmetric boundary conditions.

Furthermore, this simulation applied static load from 3000 lbs to 10500 lbs on top of the rail and the results are compared with experimental observations.



**Figure 4–12 Mesh of half-track**

The model simulates a half-track as same as the experiment components. Rail type 136 lb/yd is simulated with the same dimensional as shown in Figure 4-13. Parameters of the rail, which are young modulus, density, and poisson's ratio, are equal to 210 GPa, 7,830 kg/m<sup>2</sup>, and 0.3, respectively.



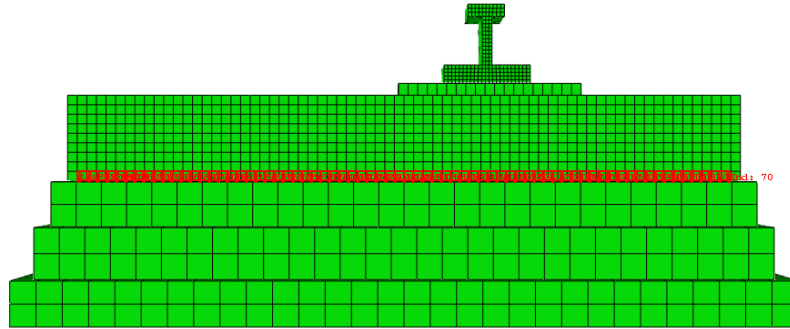
**Figure 4–13 Dimension of rail type 136 lbs/yd**

Dimensional and parameter of other components are summarized in Table 4-1

**Table 4- 1 Dimension and parameter of half-track structure.**

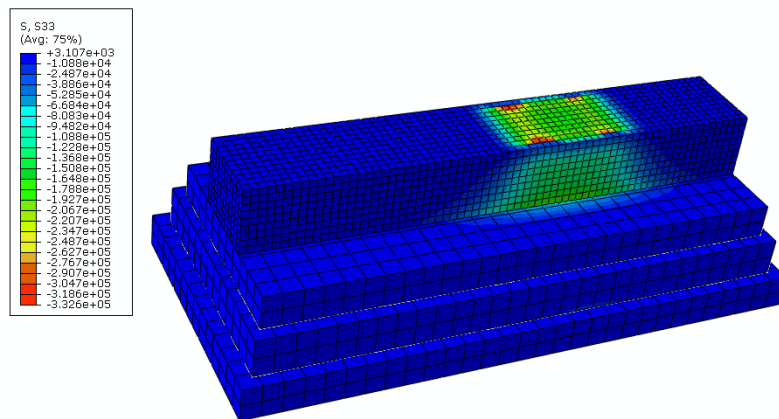
Components	Young Modulus MPa	Density kg/m <sup>3</sup>	Poisson ratio	Dimension		
				Wide (mm)	Length (mm)	Depth (mm)
Fastening (Double should)	210,000	7,830	0.3	190.5	368.3	6.35
Sleeper	80	1200	0.3	228.6	1,397	177.8
Upper ballast	138	2100	0.3	558	1,397	88
Lower Ballast	138	2200	0.3	635	1,447.80	150
Subballast	69	2150	0.3	711.2	1,524	88

The result of this simulation is collected at the bottom of the tie to investigate the vertical stress under various applied loads, which are from 3000 lbs to 10500 lbs, and comparing with the vertical stress from the experiment.



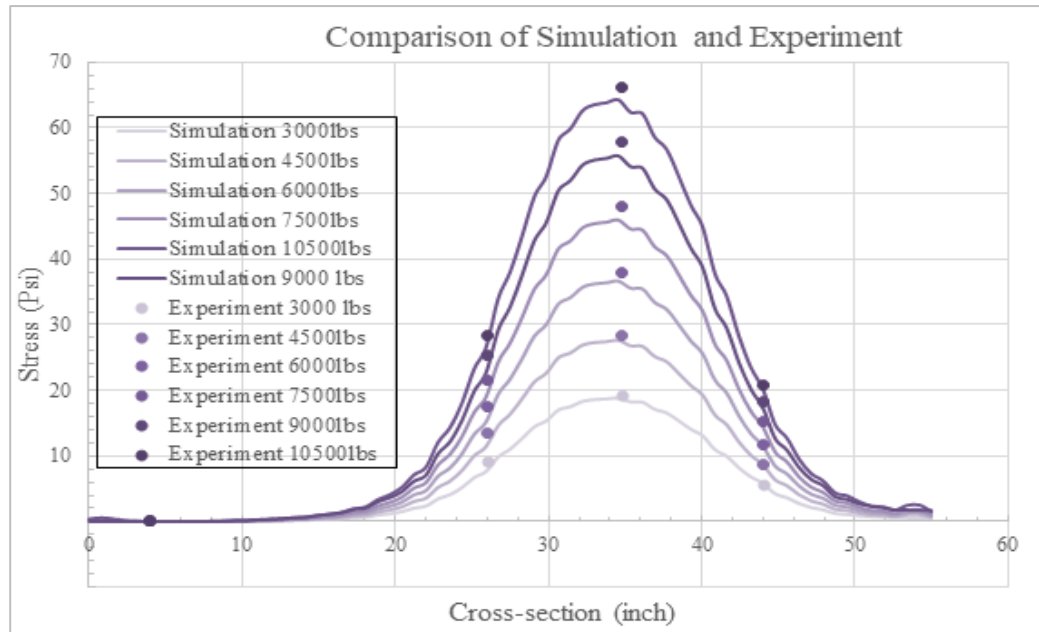
**Figure 4–14 Consideration path of half-track structure**

After analyses, applied static load on top of the rail shows the vertical stress distributed as the theory of 2:1 method, so the stress at the center of applied pressure has a highly concentration, as presented green color, before spreading to both sides with light color as illustrated in Figure 4-15.



**Figure 4–15 The vertical stress of half-track structure under applied load**

The model presents the maximum vertical stress under applied static load is located under the rail, which is the same location as cell-number 70, whereas the minimum vertical stress is approximately 0 Psi around the same location as cell-number 68 as shown in Figure 4-16.



**Figure 4–16 Comparison stress between experiment and analytical model.**

Comparing the results between the present model and the experiment observations confirm the accuracy of the current model. The maximum vertical stresses are shown in Table 4-2.

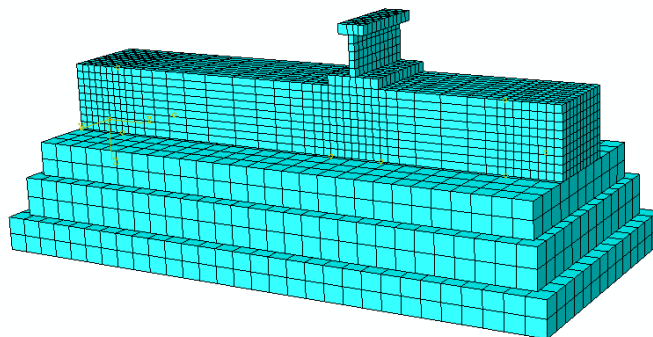
**Table 4- 2 Percent different between experiment and simulation**

Load (lbs)	Analytical model (Psi)	Experiment (Psi)	Percent different (%)
3000	18.76	19.18	2.19
4500	27.5	28.4	3.17
6000	36.68	37.91	3.24
7500	45.857	47.91	4.29
9000	55.77	57.8	3.51
10500	64.21	66.1	2.86

### 4.1.2 Sensitivity Study

All parameters, particularly young's modulus, from those components highly affect the outcome of the track modulus.

To understand an influence of the track modulus due to the different parameters (track structure's components); the simulation is divided into five parts, which are part of rail, upper ballast layer, lower ballast layer, and subballast layer. Assuming that applied the static wheel load in this simulation is equal to 660 kPa, the dynamic wheel load is calculated using AREA formula considering train's speed, from 40 km/hr to 330km/hr. This model simulates a 3-D half-track with a shape of solid extrusion component as shown in Figure 4-17. Meshing with Eight-node brick element with reduced integration (C3D8R), which comprises a total of 47,589 elements, gives the great precision to display the Finite Element Method (FEM) analysis result. Interaction between surface of components generate rough tangential with hard normal contact. The boundary condition restrains the longitudinal and transversal directs as symmetric boundary conditions.



**Figure 4–17 Mesh of simulation model in case of parametric study**



In this model, the young modulus, density, and moment of inertia of the rail are constant at 210 GPa, 7830 kg/m<sup>3</sup>, and 3.95×10<sup>-5</sup> m<sup>4</sup>, respectively. The rest of components, like sleeper, ballast, and subballast, are presented in Table 4-3.

