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<https://doi.org/10.18297/etd/3384>

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MODULATION OF HOST INNATE IMMUNE CELLS BY *YERSINIA PESTIS* TO CREATE A PERMISSIVE ENVIRONMENT FOR REPLICATION

By

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B.S., Bloomsburg University of Pennsylvania, 2012

M.S., University of Louisville, 2015

A Dissertation

Submitted to the Faculty of the
School of Medicine of the University of Louisville
in Partial fulfillment of the Requirements
for the Degree of

Doctor of Philosophy
in Microbiology and Immunology

Department of Microbiology and Immunology
University of Louisville School of Medicine
Louisville, KY

May 2020

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MODULATION OF HOST INNATE IMMUNE RESPONSES BY
YERSINIA PESTIS TO CREATE A PERMISSIVE ENVIRONMENT
FOR REPLICATION

By

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March 13, 2020

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DEDICATION

The following discourse is the result of numerous frustrating failures, exciting results, dashed hopes and dreams, newly formed hopes and dreams, proclamations of experimental completion (only to return to the bench for “one more experiment”), and many nights of sleep lost. From start to finish, this has been an experience filled with many emotions. This work is dedicated to Tammy (Mom), Tiva, and Praveen, who have endured this journey with me, picking me up from the lows and celebrating with me during the highs. Earning a Ph.D. was not a goal of mine when I was young, but during this process my love for pursuing science has only strengthen, and I have learned I am capable of achieving more than I ever dreamed.

ACKNOWLEDGMENTS

To begin I would like to thank Matthew Lawrenz for welcoming me into his lab, and for giving me the freedom to pursue the questions I found interesting. My development as a scientist and presentation skills would not have occurred without his patient guidance. Developing as a scientist requires branching out, learning new fields, and continually growing. I will be forever grateful to Matt for ensuring a solid foundation upon which to build, has been developed. The Lawrenz lab has seen a number of faces come and go during my time in the lab. Tiva VanCleave and Michael Connor were who I turned to whenever I needed advice, I couldn't have asked for better friends/lab family. Sarah, Amanda and Jen have replaced Tiva and Michael and will carry the lab forward to new discoveries.

I would like to thank my committee members for their questions and advice during the course of my studies. They have continually pushed me to think, and become a better scientist. Silvia Uriarte stepped in as my co-advisor and has been encouraging every step of the way, always looking out for my best interest and helping me to achieve more than I thought I was capable of.

My family has also been there for me every step of the way and I am so grateful for all of them. While I was far from my family during graduate school, the friends I made were like my adopted family. I have never laughed so hard and enjoyed life as much as since I've met each of you! There are too many to list, but you know who you are my fellow Gremlins and Makenzie!

ABSTRACT

MODULATION OF HOST INNATE IMMUNE CELLS BY *YERSINIA PESTIS* TO CREATE A PERMISSIVE ENVIRONMENT FOR REPLICATION

Amanda R. Pulsifer

March 13, 2020

Yersinia pestis has gained widespread infamy due to the historic outbreak during the middle ages, referred to as The Black Death. Infection with *Y. pestis* typically begins with deposition of *Y. pestis* into the dermis (bubonic plague) or respiratory tract (pneumonic plague). Tissue resident macrophages are the first innate immune cell encountered by *Y. pestis*. Macrophages are likely a way for *Y. pestis* to avoid neutrophils early in infection when the neutrophil neutralizing Type Three Secretion System is not expressed. This work focuses on which Rab host proteins are manipulated by *Y. pestis*, and how neutrophils are forced to remain silent when all alarms and the arsenal they possess should be triggered. Through an RNAi screen 13 of 45 screened Rab proteins were found to be important for intracellular *Y. pestis* survival. The Rab proteins were prioritized based on the impact gene knockdown had on *Y. pestis* intracellular survival. Overexpressed Rab2b and Rab20 co-localized to the YCV, while overexpressed Rab13 did not. Indicating Rab13 may regulate *Y. pestis* intracellular survival in a contact independent manner. Survival within macrophages likely provides *Y. pestis* time to express the type three secretion system. Using deletion and addition mutants, I found that *Y. pestis* uses the type three secretion system effectors, YopE, YopH, YopJ, and YpkA to inhibit neutrophil degranulation, in addition to inhibiting LTB₄ production in human neutrophils also by YopT. Unlike human neutrophils, LTB₄ is not produced in response to *Y. pestis* in mouse neutrophils or macrophages and the zinc binding protein, calprotectin is released *in vivo*, but not by human neutrophils. Together, the Rab data and neutrophil exocytic responses contribute to our understanding of how *Y. pestis* manipulates host phagocytic cells to create a permissive environment in which to survive and replicate.

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CHAPTER 1

INTRODUCTION

Plague: Transmission, disease progression, and epidemiology.

Yersinia pestis is an adept pathogen with a well-documented history of causing disease in human populations, manifesting as the disease known as plague. Infection with *Y. pestis* results in a variety of signs and symptoms contingent upon the route of inoculation. *Y. pestis* is a vector borne pathogen, passing from rodents to accidental human hosts through the bite of infected fleas [1]. The ability of *Y. pestis* to be transmitted by an insect vector was acquired after divergence from the enteric pathogens *Y. enterocolitica* and *Y. pseudotuberculosis* [2]. Flu-like symptoms are the first warning signs of plague, with body aches, fever, chills, and other symptoms [1].

When *Y. pestis* is transmitted through flea bite into the skin, the form of plague that arises is referred to as bubonic plague. Bubonic plague is approximately 30-60% lethal in infected individuals without antibiotic treatment, with an infectious dose ranging from as little as one to one hundred bacteria [1]. As *Y. pestis* disseminates from the site of inoculation and colonizes the draining lymph node, the hallmark buboes begin to form, from which the name bubonic plague is derived, as *Y. pestis* proliferates in the lymph node to high numbers [3, 4].

Septicemic plague occurs when *Y. pestis* enters the blood upon dissemination, or direct inoculation of *Y. pestis* into the blood during flea feeding. Upon entering the blood, a required part of the transmission process, *Y. pestis* spreads throughout the host quickly colonizing major areas of blood filtration such as the spleen, liver and lungs [5, 6]. Septicemic plague is highly lethal, killing ~100% of untreated patients within 72 hours [1]. As the bacteria overtake the host, tissues in the extremities begin to undergo necrosis, causing a visible blackening of the tissues.

There are two classifications of pneumonic plague, which are differentiated by the way in which *Y. pestis* enters the lungs. Primary pneumonic plague arises when the bacteria enter the lungs from an exogenous source, such as inhaling aerosolized droplets containing *Y. pestis*. Secondary pneumonic plague arises from *Y. pestis* entering the lungs via the blood, subsequent to either disseminated bubonic or primary septicemic infection. Similar to septicemic plague, pneumonic plague is ~100% lethal within 72 hours without antibiotic administration [1]. Pneumonic

plague can also result in human to human spread, through aerosols generated by coughs and sneezes.

Three *Y. pestis* pandemics have been classified. The first pandemic is referred to as the Plague of Justinian, and began in the 6th century and lasted approximately 200 years [7]. The 14th century (Middle Ages) saw the rise of one of the most noted plague outbreak events, referred to as the Black Death. Plague contributed to extensive loss of human life as the pandemic swept through western Asia and Europe and flare up outbreaks continued well into the 1600s [8]. Studies have isolated *Y. pestis* DNA from the teeth of individuals buried in mass graves who died during this time period, confirming *Y. pestis* caused was the cause of the human casualties [9]. Many estimate the European population was reduced by 1/4th to 1/3rd during the Black Death [10]. The third pandemic began around 1896 and is still ongoing today. Cases of plague sporadically occur around the globe as spillover events due to *Y. pestis* now being endemic in rodent populations.

How *Y. pestis* has spread across the globe has not been fully elucidated and is the topic of many studies. China is believed to be the source from whence all three pandemics originated [11]. For the Plague of Justinian and the Black Death it is thought that the Silk Road was the route from which *Y. pestis* spread into Europe [12]. The Silk Road was the main trading route for the time and was a thoroughfare for transporting goods through and out of China. The Silk Road connected China to eastern Asia, and eventually Europe, via the Mediterranean. Incidences of plague outbreaks were reported to have occurred along the Silk Road route, supporting the idea that the Silk Road was likely the pathway for introduction of plague into Europe.

A direct land route was not possible for movement of *Y. pestis* into the Americas. However, ships laden with goods from China arrived in the ports of California, and thus introduced plague into the Americas [13]. From the Californian ports, *Y. pestis* radiated out into the native rodent populations of western North America, finding a new reservoir in prairie dogs, squirrels, and other American rodents [14]. *Y. pestis* has become a blight on two American animal populations, decimating prairie dog colonies and spilling over into the black footed ferret population, whom prey upon prairie dogs [15]. *Y. pestis* not only kills susceptible black footed ferrets, but those fortunate

enough to avoid infection, face ultimate demise when prairie dog populations, the sole food source of black footed ferrets, dwindle. Conservation efforts to re-establish the endangered black footed ferret populations have been hindered by *Y. pestis* outbreaks in prairie dog colonies [16]. A number of spill over events from rodents into human populations occur each year in the American west, acting as a detriment not only to rodent populations and black footed ferret populations, but humans as well [17].

While North America records several human plague cases yearly, Madagascar usually records the most human plague cases each year [18]. Wild rodents on the island harbor *Y. pestis* and spill over events occur yearly [19]. The worst outbreak of plague in recent years was from the Fall of 2017, when more than 2,000 cases of plague were reported [20, 21]. The disease was first transmitted to humans through fleas, but human to human transmission via pneumonic plague was the main driver of this outbreak [20]. Early cases were first recorded in rural areas, but as humans traveled, cases began to appear in the capital city of Antananarivo [20, 21]. With pneumonic plague cases in the crowded capital city, the number of cases increased until mechanisms to prevent the spread were implemented. The 2017 Madagascar outbreak is fresh in our memory, but outbreaks in India, China and South America also occur annually [22, 23]. Climate change has the potential to change reservoir host habitats that could increase potential human spill over events. Lack of an approved vaccine for human use, continues to leave human populations around the globe vulnerable to outbreaks of plague.

Y. pestis as a Bioweapon.

Y. pestis has unfortunately also been used as a biological weapon [24]. During the War of Kaffa, plague infected corpses were tossed over the walls of the besieged city by the invading Tartar army in the hopes that the disease would be transmitted to inhabitants [25]. A more recent use was during World War II, when Japan performed research on prisoners of war using *Y. pestis* to study how quickly people die and how it could be used to against foreign nations. Additionally, Unit 731 of Japan made possible the utilization of *Y. pestis* as a “biological bomb” by dropping rice mixed with *Y. pestis* infected fleas over China [25]. Due to study and use of *Y. pestis* as a biological

weapon during WWII, it has been estimated that several thousand individuals were infected, with recurrent outbreaks of plague in subsequent years [26]. Japan was not the only modern country to weaponize *Y. pestis*. During the cold war, both Russia and the United States had active programs working to create aerosolized *Y. pestis* to target the opposition. In addition, Russia was also pursuing ways in which to increase the lethality of *Y. pestis* infection by attempting to combine *Y. pestis* with toxins or viruses. The plan was for the toxins or viruses to release upon treatment with antibiotics due to lysis of *Y. pestis* [25].

While neither country has active biological weapons programs now, concerns that *Y. pestis* could be used again as a bioweapon still remain. Counter-terrorism has been a top priority for the United States government, but the efficacy of such measures has not been assured. Due to the history of *Y. pestis* use as a biological weapon, combined with transmission through aerosols and high mortality rates, the United States designates *Y. pestis* as a Tier 1, Category A Select Agent. Due to the select agent classification restricts have been implemented for working with *Y. pestis*. To ensure proper containment of the agent, *Y. pestis* must be worked with in specialized facilities designed with engineering controls and personal protective equipment to prevent exposure to the pathogen. Additionally, precautions are taken to ensure proper handling and storage occurs to mitigate the potential release or misuse of the agent from occurring.

Divergence from ancestral strains.

Although infection with *Y. pestis* is one of the most deadly and rapidly progressing infections a human can contract, the closely related enteric pathogens *Yersinia enterocolitica* and *Yersinia pseudotuberculosis* are not as adept at killing humans as *Y. pestis* is [1, 27-29]. Both *Y. pseudotuberculosis* and *Y. enterocolitica* are food borne pathogens that cause a self-limiting gastroenteritis [28, 29]. After ingesting either of these enteric pathogens, they cross the intestinal barrier and enter the intestinal lymph nodes (mesenteric) [30, 31]. The diseases caused by the enteric *Yersinia* are very similar due to divergence from a common ancestor and possession of many common virulence factors [27, 32, 33]. From *Y. pseudotuberculosis*, *Y. pestis* subsequently diverged, which included loss of two virulence factors important for enteric *Yersinia* pathogenesis

(Invasin and YadA), and acquisition of two plasmids encoding murine toxin and the plasminogen activator (Pla) [2]. The divergence uniquely adapted *Y. pestis* to a vector borne lifestyle, while enhancing the ability of *Y. pestis* to disseminate and evade host innate immune responses.

Y. pestis infection - avoiding innate immune system mediated clearance.

Y. pestis maintains a hallmark non-inflammatory host environment up to 24 h post-infection. The innate immune system subsequently responds by causing massive expression of antimicrobial products and cytokines, referred to as a cytokine storm [34-36]. By the time an inflammatory response is mounted, *Y. pestis* has enacted a defense mechanism capable of neutralizing innate immune cells. Ultimately, necrosis of host tissues occurs without impacting *Y. pestis* replication, resulting in death of the host before an adaptive immune response is ever mounted [37]. The innate immune system is therefore the primary line of defense against *Y. pestis*.

How *Y. pestis* maintains an early non-inflammatory phase is a question that may hold the key to understanding *Y. pestis* disease progression. Innate immune cells are key to production of an inflammatory response to bacteria, and are thus likely being manipulated or subverted by *Y. pestis* to maintain the non-inflammatory phase [38]. From when *Y. pestis* was first identified as the etiological agent of plague, the *Y. pestis* field has attempted to better understand the ways in which *Y. pestis* interacts with the innate immune system [36, 37, 39]. Using a mouse model of infection, *Y. pestis* has been shown to interact primarily with neutrophils, macrophages, and to some extent with dendritic cells very early during infection, but these cells are not able to clear the infection [40-43]. Due to the ability of *Y. pestis* to avoid clearance, research efforts have focused on understanding how *Y. pestis* interacts and avoids clearance by these innate immune cells [36, 41]. Possession of several virulence factors and modulation of key surface exposed antigens have allowed *Y. pestis* to subvert and directly combat innate immune responses to establish disease [33, 35, 44-46].