**Table 4- 3 Parameter and dimension**

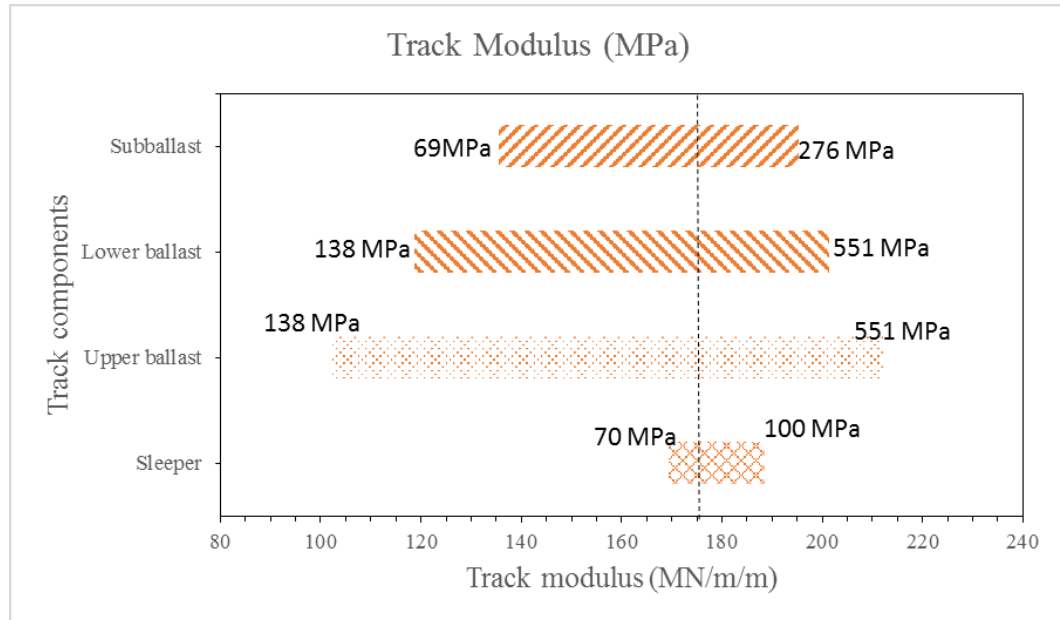
Components	Lower Bound	Average	Upper Bound	Density kg/m <sup>3</sup>	Poisson ratio	Dimension		
	Mpa	Mpa	Mpa			Wide (m)	Length (m)	Depth (m)
Sleeper	70	80	100	1200	0.3	0.2286	1.397	0.1778
Upper ballast	138	350	551	2100	0.3	0.558	1.397	0.088
Lower Ballast	138	350	551	2200	0.3	0.635	1.4478	0.15
Subballast	69	172	276	2150	0.3	0.7112	1.524	0.088

A track modulus of parametric study is determined from the rail deflection using the Winkler's equation approach. The result from parametric comparison by applied train's velocity at 120 km/hr shows that a track modulus significantly relates to a young modulus of track components, particularly substructure components. From all three substructures, the upper ballast highly affects the track modulus values.

In this case, the young modulus of upper ballast at 138 MPa gives the lowest value of track modulus which is around 101.88 MN/m/m, while the highest value of track modulus is around 212.41 MN/m/m when the young modulus of upper ballast is equal to 551 MPa.

The minimum young modulus of sleeper and subballast, both have similar value of young modulus. The result shows that young modulus of subballast at 69 MPa presents

a lower track modulus, which is around 135.56 MN/m/m. It can be concluded that properties of substructure, such as ballast, subballast, have more effect on trackbed modulus compared to the superstructure (rail and tie).



**Figure 4–18 The range of track modulus due to various properties of track components**

Furthermore, the track deformation due to various train's speeds shows that the track displacement is increased with train speed. Therefore, the attribution of track modulus shows that the reduction of the track modulus is related with an increase in axle load and displacements. In this simulation the constant of nominal track properties and eight different train's speeds, which are 40, 90, 120, 140, 190, 235, 285, and 330 km/h are considered

Applied the static load (660kPa) on the system produces the stress around 154.24 kPa and displacement of the model is around 0.0883 mm. In addition, applied various

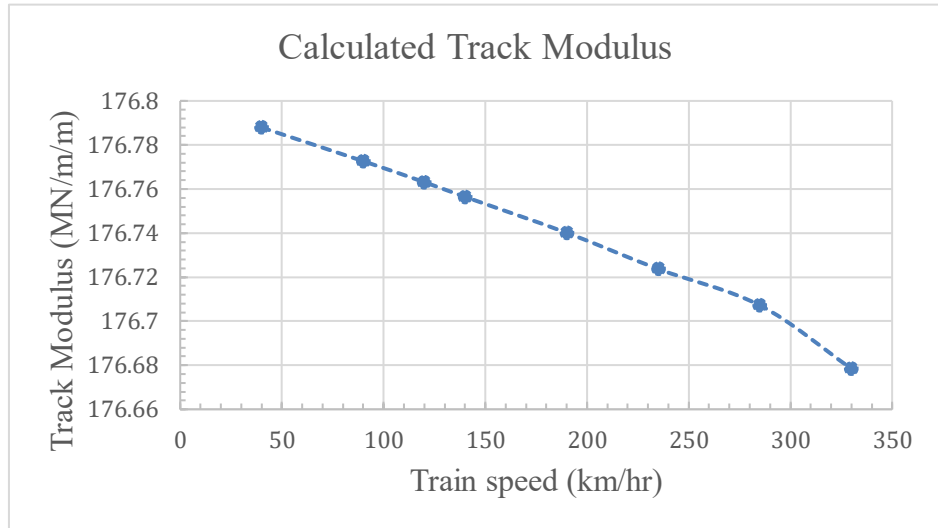
dynamic loads to the model shows that stress and displacement increase with increased of dynamic loads, as shown in Table 4-4.

**Table 4- 4 Summary result of parametric study**

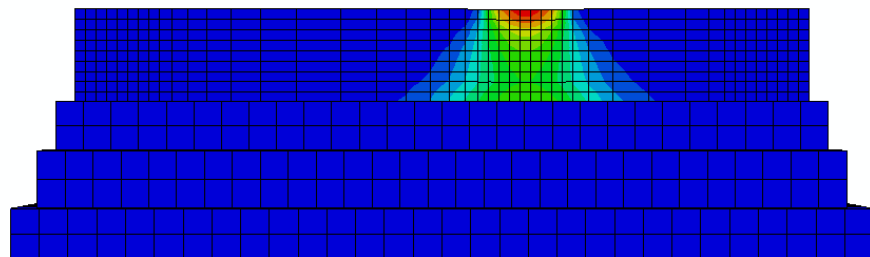
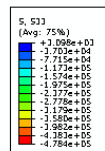
Dynamic Load (kPa)	Speed (km/h)	Stress (kPa)	Displacement (mm.)	Track Modulus (MN/m/m)
700	40	163.591	0.0951	176.788
750	90	175.286	0.1019	176.773
780	120	182.314	0.1060	176.763
800	140	186.983	0.1087	176.756
850	190	198.681	0.1155	176.740
900	235	210.38	0.1223	176.724
950	285	222.081	0.1291	176.707
1000	330	233.795	0.1359	176.678

Although the result of stress and displacement are varying with increasing load, the track modulus is inversed with the increasing loads. Applied static wheel load to the system, the track modulus is equal to 176.83 MN/m/m but the tack modulus is slightly decreased with increasing train speed, which is from 176.787 MN/m/m at 40 km/h to 176.678 MN/m/m at 330 km/h.

This result satisfies the assumption that decreasing of track modulus is related with both increasing train speed and track displacement as shown Figure 4-19.



**Figure 4–19 The range of track modulus due to change in the train's velocities.**



**Figure 4–20 The vertical stress distribution at train's speed equal to 235 km/h**

Figure 4-20 shows the vertical stress distribution in this case spreads similarly as the 2:1 method, which has been developed by Boussinesq, (1885).

## CHAPTER 5      SENSITIVITY STUDY OF 3-D TRACKBED PERFORMANCE

### **5.1 Modeling Procedures in ABAQUS**

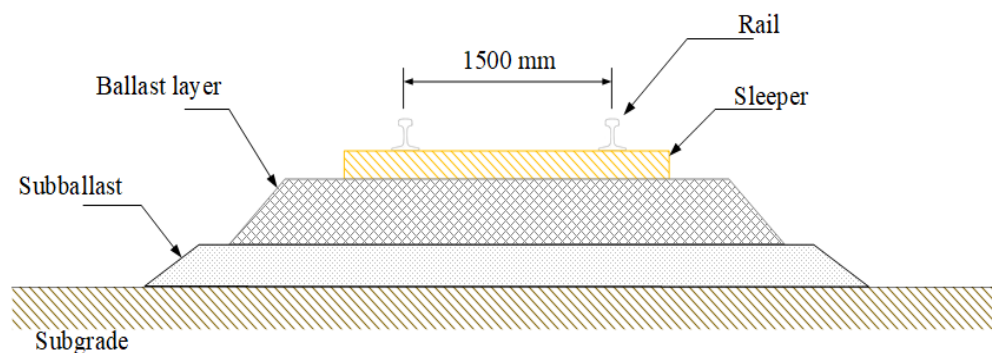
The ABAQUS program is a finite element that employs implicit integration scheme and explicit integration scheme to predict the mechanism behavior of a structure or an object. This software is able to model and analyze complex mechanism showing a visualization of the model in two-dimensional or three-dimensional. Thus, the procedure of the ABAQUS program is divided into different modules, which are part, property, Step, Mesh, and Visualization.