Changes in LPS allow evasion of inflammation.

Toll like receptors (TLRs) are members of the host pattern recognition receptors (PRRs) that rapidly identify molecules produced by pathogens (referred to as pathogen-associated

molecular patterns or PAMPS) leading to activation of the immune response [47]. Lipopolysaccharide (LPS) produced by Gram negative bacteria is typically recognized by TLR4 leading to activation of the NF- κ B pathway triggering inflammatory cytokine and lipid mediator responses to coordinate multicellular responses to the infection [48]. However, all three pathogenic *Yersinia* are able to switch the LPS they display from a hexa-acylated TLR4-stimulatory form, to a tetra-acylated TLR4-non-stimulatory form with variability in lipid A structure [49]. Switching to the tetra-acylated non-stimulatory form allows for evasion of the innate immune response, demonstrated by data showing inhibition of LPS modification results in attenuation and clearance of *Y. pestis* due to ligation and signaling through a TLR4/MD2/ MyD88 dependent pathway [48, 50, 51]. *Y. pestis* also lacks O-antigen, leaving the LPS core components exposed [49]. A recent study demonstrated this modification to be important for interaction with antigen presenting cells (APCs) and specifically for binding of the C-type lectin, CD209b (SIGNR1) to enhance dissemination of *Y. pestis* [52].

Ail contributes to complement resistance.

The enteric *Yersinia* have two important adhesins involved in virulence (Invasin and YadA) that *Y. pestis* does not have. A conserved adhesin, shared between all three pathogenic *Yersinia*, and important for virulence, is Ail [53-56]. Ail is an outer membrane protein (OMP) with greatest efficacy at 37°C, when the O antigen of LPS is shed from the bacterial surface [57]. Exposure of Ail allows the bacterium to bind host C4b binding protein to inactivate the complement component C3 convertase, protecting *Yersinia* from the complement cascade [45]. Additionally, Ail also enhances adherence and targeting of innate immune cells for Type 3 secretion (see below), thus diminishing recruitment of neutrophils and inhibiting inflammation [53, 55].

The Pla adhesin/protease contributes to dissemination.

The plasminogen activating protein (Pla), encoded on the pPCP1 plasmid, was acquired by *Y. pestis* and has been shown to aid in attachment to host cells [33, 58]. Pla not only acts as an adhesin, it is also an omptin family of proteases, and has been shown to cleave host complement proteins and inhibit the function of plasminogen activator inhibitor -1 (PAI-1) [59]. Inhibition of PAI-

1 blocks degradation of host extracellular matrix by matrix metalloproteases, limiting the breakdown of cellular junctions, and increasing the difficulty for host innate immune cells to infiltrate the site of infection and produce a pro-inflammatory response. A recent study by Banerjee et al. found that Pla also functions as a facilitator of T3SS targeting of alveolar macrophages, inhibits neutrophil infiltration into the lungs, and is important for resisting neutrophil mediated bacterial degradation [60, 61]. Together, Ail and Pla enhance targeting of innate immune cells and resist innate immune mediated killing of *Y. pestis*.

The F1 Capsule protects against phagocytosis.

In addition to Pla, *Y. pestis* also expresses a protein capsule called F1 that is not present in the enteric *Yersinia* [62]. F1 is produced by a modified chaperone usher system on the pMT plasmid [63, 64]. The F1 capsule is temperature regulated and does not occur in the flea vector [65]. Expression of the F1 capsule is induced at 37°C upon transmission into the mammalian host. The F1 capsule blocks interactions with phagocytic cell receptors to contribute to inhibition of phagocytosis and protects the bacterium from antimicrobial peptides [66].

The Type 3 Secretion System is essential for immune modulation.

While the F1 capsule contributes to inhibiting uptake of *Y. pestis*, the Type 3 Secretion System (T3SS) encoded by the pCD1 plasmid is the primary virulence factor protecting *Y. pestis* from phagocytes [62]. Bacterial T3SS are molecular syringes that span both the inner and outer Gram negative bacterial membranes, as well as the mammalian plasma membrane [67]. Bacterial effector proteins are rapidly shuttled across all three membranes in an ATP dependent manner through the needle of the T3SS [68]. In addition to inhibiting phagocytosis, numerous studies have demonstrated the T3SS inhibits multiple arms of the innate immune responses [35, 36, 69-71]. The T3SS delivers seven bacterial *Yersinia* outer proteins (Yops) into the host cell cytosol (Table 1-1). Once inside host cells, these Yop effector proteins directly inhibit specific cellular signaling pathways [70]. Three effector proteins (YpkA, YopE, and YopT) target the host proteins Rac, Rho, and other actin interacting proteins to directly inhibit cytoskeletal rearrangement, effectively inhibiting phagocytosis, motility, and other antimicrobial responses [72-76]. Each of these Yop

effector proteins have different enzymatic activity. YpkA is a serine/threonine kinase, while YopE is a GTPase activating protein, and YopT is a cysteine protease [72, 74, 76-80]. Furthermore, YopH is capable of inhibiting cytoskeletal rearrangement through suppression of focal adhesion complex signaling, and also inhibiting calcium flux [73, 81-84]. YopJ targets the mitogen activating protein kinase (MAPK) signaling pathway to inhibit activation of nuclear factor κ B (NF- κ B), which regulates transcription of cytokines [71, 85-88]

Disruption of cytoskeletal rearrangement and MAPK signaling is important to inhibit antimicrobial and inflammatory responses, and greatly contributes to the non-inflammatory environment associated with plague. However, these activities can be recognized by host cells, resulting in activation of the inflammasome pathway and cell death [89, 90]. Innate immune cell death due to activation of the inflammasome leads to production of inflammatory cytokines. To circumvent inflammasome activation due to disruption of the Rac and MAPK signaling by YopE and YopH, *Y. pestis* also delivers two Yop effector proteins capable of inhibiting inflammasome activation (YopM and YopK) [91-96]. Inflammasome activation is inhibited by the leucine rich repeat containing YopM through disruption of signaling by caspase-1 IQGAP1, and RSK1 [97-99]. Historically, the activity of YopK has been less well known. Previous studies have established that YopK acts as a regulator of Yop translocation into host cells, to limit inflammasome activation [92]. Recent work has shed further light on the activity of YopK, demonstrating that YopK is important for inhibiting guanylate binding protein mediated activation of the inflammasome, triggered by the T3SS translocon pore [96, 100]. Together, the seven Ysc T3SS effector proteins cripple innate immune cell motility, phagocytosis, and the ability to raise warning signals through activation of the inflammasome.