An analysis is started with creating the geometry of the model by sketching each part of the model into Part module and then defines material properties at property module. Step and mesh modules are related with regard to calculation method and accuracy of the result. For example, if the model is defined coarse elements, the calculation will use very short periods of time, illustrating an imprecise result. Using fines elements will give a very high accuracy result, but the calculation process will be time consuming. Finally, the result of analysis will illustrate at visualization module. The visualization

module of ABAQUS program has a function to present the result as contour types, and it is easy to observe a mechanism behavior of the object after analysis.

## 5.2 Trackbed Model with an HMA layer

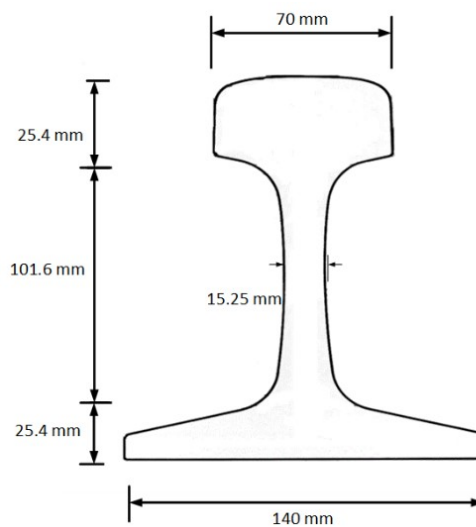
In general, thicknesses of design hot mixed asphalt installed under ballast layer varies from 10 cm to 20 cm or known as “underlayment design”. Thus, this study simulates the track structure with different thicknesses of asphalt layer, which are 10, 12, 14, 15, 18, and 20cm, with the additional thickness at 25 cm under the static load at 6.5 MPa. The current study also investigates the combination design of hot mixed asphalt layer at 15 cm in two different locations: (1) below subballast and (2) the asphalt layer above subballast. An effect of Hot Mixed Asphalt (HMA) with various thicknesses on stress and displacement of the trackbed are presented in this chapter. Later the results will show how the design method can improve the strength of the track structure. Figure 5-1 shows the geometry of the conventional trackbed.



**Figure 5–1 Cross-section of conventional track**

### 5.2.1 Geometry

The track components are simulated in three dimensions by following the design standard of American Railway Engineering and Maintenance-of-Way Association (AREMA). Dimension and parameter in this study use SI-unit for the consistency, and selecting shape of rail 115 lb/yd placing on sleepers that has a spacing from center to center around 50 cm. The dimension of 115 RE is shown in Figure 5-2.



**Figure 5–2 Dimension of rail type 115 RE**

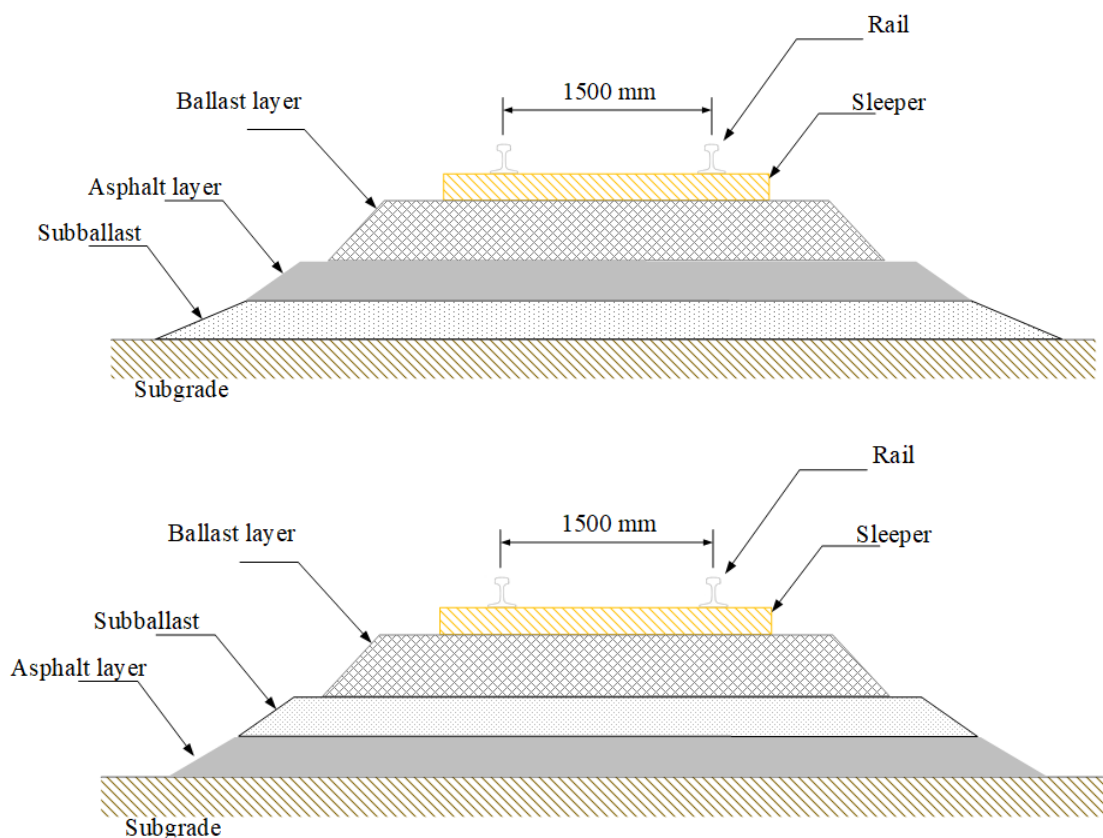
Other components of the track structure, like sleeper, ballast layer, subballast, and subgrade are presented in Table 5-1.

**Table 5- 1 Dimension of components**

Components	Wide (mm)	Long (mm)	Thickness (mm)
Sleeper (wooden)	228.6	2500	177.8
Ballast layer	3200	10000	300
Subballast layer	4000	10609.6	150, 300
Asphalt layer	4000	10609.6	Various
Subgrade	11000	112192	8000

According to Table 5-1, the thickness of the asphalt layer is varying from 10 cm to 25 cm. Thicknesses of the asphalt layer are considered to be 10, 12, 14, 15, 18, 20, and 25 cm in this study. Results are used to observe the correlation between a thickness of asphalt layer and an influence of applied static load, in stress and deflection.

In order to compare two locations between the asphalt layer above subballast and the asphalt layer below subballast, this research simulates a design of the track at Oklahoma City by following the research of Rose and Hensley, (1991). Thus, this model is simulated the thickness of ballast layer is equal to 20 cm and using the asphalt layer is equal to 15 cm to compare with the conventional track as shown in Figure 5-3.



**Figure 5-3 Cross-section of combination track design**



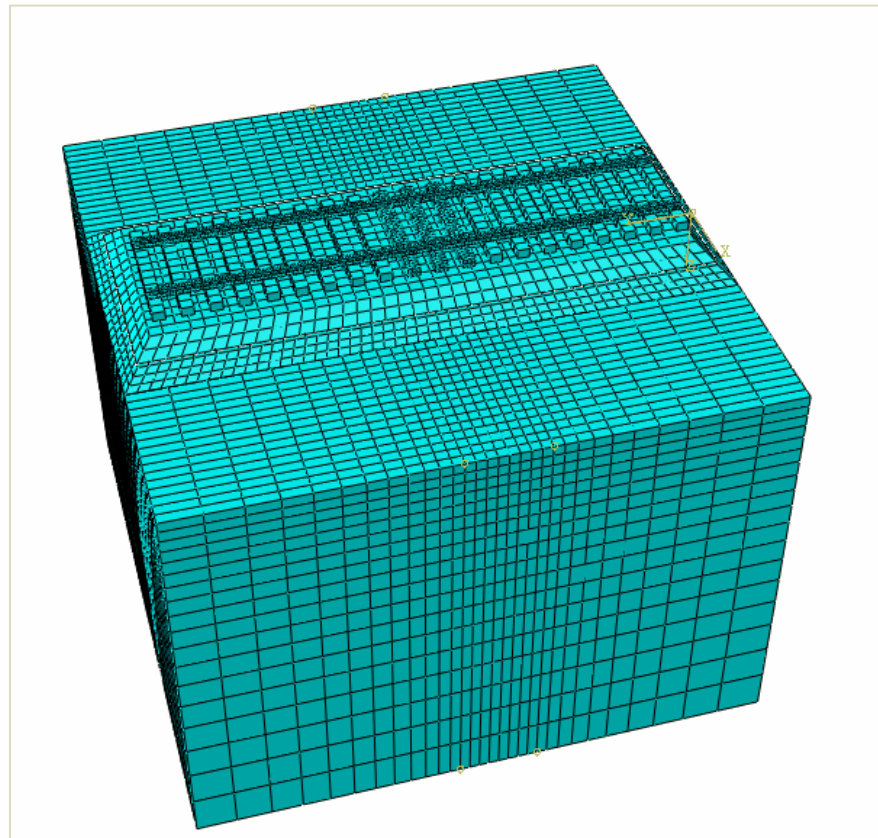
### 5.2.2 Parameter

Properties of the track components in this simulation, such as rail, wooden sleeper, ballast layer, subballast layer, and subgrade, uses an average from previous researches as shown in Table 5-2. The young modulus of asphalt binder, PG 64-22 usually is used as alternative subballast in the United States, varies with the weather from 6.27 GPa to 30.33 GPa. In other words, young modulus of asphalt decreases with temperature. Young modulus of asphalt increases during the winter season. So, this simulation uses the average young modulus of asphalt in the United States around 18.96 GPa.