While required for mammalian infection, the T3SS is not required in the flea and thus expression of the T3SS is tightly regulated, with temperature, pH, and cation concentration all acting as signals for expression [101, 102]. The primary signal differentiating the flea and mammalian host is temperature. As such T3SS is low during growth at temperatures mimicking the flea (<26°C) and increases when grown at mammalian temperatures (34-37°C) T3SS expression also responds to calcium, magnesium, and iron concentrations [101, 103]. Cations are highly

regulated in mammalian tissues, allowing pathogenic bacteria to use them as cues for expressing or repressing required virulence factors that are metabolically costly, or have the potential to errantly activate host defense mechanisms [104, 105]. Importantly, since the T3SS (and other virulence factors) are not expressed in the flea, there is a transition period after deposition by flea feeding when *Y. pestis* is highly susceptible to being engulfed by phagocytic cells, such as macrophages and neutrophils [106-108]. While *Y. pestis* engulfed by neutrophils are quickly degraded, those bacteria phagocytosed by macrophages can survive and even subsequently replicate, eventually lysing the out of the macrophage. [107, 109]. The ability to survive and replicate within macrophages, but not within neutrophils, has led to the idea that *Y. pestis* uses macrophages early during infection as a way to subvert clearance by neutrophils. Additionally, exploitation of macrophages as a hideout would provide *Y. pestis* with the opportunity to upregulate expression of the T3SS and other virulence factors that allow the bacterium to better, combat neutrophil antimicrobial defenses.

Table 1-1: <i>Yersinia</i> T3SS Effector Proteins				
Effector <i>Y. pestis</i> /Enteric	Activity	Verified Host Target		Cellular Effect
		Mφ and other cell types	Neutrophil	
YpkA/YopO	Serine/threonine kinase	VASP, WASP, WIP, Gelsolin, Gαq, EVL, mDia1, INF2, cofilin, RhoA, Rac1, and Rac2		Inhibition of actin cytoskeletal dynamics contributing to perturbation of phagocytosis
YopE	GTPase activating protein	RhoA, Cdc42, Rac2, RhoG		1. Inhibition of actin cytoskeletal dynamics contributing to perturbation of phagocytosis 2. Caspase-1 inhibition resulting in disruption of IL-1β and IL-18 maturation event
YopH	Protein tyrosine phosphatase	FAK, p130cas, paxillin, Fyb, SKAP-HOM, PRAM-1, SLP-76, Vav, PLCγ2, p85,	SKAP-HOM, PRAM-1, SLP-76	1. Focal adhesion complex disruption culminating with inhibition of phagocytosis

		Gab1, Gab2, Lck, LAT		<ol style="list-style-type: none"> 2. Pro-inflammatory cytokine/MCP-1 inhibition 3. Calcium response and ROS inhibition 4. Inhibition of PI3K and AKT pathways
YopJ/YopP	<p>Acetyltransferase</p> <p>Deubiquitinase</p> <p>Cysteine protease</p>	<p>TRAF2, TRAF6, IκBα, MAPKKKs, MAPKks, IKKβ, RICK, eIF2α</p>		<ol style="list-style-type: none"> 1. Induces Caspase-1 and apoptosis induction 2. Pro-inflammatory cytokine, chemokine, and adhesion molecule inhibition
Yop K		Matrilin-2		<ol style="list-style-type: none"> 1. Regulates Yop Translocation 2. Regulates caspase and apoptosis activation
YopM	Leucine rich repeat protein	<p>RSK, PRK, Caspase-1, IQGAP1</p>		<ol style="list-style-type: none"> 1. Induces anti-inflammatory cytokine production, while inhibiting pro-inflammatory 2. Caspase-1 and apoptosis inhibition
YopT	Cysteine protease	<p>RhoA, Rac1, Cdc42, RhoG</p>		<ol style="list-style-type: none"> 1. NFκB inhibition 2. Perturb actin cytoskeleton

* denotes information specific to *Y. pestis*

Table adapted from [44]

Y. pestis creates a replicative niche within macrophages.

The idea that *Y. pestis* uses macrophages as a niche for evading neutrophil mediated destruction is supported by *in vivo* data pointing to the importance of macrophages for *Y. pestis* to fully establish an infection to cause disease. Chemical depletion of macrophages causes diminished dissemination from draining lymph nodes in a sphingosine-1-phosphate dependent manner [110]. The response to *Y. pestis* infection by macrophages is also important for controlling infection. In susceptible mice, it has been shown that the murine macrophages produce a non-

inflammatory response (M2 phenotype), whereas in mice which are resistant to plague, the macrophages create an inflammatory response (M1 phenotype) better able to clear *Y. pestis* [111, 112]. Pre-treatment to induce an M1 phenotype in susceptible mice enhances the host response and is better at clearing *Y. pestis* infection [111]. The requirement for monocytes/macrophages during *in vivo* infection and the evidence that pre-activated macrophages can better combat *Y. pestis* infection, supports the hypothesis that *Y. pestis* use naïve macrophages as a shelter while anti-host factor expression is upregulated. While the fact that *Y. pestis* is able to survive and replicate within macrophages is well accepted, how *Y. pestis* is able to subvert natural macrophage degradative mechanisms has not been well defined.

Macrophages are a host innate immune cell tasked with patrolling and controlling invasion by microorganisms such as bacteria. Macrophages engulf bacteria and encapsulate it within a vacuole termed a phagosome [47]. After engulfment, phagosomes are trafficked to a degradation specific pathway where they undergo modifications and fuse with another vesicle termed the lysosome [47]. Fusion with lysosomes results in formation of a phagolysosome where invading organisms are exposed to acidic conditions in which proteases are activated, resulting in degradation and elimination of microorganisms. To direct trafficking of phagosomes through the maturation process toward fusion with lysosomes, mammalian cells rely upon Rab GTPases [113, 114]. Early phagosomes associate with Rab5 and EEA1 [113, 115]. Through the maturation process, Rab5 is replaced by Rab7 to become a mature phagosome [113]. Fusion of lysosomes with the phagosome further modifies the membrane so that Rab7 is lost and Rab9 is gained [113]. In addition to directing phagosome maturation, Rab GTPases are also involved in trafficking of other membranous vesicles throughout cells [113]. The importance of Rab GTPases in trafficking events within eukaryotic cells makes these host proteins the target of many bacterial pathogens.

Unlike other non-pathogenic bacteria, once phagocytosed, the *Y. pestis* phagosome does not progress through the phagosomal maturation pathway. Instead *Y. pestis* generates a vacuolar compartment termed the *Yersinia* containing vacuole (YCV) (Figure 1-1). YCVs do not appear to acidify over the course of macrophage infection and the pH in the YCV remains between 6.5 and 7.5. [107-109, 116-118]. Additionally, most YCVs fail to co-localize with Rab7, LAMP-1, and

Cathepsin D, markers of mature phagosomes, and thus appear to avoid fusion with lysosomes [117]. Instead, large proportion of YCVs co-localize with Rab1b, 4a, and 11b early during biogenesis (Figure 1-1) [118, 119]. Furthermore, recruitment of Rab1b and 4a to the YCV appears to be essential to avoid vacuolar acidification. [118, 119]. Recruitment of Rab11b does not appear to be required to avoid acidification, but proceeds bacterial replication [119]. Sequestration of Rab11b on the YCV by *Y. pestis* disrupts global cellular recycling and leads to the induction of host cell autophagy, which has been linked to *Yersinia* intracellular replication [120, 121]. Targeting of the host autophagy pathway has been postulated to serve as a source of membrane by which expansion of the YCV occurs to form a spacious vacuole. [117, 119]. Within the spacious YCV, *Y. pestis* replicates and infected macrophages lyse between 12 and 15 h post infection [122]. To date the ability of *Y. pestis* to generate the YCV and replicate within macrophages has not been attributed to the function of a known virulence factor. Importantly, the T3SS is not required for intracellular survival. However, stress response genes such as those regulated by PhoPQ and the *rip* operon have been shown to enhance survival but not the biogenesis of the YCV [107, 123-126]. Therefore, there is currently a major gap in our understanding of how *Y. pestis* is able to avoid killing by macrophages.