**Table 5- 2 Parameter of track components**

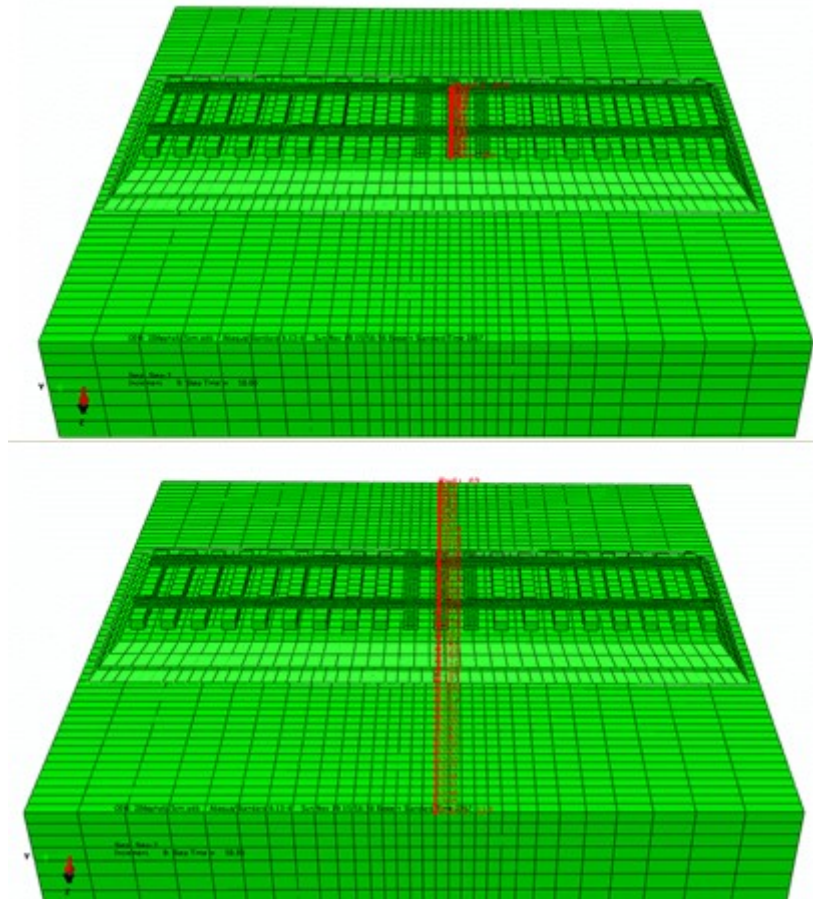
Track components	Density (kg/m <sup>2</sup> )	Young Modulus ( <i>E</i> )	Possion's Ratio ( <i>v</i> )
Rail	7830	210 GPa	0.3
Sleeper	1200	80 MPa	0.3
Ballast	2200	256 MPa	0.3
Subballast	1950	178 MPa	0.3
Asphalt	2400	18.96 GPa	0.3

Meshing of this model uses Eight-node brick element, which comprises a total of 147,684 elements. The interaction between surfaces of five components perfectly contact surface-to-surface and generating rough tangential with hard contact. The boundary condition restrains the longitudinal and transversal directs as symmetric boundary conditions as shown in Figure 5-4.



**Figure 5–4 Meshing of simulation**

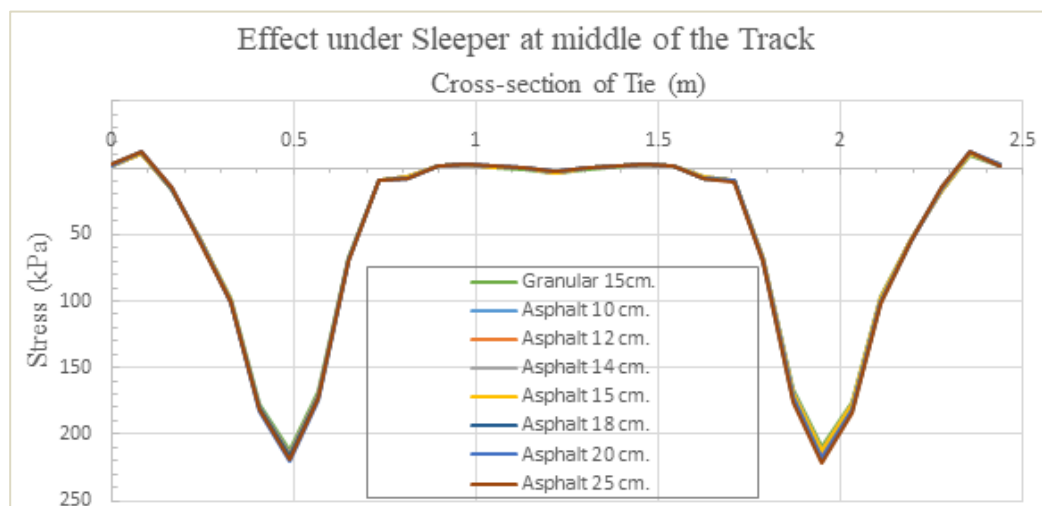
Collecting data by creating a path at the middle of the track structure at two locations, which are under tie and on the surface. These two locations showed maximum stress under the applied static load at 6.5 MPa as shown in Figure 5-5.



**Figure 5-5 Consideration path of the model**

### 5.3 Effect of the Tie under Applied Load

The conventional track with 15 cm of granular subballast is a common design of the track structure. By applying the static load at 6.5 MPa on the middle of the track, it shows that the maximum stress under the tie is around 211.83 kPa, while installing the asphalt layer as subballast with various thicknesses, such as 10 cm, 15 cm, and 20 cm, show the maximum stress around 179.361 kPa, 180.13 kPa, and 183.07 kPa, respectively. As a result, the maximum stress of the tie under the static load at 6.5 MPa is slightly increasing, but overall results are not significantly different from 15 cm of granular subballast.