While there is much still to be defined regarding how *Y. pestis* survives within macrophages, it is known that autophagy is required by *Y. pestis* for intracellular replication [117]. Autophagy is a catabolic mechanism utilized by cells to break down and recycle self or foreign structures [127]. When cellular components are damaged, or the cell is undergoing stress, autophagy is induced in order to break down the products and make available the liberated nutrients for repurposing [127, 128]. Depending on the structure being degraded, autophagy is labeled differentially. When mitochondria are degraded, it is referred to as mitophagy, whereas when bacteria are the degradative target, it is referred to as xenophagy [129]. Autophagy functions by enveloping particles within a membrane bound compartment and a typical marker of autophagy is the formation of a double membrane structure [128]. Formation of the membranous compartment occurs as a cascade of activation signals, and depending on the type of autophagy, the steps in formation of autophagic vacuoles can differ. Generally, ULK1 associates with Beclin1, ATG

proteins, and adaptor proteins such as p62 to begin forming the autophagosome [130]. Additional ATG proteins further elongation of the membrane by adding phosphatidylethanolamine to LC3-I, thus converting it to LC3-II and allowing for closure of the vesicle and sensitivity of the inner membrane to lysosomal degradation [131]. Rab proteins, such as Rab11 are important for autophagy, as they can direct recycling endosome membranes into the autophagy pathway to provide membrane for the autophagy expansion process [130]. To degrade the bacteria, syntaxin 17 associates with the autophagic membrane to facilitate fusion with lysosomes and create a structure termed an autolysosome [131, 132].

While autophagy is beneficial for eukaryotic cells undergoing stress, induction of autophagy is detrimental for bacteria which escape from phagosomes and enter into the host cell cytosol to replicate, such as *Shigella flexneri* or *Burkholderia* species [133, 134]. However, unlike bacteria which enter into the cytosol, those remaining within a vacuole can benefit from induction of autophagy, such as *Anaplasma phagocytilium* and *Coxiella burnetti* [135-138]. Moreover, for the vacuolar pathogen *Y. pseudotuberculosis* which is closely related to *Y. pestis*, LC3 is recruited to the vacuole and autophagy is important for replication within macrophages [120, 121]. *Y. pestis* has been observed co-localized to the autophagy marker LC3 and within a double membrane compartment, suggesting *Y. pestis* enters into the autophagy pathway and benefits from the nutrient liberation process similar to other intravacuolar pathogens [117].

RNAi screens to identify host pathways important for intracellular survival.

Our lab has proposed the hypothesis that *Y. pestis* targets host signaling pathways to avoid phagosome maturation to survive within macrophages. To date, conventional bacterial mutagenesis screens have been unable to identify bacterial factors required for YCV biogenesis. An alternative strategy to better understand the mechanisms used for YCV biogenesis is to identify the host factors required for *Y. pestis* intracellular survival. In order to better understand how other pathogens manipulate host processes, large scale RNA interference (RNAi) high throughput screening approaches have been used [139]. Utilization of RNAi has been useful for spotlighting the differences in host components required for vacuolar versus cytosolic pathogen survival and

replication [139]. Moreover, other RNAi screens with *Brucella abortus*, *Chlamydia trachomatis*, and *Salmonella typhimurium*, have aided in better understanding the biological mechanisms these pathogens use to overcome degradation and to create a permissive intracellular environment for replication [140-144]. We used a similar strategy to identify three Rab GTPases (Rab1b, 4a, and 11b) as required for survival of *Y. pestis* within macrophages [118, 119]. These studies highlight how targeting the host cell using RNAi can provide a better understanding of the mechanisms used by bacteria to survive within host cells.

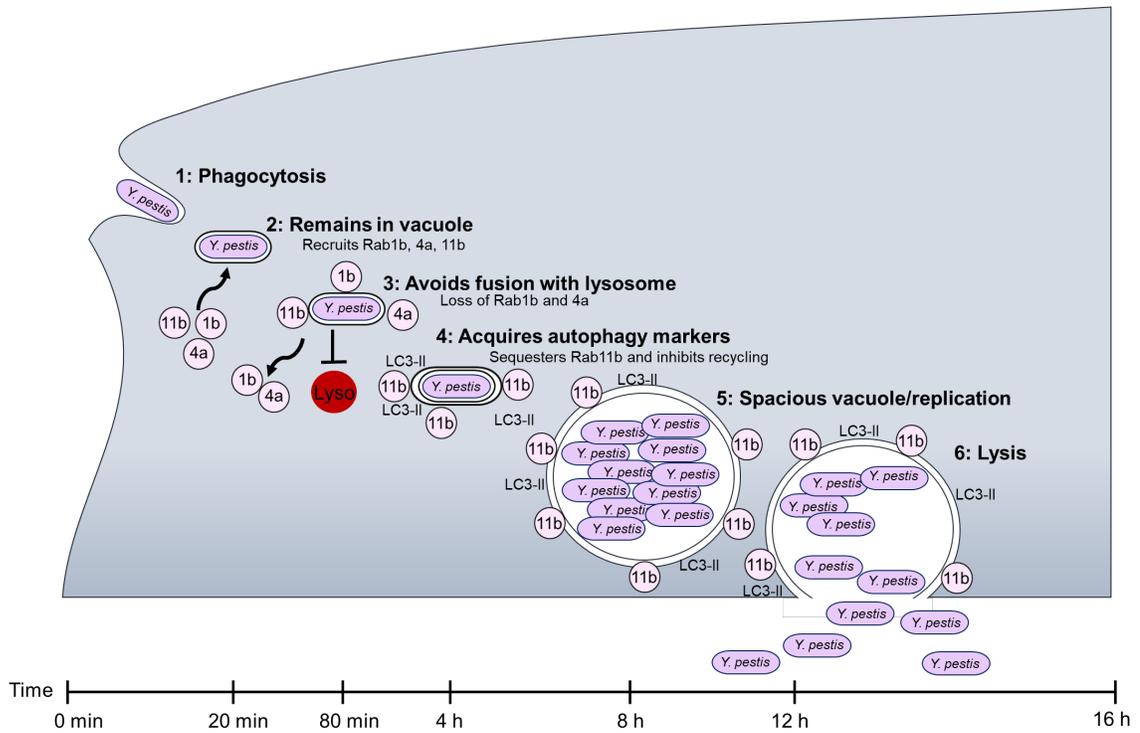


Figure 1-1: *Y. pestis* survival within macrophages.

Yersinia pestis is phagocytosed by macrophages, yet is not degraded. Phagosomal maturation and fusion with the lysosome does not occur, which is dependent on Rab1b. Instead *Y. pestis* acquires Rab1b, 4a, 11b and markers of autophagosomes early during infection, Rab1b and 4a are lost while Rab11b is retained and creates a spacious *Yersinia* containing vacuole wherein replication occurs. Finally *Y. pestis* lyses out of the infected macrophage between 12 and 18 h post-infection. Although it is well understood that *Y. pestis* is able to survive and replicate within macrophages, the precise mechanism of how *Y. pestis* diverts phagosome maturation from fusion with lysosomes remains unknown.

Neutrophil antimicrobial defense mechanisms.