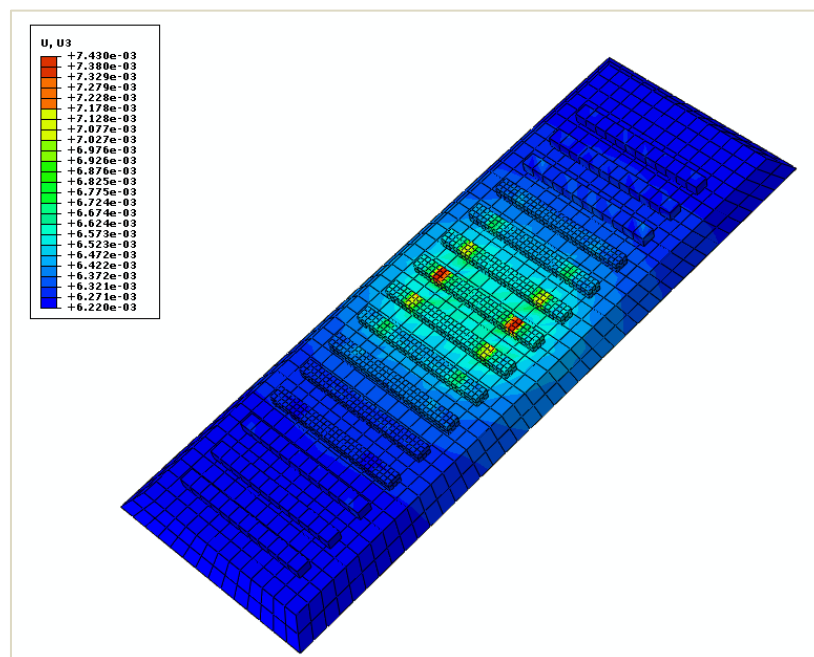


**Figure 5–6 The vertical stress of tie under applied load**

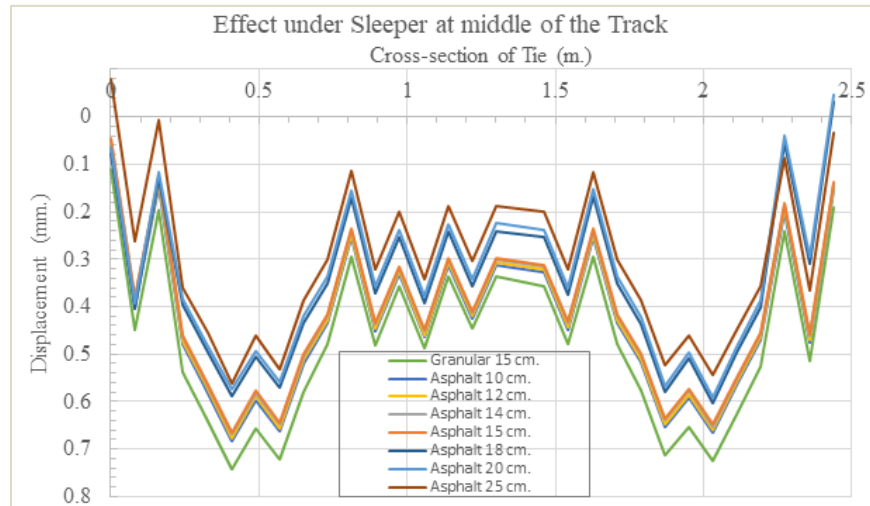
On the other hand, the displacement at the same tie under the static load at 6.5 MPa shows that the conventional track with granular 15 cm deforms around 0.742 mm. Whereas installing the asphalt layer as subballast, like 10 cm, 15 cm, and 20 cm, shows that the displacement is around 0.683 mm, 0.676 mm, and 0.591 mm, respectively. Therefore, installing alternative subballast, like the asphalt layer, reduces displacement

of the track structure around 7.98% to 26.75%, which depends on the thickness of the asphalt layer.

Comparison of the deformation under the static load between the different thicknesses of the asphalt layer, varying from 10 cm to 25 cm, shows that the deformation of the tie reduces around 0.01 mm, when the thickness of the asphalt layer is increased around 2 cm (See Figure 5-7 and 5-8).



**Figure 5–7 The vertical displacement of tie structure under applied load**



**Figure 5–8 Comparison of vertical deflection of the tie**

Furthermore, the vertical deflection of the track structure is reduced from 79.8% to 26.75%, which highly depends on the thickness of asphalt layer, as shown in Table 5-3.

**Table 5- 3 Summarized Percent of Protection**

Asphalt thickness (cm.)	Deformation (mm.)	Percent of Protection
10	0.683	7.98
12	0.676	8.95
14	0.670	9.82
15	0.666	10.32
18	0.587	20.90
20	0.574	22.63
25	0.544	26.75

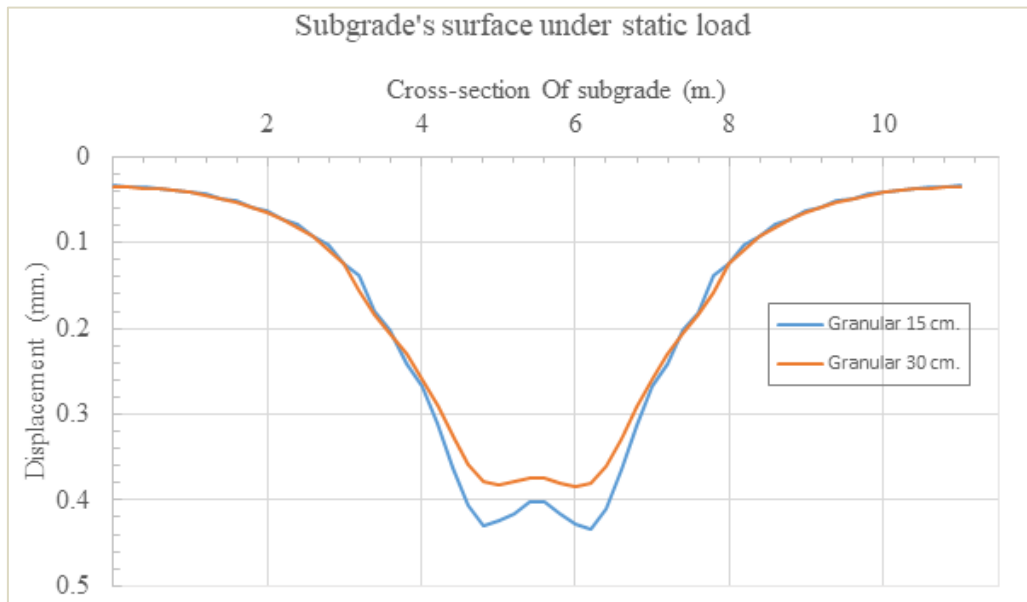
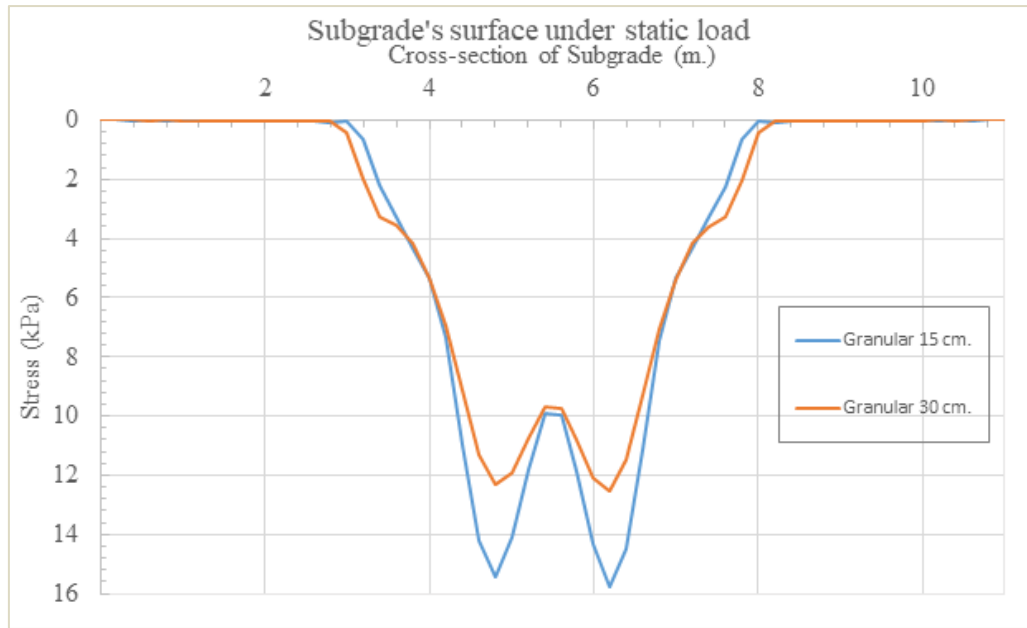
## **5.4 Effect of the static load on the surface of subgrade**

This section presents a result of the static load at 6.5MPa on a subgrade surface of track structure in three different applications of track improvement, which are increasing granular subballast 15 cm, installing various thickness of asphalt layer as subballast, and using the combination track design. The result presents an effect of applied static load in term of stress and deflection comparing with the conventional track design.

### **5.4.1 Increasing the Thickness of the Subballast**

Subgrade is weakest layer on the track structure which should support a train's load. Therefore, this component can easily fail under the accumulative load. Therefore, many techniques, such as increased granular thickness, alternative subballast, and combination design, have been applied to reduce the stress and deformation of the subgrade.

The surface of subgrade is generally prevented by installing 15 cm of granular subballast. The deformation under the static load is around 0.434 mm, and the maximum stress is around 15.78 kPa. The technique of increasing the granular subballast up to 30 cm can reduce impact from the static load. In this case, the deformation on the subgrade's surface is around 0.38 mm and the maximum stress is around 12.52 kPa as shown in Figure 5-10.



**Figure 5–9 The vertical stress and the vertical displacement on subgrade's surface**



### 5.4.2 Comparison Using Asphalt Layer and Conventional Track.

Using the asphalt layer as subballast is another technique to reduce stress and displacement on the subgrade's surface. This study presents the maximum stress and the maximum deformation of using asphalt layers from 10 cm to 25 cm comparing with the 15 cm of granular subballast under the static load at 6.5 MPa.

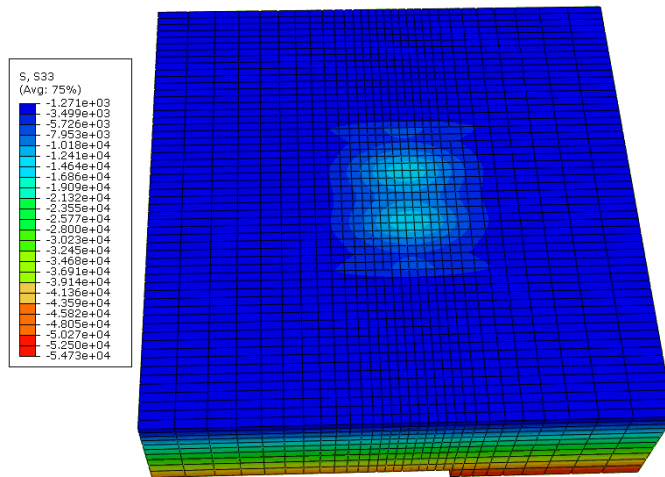


Figure 5–10 The result of vertical stress on subgrade's surface under applied load

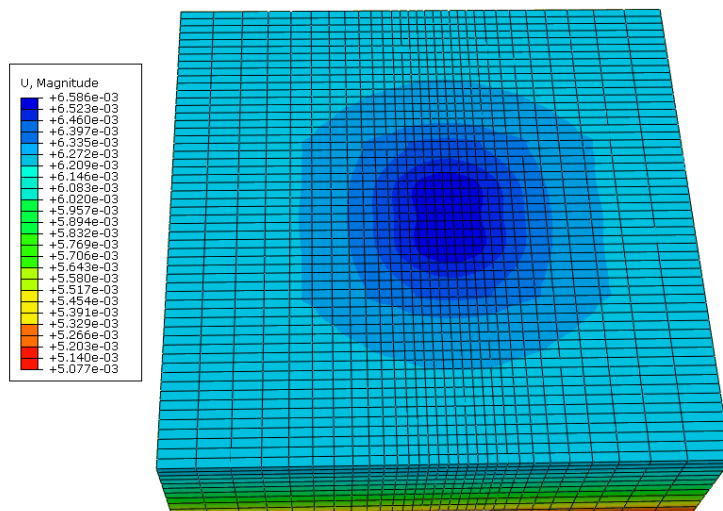
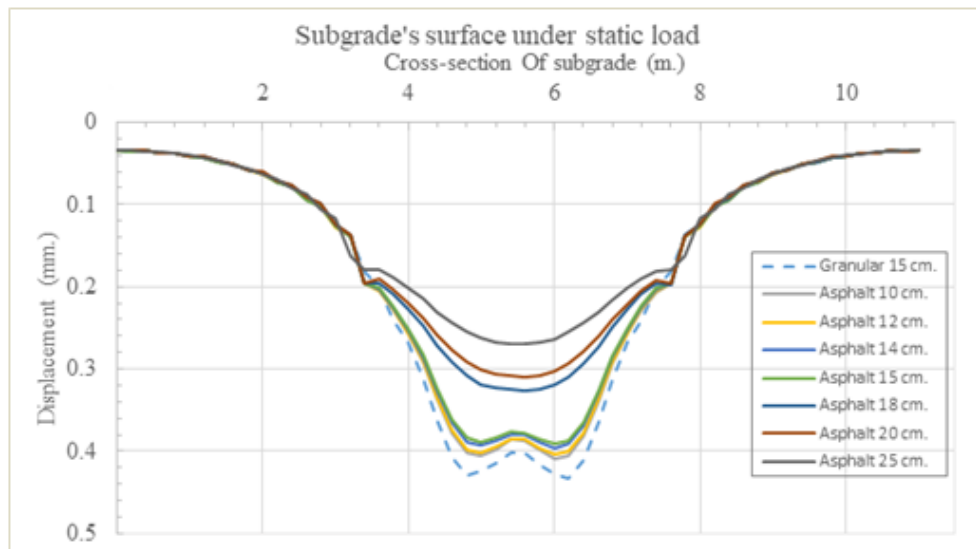
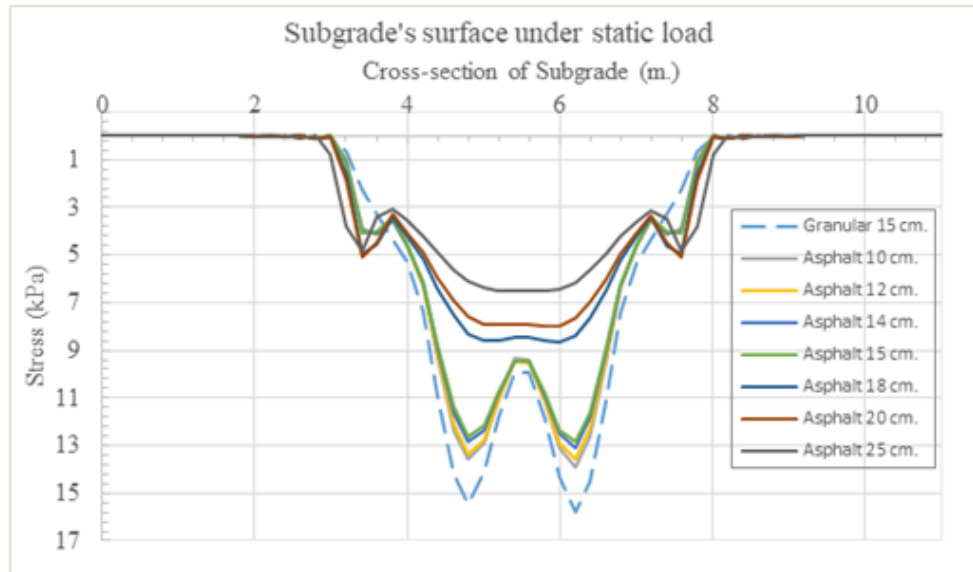


Figure 5–11 The result of vertical displacement on subgrade's surface under applied load

The result of using asphalt layers with various thicknesses under the static load, shows that using the asphalt layer as subballast can reduce both stress and deformation on subgrade' surface. For example, the track structure with 10 cm of asphalt thickness deforms 0.411 mm and the maximum stress is around 14.22 kPa. And then, the stress and deformation decrease with the increase of thickness of asphalt layer. Using asphalt layer as subballast distributed the stress on all direction.

As Figure 5-12 shows, increasing the asphalt layer reduces the displacement and the stress. However, results show that using 18-cm-thickness for the asphalt the displacement and stress will be well distributed below the tie. Therefore, we can conclude that the 18 cm is the optimum asphalt thickness. Note, using asphalt layer might increase the stress on the corner of the ties.

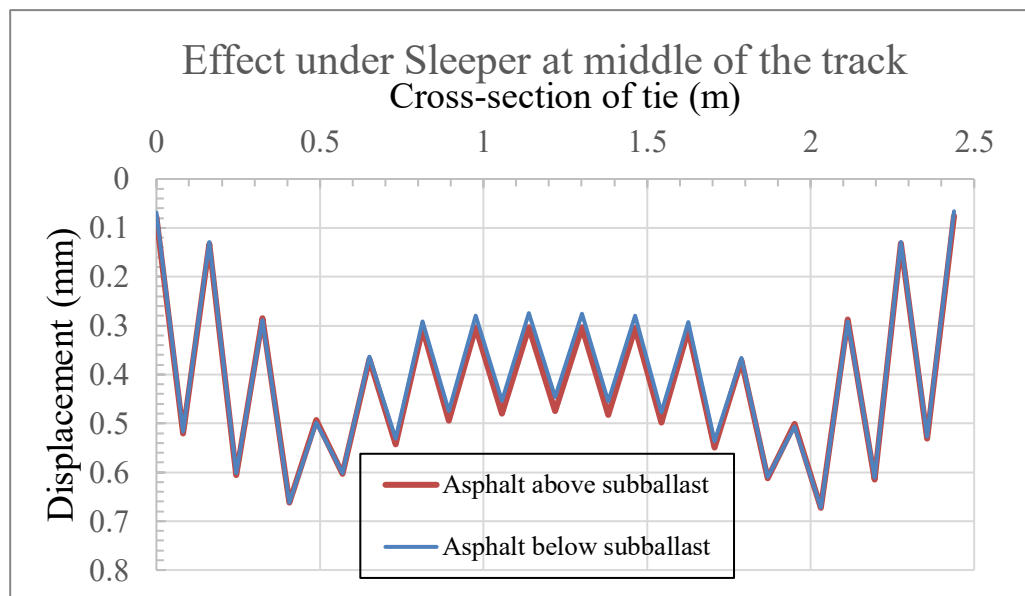
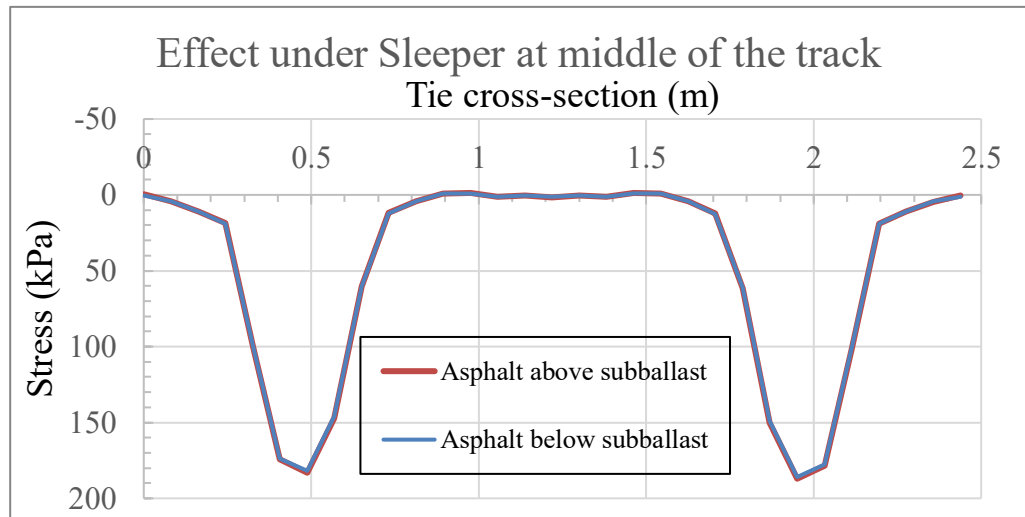