Macrophages are important for *Y. pestis* to disseminate and fully establish disease within the host [52, 145]. Understanding what host components and pathways are used by *Y. pestis* to traffic within macrophages will improve our understanding of how the lysosomal degradative mechanism of macrophages is subverted and *Y. pestis* creates a niche for replication. Conversely, neutrophils are detrimental to the survival of *Y. pestis* within the host. In pneumonic plague, early recruitment of neutrophils to the lungs improves the survival rate of infected mice [146]. While *Y. pestis* is able to survive and replicate within macrophages, *Y. pestis* is not able to survive and replicate within neutrophils as observed for macrophages [147]. Even though macrophages and neutrophils are both innate immune cells that are able to destroy microorganisms, the antimicrobial mechanisms utilized by the two cell types are different in many ways.

Neutrophils are one of the most abundant leukocytes in the circulatory system and are vital for successful control of infections. Neutrophils comprise nearly 70% of the leukocytes within blood and were once thought to be short lived, with a life span of only a few hours, due to the monumental effort and toxicity combating the infection entails [148, 149]. However, this perceived characterization of neutrophil life cycle is being increasingly refuted by accumulating data pointing to neutrophils living longer than previously thought with the proper signals [150, 151]. Neutrophils, along with other phagocytic cells such as dendritic cells (DCs) and macrophages, are continually surveilling for microbial invaders. Unlike DCs and macrophages, which differentiate and remain primarily as tissue resident cells, neutrophils primarily patrol in the blood stream, waiting for signals from endothelial, epithelial, or other phagocytic cells to indicate an infection or tissue damage has occurred in order to home to the tissues [151]. Upon activation, receptors are displayed on the neutrophil membrane to enhance homing abilities and allow for increased attachment to endothelial membranes. Increased display of integrins on the endothelium, and receptors/ligands on the neutrophil surface, allows neutrophils to slow, bind, and eventually stop in the swiftly moving bloodstream [151]. Tightly adhering to the endothelium, neutrophils begin the process of transmigration to enter into the underlying epithelial tissue [151].

Upon reaching the site of infection, neutrophils employ a multifaceted arsenal to neutralize the infection as swiftly as possible. Neutrophils use phagocytosis, release of granule contents into the phagosome or extracellular space, release of chromosomal content (neutrophil extracellular traps [NETs]), and release of reactive oxygen species (ROS) to negatively impact the survival and replication of invading microorganisms [152-157]. Although these mechanisms are detrimental to the microorganism, they are also destructive to the neutrophil and other nearby host cells. Therefore, neutrophils tightly regulate responses based on the pathogenic potential of the microorganism [149].

Phagocytosis.

Phagocytosis by neutrophils is a very rapid event, occurring within seconds, compared to macrophages which require minutes to complete phagocytosis [158]. Upon phagocytosis of the microorganism, neutrophils do not traffic the phagosome in the same way as macrophages. Instead, neutrophil phagosomes remain at a neutral pH (~pH 7), and do not fuse with lysosomes to degrade microorganisms [158]. Instead, neutrophils rely upon fusion with compartments called granules and a potent oxidative burst to kill bacteria [149, 153, 158, 159].

Phagosome granule fusion and ROS response.

Of the mechanisms neutrophils possess to combat microbial infections, production of reactive oxygen species is one of the most effective yet self-destructive, damaging DNA, RNA, lipids, and proteins [160-162]. Therefore, production of ROS is a tightly controlled mechanism that relies upon other defensive measures to occur before ROS can be produced [153]. To make ROS, neutrophils assemble several subunits to create the catalytically active unit known as the NADPH oxidase [163-165]. The subunits are the membrane bound cytochrome b_{558} , which has two components, $p22^{phox}$ and $gp91^{phox}$, and cytosolic $p47^{phox}$, $p40^{phox}$, $p67^{phox}$, and Rac2 [165]. The membrane bound cytochrome b_{558} is stored in neutrophil granules, the phagosome must undergo fusion with granules containing cytochrome b_{558} for the respiratory burst to occur. Inhibition of granule fusion results in decreased phagosomal ROS production [153]. Production of ROS is more effective at killing invading organisms within phagosomes due to the small area in which it is

contained aiding in concentrated exposure. However, some microorganisms cannot be phagocytosed [166]. To overcome the inability to phagocytose a microorganism, neutrophils shift targeting of toxic antimicrobials away from phagosomal delivery, to extracellular release [155, 167, 168]. Neutrophils are able to release not only ROS into the extracellular milieu, but granule contents as well [167, 168].

Degranulation.

Production of ROS is a potent product that interferes with many different targets within the invading organism, and the action of ROS is complemented by the potent antimicrobials packed into the granules of neutrophils. Similar to other granulocytes, such as basophils, eosinophils, and mast cells, neutrophils form preformed granules during maturation [167]. The granule cargo are formed and packaged for rapid release to respond to a stimulus as quickly as possible. Granules are not homogeneous and there are four different types of granules formed by neutrophils, with additional subtypes (Figure 1-2) [167]. There is a hierarchical order in which the granules are formed and also in how they are released [167]. While many studies have focused on understanding how these four types of granules are differentially regulated for release, the exact mechanisms are still under investigation [148, 169].

Primary (Azurophilic) granules.

The first granule to form, yet the last to be released, are primary granules also known as azurophilic granules [167]. The name azurophilic granule comes from the azurocidin contained within, which acts as a chemoattractant and increases vascular permeability [167]. Azurophilic granules contain the most potent antimicrobials formed by neutrophils such as lysozyme, defensins, bactericidal/permeability increasing protein (BPI), and myeloperoxidase (MPO) [167]. While most of the antimicrobial peptides function to directly interact with microorganism membranes, MPO reacts with H₂O₂ produced by NADPH oxidase to cause additional toxicity through formation of hypochlorous acid, in addition to others [167]. The toxicity produced by azurophilic granule components can cause significant collateral damage to surrounding host tissue. Therefore, release is tightly regulated and requires additional stimulus for release than for the other

granules. Azurophilic granules are the final granule to be released, as they are held for direct interaction with microorganisms.

Secondary (Specific) granules.

Through the process of myelopoiesis, the second granule type to be formed are secondary granules, otherwise termed specific granules [167]. Specific granules have a dual role, as they are important for direct combat with microorganisms, due to the antimicrobials they contain, while also functioning for neutrophil movement across cell barriers via breakdown of extracellular matrix [167]. The antimicrobials within specific granules include; natural resistance- associate macrophage protein 1 (Nrap-1), lactoferrin, and neutrophil gelatinase associated lipocalin (NGAL) [167]. The antimicrobials within specific granules predominantly function to inhibit microbial survival and growth through sequestration of metals [167]. However, there are some that are also capable of interacting with microbial components to impact membrane integrity [167].

Tertiary (Gelatinase) granules.

As with specific granules, gelatinase granules also have cargo to assist in movement of neutrophils across vasculature and through tissues [167]. One of the proteins contained within gelatinase granules is matrix metalloprotease-9 (MMP-9), referred to as gelatinase, from which the granule name was derived [167]. The activity of MMPs is inhibited within granules, as it is stored as a pro-form which undergoes cleavage upon granule release to activate the enzyme [167]. In comparison to azurophilic and specific granules, gelatinase granules are released earlier and with milder stimulus, such as the bacterial peptide mimetic, N-formylmethionyl-leucyl-phenylalanine (fMLF) [167].

Secretory vesicles.