**Figure 5–12 Effect of subgrade's surface under applied static**

### **5.4.3 Comparison Using Combination Design and Conventional Track**

The combination track design uses granular subballast and the asphalt layer under ballast layer to increase the strength and to distribute stress to subgrade. In this case, 15 cm thickness of both layers that are granular subballast and asphalt layer were installed. For the ballast layer thickness has been reduced to 20 cm as the track structure at Oklahoma City. Furthermore, the analysis presents stress and displacement of the asphalt layer above subballast and the asphalt layer below subballast at two locations, which are under tie and subgrade's surface.

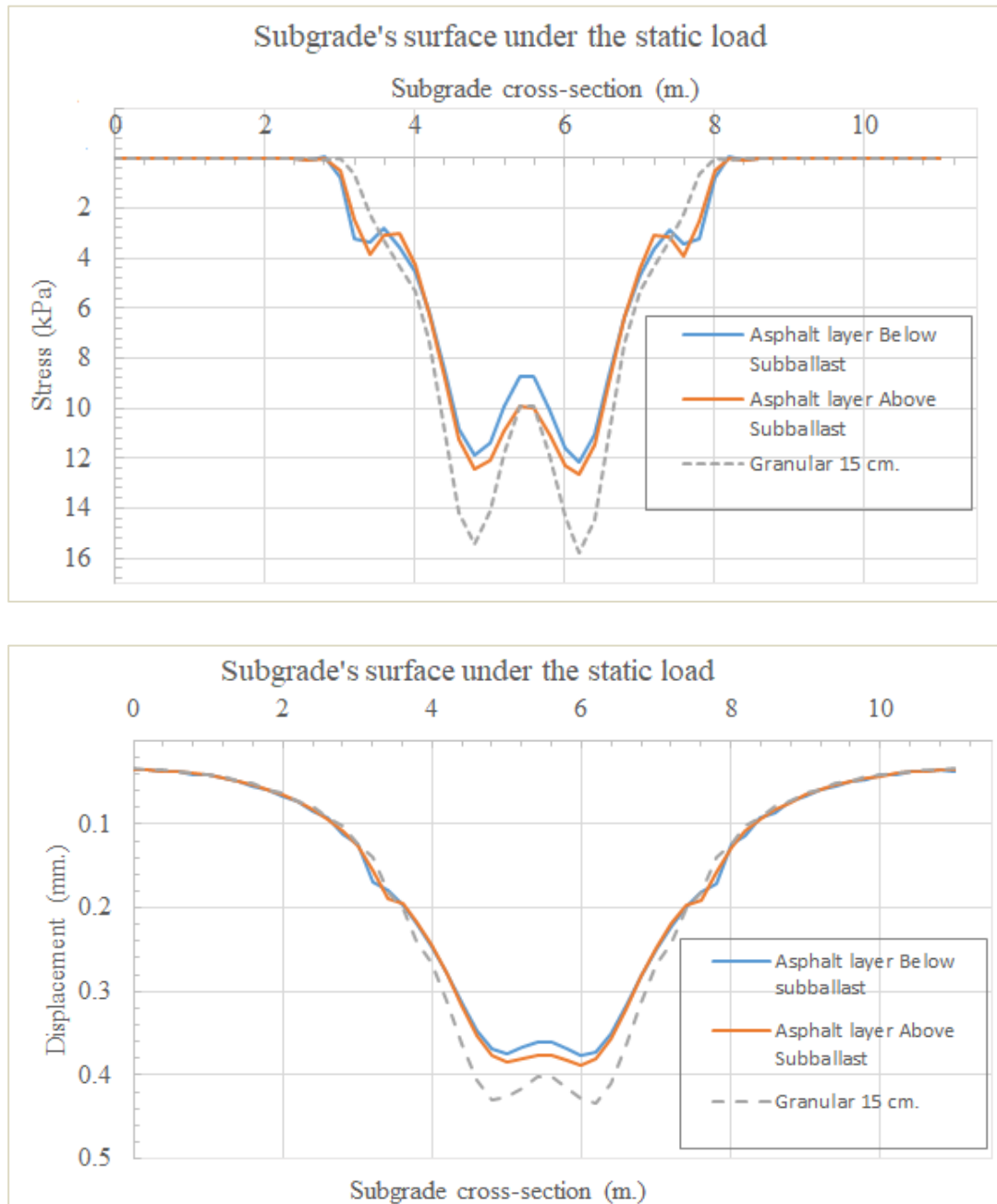
The tie of combination designs in case of installing asphalt above subballast layer shows the static load at 6.5 MPa created the maximum displacement around 0.6728 mm and the maximum stress is around 178.45 kPa. Applying the same static load to the combination design by installing asphalt layer below subballast shows that the maximum displacement is around 0.6722 mm and the maximum stress is around 177.82 kPa.



**Figure 5–13 The vertical stress and vertical displacement under the tie**

Considering the stress and displacement on subgrade’s surface shows that installing the asphalt layer above subballast has the approximate displacement 0.387 mm and the stress approximate 12.67 kPa. While installing asphalt layer below subballast shows the displacement under the static load is around 0.372 mm and the maximum stress is around 12.13 kPa. Thus, the subgrade’s surface of both designs under the static load shows the result of stress and displacement are not significantly different. Comparing

an impact under applied static load at 6.5MPa between the combination track design and the conventional track design, the result shows that the maximum vertical stress and the maximum deflection are significantly decreased around 23.13%, and 14.28% as shown in Figure 5-15.



**Figure 5–14 The vertical stress and the vertical displacement on subgrade’s surface**

As a result, either the asphalt layer above subballast or the asphalt layer below subballast, both designs improve the track structure. However, using the asphalt layer above subballast is sufficient and economical rather than using the asphalt layer below subballast.

### 5.5 Track Modulus

Track Modulus,  $k$ , is a very important indicator to present a track performance and maintenance requirement, including safety and track quality. Low track modulus is observed from differential settlement and frequently need maintenance, whereas large track modulus shows the result of increasing the life of the track components and reducing a maintenance cycle.

Track modulus is determined by using the theory of Winkler, which is a correlation between the vertical deflection and the vertical contact load. In other words, track modulus is calculated from the vertical deflection ( $w_m$ ) and impacted from the wheel load,  $P$ . Therefore, the vertical deflection ( $w$ ) is equal to 0 at  $x$ , the analytical expression from Eq. 3-3 can be rewritten as:

$$w_m = \frac{P\beta}{2k} = \frac{P^4 \sqrt{\frac{k}{4EI}}}{2k} \quad 5 - 1$$

Therefore, the track Modulus,  $k$ , is calculated as shown in Eq.5-2.

$$k = \frac{1}{4} \sqrt[3]{\frac{P^4}{EIw^4}} \quad 5 - 2$$

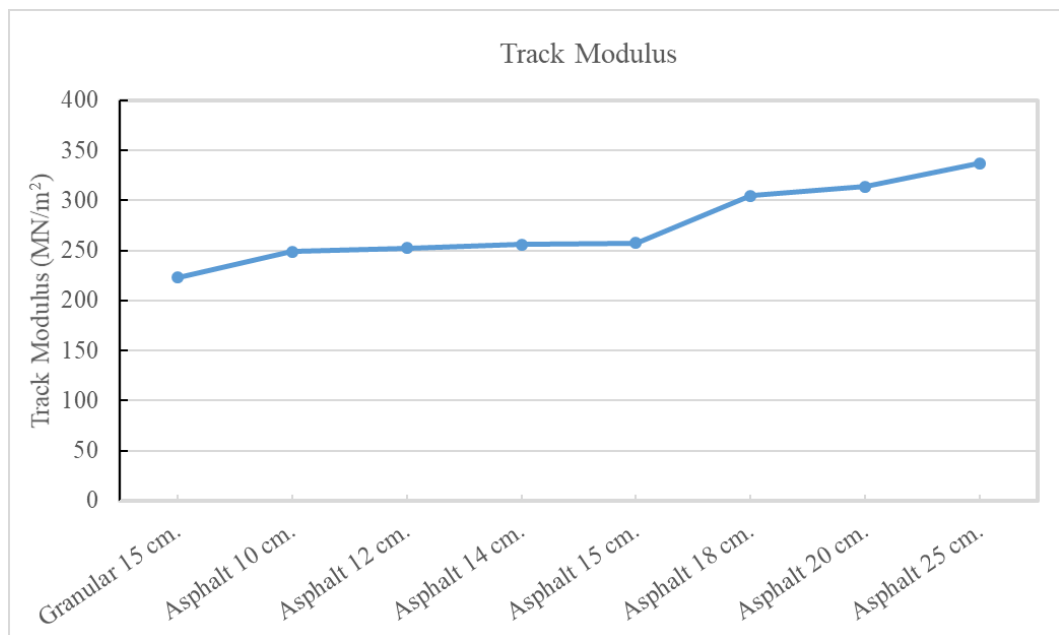
Applied static load at 6.5 MPa impacts on the track model, that uses 10 cm of the asphalt layer as subballast, creates the deflection of wood-tie track with 115 RE around 0.683 mm. In this case, flexural rigidity of the rail beam,  $EI$ , is equal to 8.295 MPa.