Although secretory vesicles are not strictly granules, but more so plasma membrane derived vesicles, they are still classified as one of the four granule subtypes neutrophils possess [167]. Secretory vesicles are the last of the four granules to be formed and require the least stimulus to trigger release with fMLF sufficient to cause substantial release [153]. The function of secretory vesicle release is to prime neutrophils to respond to additional signals that an infection is occurring,

and begin moving toward the site of infection [167]. Through release of secretory vesicles, membrane receptors are displayed, such as formyl peptide receptors, the β_2 integrin, complement receptor 3 [CR3], in addition to others [167]. The main cytoplasmic component of secretory vesicles is albumin, due to the endocytic nature of formation [167]. Surface expression of secretory vesicle receptors decreases over time without further stimulus, as receptors are removed through endocytosis of the plasma membrane [167].

As mentioned previously, neutrophil granules are released with specific order mediated through tightly regulated pathways. For degranulation to occur, Ca^{2+} must be mobilized from intracellular stores, along with rearrangement of the actin cytoskeleton [155, 161, 170]. A barrier of cortical actin exists below the plasma membrane of neutrophils, impeding the release of granules [169, 171]. For granules to be released, this actin barrier must be broken down to provide access to the plasma membrane for fusion [148, 171]. Secretory vesicles, gelatinase and specific granules are distal to the plasma membrane, residing closer to the nucleus and require a cytoskeleton dependent shuttling to reach the plasma membrane [167]. Conversely, a subset of azurophilic granules are located just below the plasma membrane and do not require shuttling via the cytoskeleton from further cytoplasmic recesses [171]. Exocytosis is coordinated through a complex network of regulatory proteins consisting of Rac2, RhoA, Rab27a, Gem-interacting protein (GMIP), and other cytoskeletal interacting proteins [154, 155, 167, 171, 172]. Through fine-tuned regulation of the degranulation process, neutrophils rapidly respond to signals of invasion and coordinate movement to the site of infection, judiciously releasing the possessed weaponry either into the phagosomal compartment for minimal collateral damage, or into the extracellular space when phagocytosis fails to contain the infection [155, 158, 173].

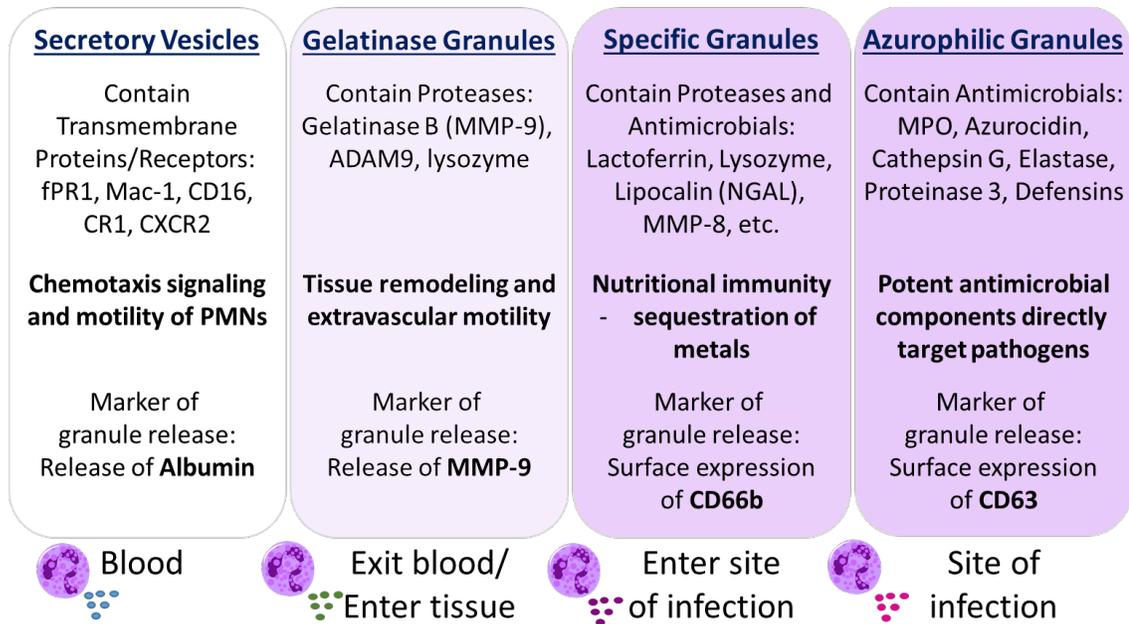


Figure 1-2: Neutrophil Granules.

Neutrophils have four types of granules that each contain different cargo. Granules are released in response to stimuli in a graded manner. The first granule to be released are specific vesicles that function to increase the number of receptors on the plasma membrane. The second granule released are gelatinase granules that release proteases to increase the ability of neutrophils to move out of the vasculature and into the target tissue. Second to last to be released are specific granules which contain antimicrobials that function to target bacteria or sequester metals known as nutritional immunity. While azurophilic granules are the last to be released, they contain the most potent antimicrobials.

Neutrophil extracellular traps (NETs).

Failure of phagocytosis, ROS, and degranulation to contain the infection cause neutrophils to rely on decondensing and release of DNA to entrap microorganisms [149, 156, 165, 174, 175]. Historically release of neutrophil extracellular traps (NETs) has been viewed as a last ditch defense mechanism for protecting the host as release of NETs was thought to kill neutrophils. Classical NETosis, in which the neutrophil granule and nuclear membranes are compromised, renders neutrophils no longer viable and is a death pathway independent of apoptosis [175, 176]. However, recent studies now suggest that neutrophils have a second mechanism which differs from suicidal NETosis, where the nucleic material is released in a membrane enclosed vacuole that allows the neutrophil to continue to fight against the infection, referred to as vital NETosis [177].

Nuclear decondensation and membrane perforation requires participation from several neutrophil pathways. In order for NETosis to occur, granule membranes must be perforated, along with the nuclear and plasma membranes [175, 176]. Membrane rupture must occur in order for neutrophil elastase (NE), myeloperoxidase (MPO), and peptidylarginine deiminase 4 (PAD4) to enter into the nucleus, where each functions to modify DNA packaging through histone modifications [174-176]. In addition to the requirement of NE, MPO, and PAD-4, neutrophils also require activation of protein kinase C (PKC), NADPH oxidase, and the lipoxygenase pathway in order to rupture the cellular membranes and release nucleic material [178, 179]. As a result of granule and plasma membrane rupture, DNA from the neutrophil is adorned with granule components, such as NE, MPO, and others, in addition to cytosolic proteins such as the metal sequestration protein, calprotectin (SA100A8/9) [157]. Together, nucleic acid entraps the invading organism, while granule contents continue a defensive program in an attempt to starve and kill the invader. After expulsion of genomic material, granules contents, and formation of ROS, with nothing left to defend the host, depleted neutrophils must rely upon incoming neutrophils and other phagocytic cells to carry forth the tide of defense.

Neutrophils modulate the immune response through release of Leukotriene B₄.