Thus, the track modulus is calculated as:

$$k = \frac{1}{4} \sqrt[3]{\frac{(6.5 \times 10^6)^4}{8.295 \times 10^6 \times 0.000683}}$$

$$k = 248.935 \text{ MN/m}^2$$

Using 10 cm of asphalt layer as subballast, the track modulus is equal to 248.935 MN/m<sup>2</sup>. Track modulus of the rest of the track's designs are calculated by using the same equation. Figure 5-16 presents the variation of the track modulus at different conditions.



**Figure 5–15 Comparison of track modulus between 15 cm of granular subballast and various asphalt layers**

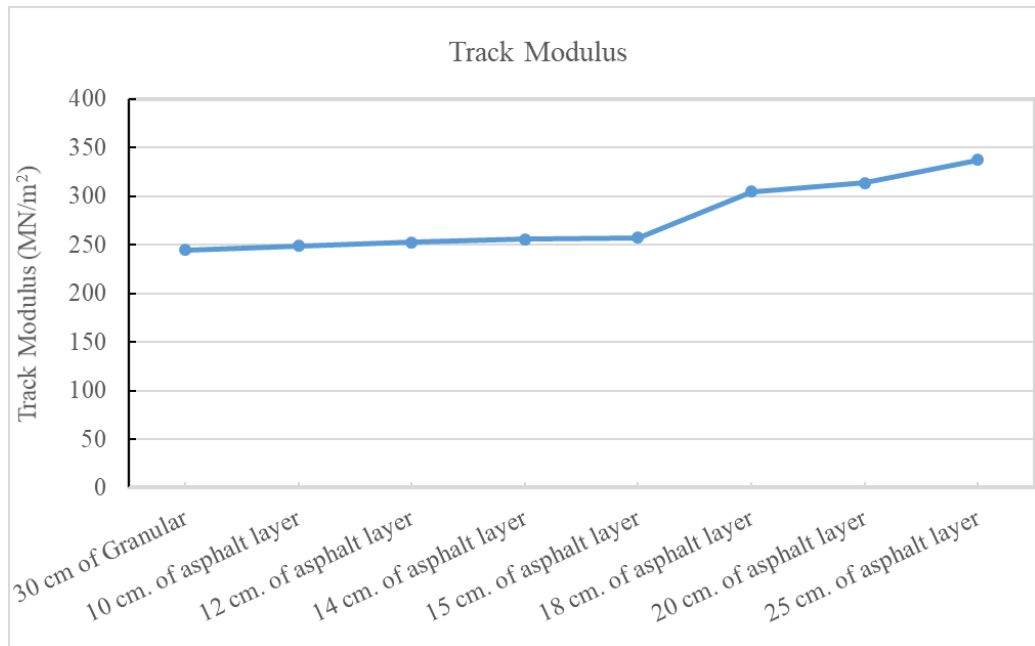


According to Figure 5-16, the conventional track, that uses 15 cm of granular subballast, has the track modulus at around 222.81 MN/m<sup>2</sup>, whereas the track modulus, that uses 10cm of the asphalt layer as subballast, is improved around 11.72 %. However, as it can be seen in the Figure 5-16, the big jump in the track modulus was when the asphalt layer changes from 15 cm to 18 cm.

**Table 5- 4 Summary of percent improving**

Thickness of Asphalt (cm)	Track modulus (MN/m <sup>2</sup> )	Percent of improving
10	248.94	11.72
12	252.49	13.32
14	255.76	14.78
15	257.64	15.63
18	304.59	36.70
20	313.73	40.80
25	337.43	51.44

In case of using 30 cm of granular subballast, the track modulus's value is around 244.58 MN/m<sup>2</sup>, and it is improved around 9.76%, when comparing with using 15 cm of granular subballast.



**Figure 5–16 Comparison of track modulus between 30 cm of granular subballast and various asphalt layers**

Overall, the track modulus is improved in all three methods. Increasing subballast thickness, using the asphalt layer as subballast, and using a combination track design. Track modulus when the asphalt layer is placed below the subballast is around 259.69 MN/m<sup>2</sup>, and Using the asphalt layer above subballast results 259.40 MN/m<sup>2</sup>. Therefore, placing asphalt layer above or below the subballast does not change the trackbed modulus.

**Table 5- 5 Comparison the track modulus**

Design method	Track modulus (MN/m <sup>2</sup> )
15 cm of Granular	222.82
30 cm of Granular	244.59
15 cm of Asphalt	257.64
Asphalt above Subballast	259.40
Asphalt below Subballast	259.69

## CHAPTER 6 CONCLUSION AND FUTURE STUDY

### 6.1 Conclusion

Although locomotives have been improved to carry heavy cargo or have high speed, many track routes have not been improved to support a modern locomotive. Railway tracks should provide reliable and safe support for the trains. Three methods have been used to improve trackbeds performance: (1) increasing subballast thickness, (2) using asphalt layer as subballast, and (3) combination of asphalt and subballast. To analyze the performance of the trackbed, stress and displacement under tie and on subgrade surface have been studied.

The finite element program has been used to simulate the trackbed. Firstly, the model was validated through analytical, and experimental measurements. For the first step, shallow foundation on sandy soil was modeled in ABAQUS and the bearing capacity was compared with the theoretical value (Terzaghi equation). The bearing capacity values for different dilation angles were consistent with the results published in literature. For the second, step the experiment performed at the University of Kentucky was simulated using ABAQUS. Stress distributions predicted below the tie for different

static loadings were consistent with the experimental observations. After validating the finite element model, the validated model is used to predict the optimum asphalt layer thickness and to study the effect of train speed and ballast, subballast, and asphalt thickness on track modulus. Results showed that increasing the asphalt layer from 10 cm to 25 cm does not have significant effect on stress distribution below the tie.

However, using the combination trackbed (both Asphalt layer and subballst layer) can reduce the stress under the tie by 18%. Results obtained in this study showed increasing the asphalt thickness from 10 cm to 25 cm reduces the deflection under tie by 20%.

Stress and displacements on the subgrade surface are also key parameters to design trackbeds. The results from this study show that the vertical stress and the vertical deflection are reduced by 55% and 37%, respectively, when the thickness of the asphalt layer is increased from 10 cm to 25 cm. It is interesting to note that increasing the thickness of the asphalt layer to 18 cm to 25 cm results in well distributed stress and uniform displacement above the subgrade.

Besides, using the theory of the Winkler (the correlation between the maximum vertical displacement and the applied load) the track modulus for all the combinations were calculated. The calculation's result shows that the track modulus for all cases increase compared to the conventional track (15 cm of granular subballast). Increasing the asphalt thickness from 15 cm to 18 cm increases the track modulus by 18%. Results also showed that increasing the train speed slightly reduces the track modulus by 20%.

Finally, the validated model is used to study where the asphalt layer should be placed. Results in this study show there is not a significant change in maximum vertical stress, vertical deflection, and the trackbed modulus by placing the asphalt layer below or above the subballast. However, it is easier to place the asphalt layer above the subballast for the construction and therefore it will be more cost efficient.

## **6.2 Future Study**

Failure of a track structure has been influenced by some conditions such as loading condition, and environmental condition. Loading condition comes from a heavy freight, or high-speed trains. The extra loading induces immediate settlement, fatigue failure, and shear failure to a whole track structure. The performance of the trackbeds under heavy loads must then be studied. Future research should also perform to predict the effects of environmental conditions on track systems. To accurately model these environmental issues, daily ambient temperature variation, water content, and swell-shrinkage of the soil must be considered in the model. Appropriate drainage and viable design for the railroad crossing should be suggested. For some specific locations such as California with high traffic demand, the railway system under the earthquake loading should be analyzed.

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