Neutrophils produce an assortment of cytokines and chemokines as signals to other cells to relay the urgency and severity of response required. Of the inflammatory mediators possessed by neutrophils, leukotriene B₄ (LTB₄) is the first to be released, and one of the most potent chemoattractants [180, 181]. LTB₄ is a lipid derived from membrane arachidonic acid (AA) through a series of enzymatic processes. To produce LTB₄, AA is cleaved from membranes, such as the nuclear envelope, plasma membrane, or membrane bound vesicles in the cytoplasm [182, 183]. Cleavage of AA from membranes occurs via activation of phospholipase A₂ (PLA₂), thereby increasing the free AA concentration within the cell [184]. In order to convert AA into other products, 5 lipoxygenase (5-LO) must be activated and translocated to a membrane enriched in 5 lipoxygenase activating protein (FLAP) [185, 186].

Regulation of 5-LO activity is multi-faceted (Figure 1-3). Phosphorylation by ERK1/2 and p-38 have been indicated to increase 5-LO activity, while phosphorylation through PKA acts in an opposing manner to decrease 5-LO activity [187-191]. Binding of AA to 5-LO blocks interaction with PKA and limits the ability of PKA to phosphorylate 5-LO and thereby decrease 5-LO activity [190]. In addition to modification through phosphorylation, 5-LO also binds to Ca²⁺ and increases the affinity of 5-LO for phosphatidyl choline (PC), driving 5-LO toward association with PC enriched membranes where FLAP also resides [190, 192]. While coactin like protein (CLP), a cytoskeleton interacting protein, is also an interacting partner with 5-LO, the exact role CLP plays in the activity of 5-LO is not fully apparent [186]. Upon activation and translocation of 5-LO, a secondary cascade of enzymatic reactions occur. The 5-LO product 5-HpETE is converted to LTA₄ and subsequently converted to LTB₄ through the action of LTA₄ hydrolase (LTA₄H) [190]. Once LTB₄ has been produced, release into the extracellular space is currently proposed to be mediated by exosomes [193, 194]. This hypothesis is supported by data showing LTB₄ does not freely diffuse out of cells, and that there is a rate limiting step to release [195]. Additionally, free LTB₄ has a shorter half-life in comparison to exosome encased LTB₄, which ties into the chemoattractant nature of LTB₄ to signal over long distances [194].

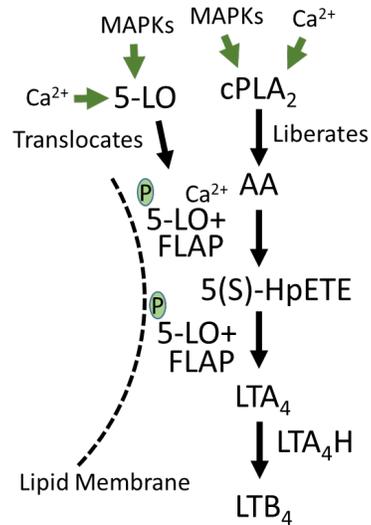


Figure 1-3: Synthesis pathway for LTB₄.

Human neutrophils produce LTB₄ to a greater extent than most other innate immune cells. LTB₄ is a potent inducer of chemotaxis, but is also able to modulate immune cell antimicrobial activities. Production of LTB₄ is a multistep process that can involve integration of multiple signaling pathways. Receptor sensing of PAMPs triggers activation of the MAPK pathway in addition to release of Ca²⁺ from intracellular stores. Phosphorylation of 5-LO and/or association with Ca²⁺ triggers translocation of 5-LO to a lipid membrane (i.e. nucleus or lipidosome) while also activating cPLA₂ to liberate arachidonic acid (AA). Through the combined activity of 5-LO and 5-LO activating protein (FLAP), AA is modified to form 5(S)-HpETE and further processed by 5-LO to form LTA₄. Ultimately, LTA₄ is processed by LTA₄ hydrolase to form LTB₄.

While LTB₄ is a potent chemoattractant, it is also capable of increasing the antimicrobial activity of multiple immune cells [196-199]. LTB₄ has been shown to impact neutrophil ROS production, degranulation, NETosis, and increase the efficacy of phagosomal degradation [200-202]. In addition to the impact of LTB₄ on neutrophils, LTB₄ also modulates cytokine production in macrophages, as well as impacts dendritic cell (DC) presentation to T cells and cytokine release [200, 203, 204]. The influence of LTB₄ on DC presentation links the impact on innate immune cells to influencing of adaptive immune responses as well [196, 197, 204-206] [207]. Taken together, LTB₄ plays a crucial role in successful clearance of microbial infections and has been demonstrated to be important for clearing infections by pathogens such as *Klebsiella pneumoniae*, *Borrelia burgdorferi*, and also for fungal and parasitic infections [198, 201-203, 208-210].

Caveats when working with neutrophils

It is important to note that during *in vitro* assays, how neutrophils are handled can impact observed phenotypes more than other host cells. For example, the process of adhering neutrophils to substrates can modify the activation state and trigger release of granules that would not occur without additional stimulation when kept in suspension. Simple differences, such as using suspension versus adherent neutrophils, and the charge or composition of the surface adhered to, can modulate other responses such as ROS production and phagocytosis [211-214]. Interestingly, even signaling pathways can change pending the cues a neutrophil receives. In suspension, neutrophils signal through the MAPKs ERK and p38, yet upon adherence, JNK is then able to relay in the phosphorylation cascade [215-217]. The differential responses must be kept in mind when thinking about how neutrophils are signaling and responding to microbial infections.

Considerations also need to be made when comparing responses between mice and humans. For example, human TLR4 is more sensitive to LPS than the mouse counterpart, resulting in a stronger inflammatory response in humans versus mice [218]. Not only is TLR4 different between mice and humans, mice also have additional TLRs not encoded by humans [218]. Other receptors also differ between mice and humans, such as the formyl peptide receptors (FPR) and complement receptors (CR) [218, 219]. In a very generalized summary, mouse receptors have less

affinity/activity than human receptor counterparts [218]. Furthermore, inducible nitric oxide synthase activation requires different cytokine signals in mouse cells versus human [220]. Not only are there differences in receptor sensitivity and activation, there are also differences in cellular content. Human azurophilic granules have bacterial permeability inducing protein and defensins that are not part of the cargo in mouse azurophilic granules [218]. Moreover, although mouse azurophilic granules have been shown to contain myeloperoxidase (MPO), human azurophilic granules have up to 10x more MPO than murine azurophilic granules [218]. Therefore, what is observed during *in vitro* studies using mouse neutrophils may not always translate to human neutrophils and vice versa, highlight the need to verify results with mouse cells in primary human cells.

Inhibition of neutrophil antimicrobial responses by *Y. pestis*.

With the array of antimicrobial mechanisms neutrophils can deploy to defend against infection by microorganisms, they are one of the most vital innate immune cells for controlling infection. During *Y. pestis* pneumonic infection, there is an early non-inflammatory phase, where neutrophils are not recruited into the lungs until 36-48h post infection [221]. Similarly, the inflammatory cytokines (KC and MIP-2) also do not increase until the same time frame [146, 222]. Concomitant with the arrival of neutrophils to the site of infection, the non-inflammatory phase ends and an inflammatory response is mounted, resulting in necrosis and ultimately death of the host [36, 223]. If neutrophils are artificially recruited to the site of infection earlier than normal, a decrease in bacterial burden and an increase in overall host survival are observed in a mouse model of infection [146], indicating that maintenance of this early non-inflammatory environment is important to establish infection.

During colonization by *Y. pestis*, resident neutrophils have been shown to be a primary target for T3SS effector translocation [42, 43]. These interactions allow *Y. pestis* to inhibit several neutrophil antimicrobial responses (Figure 1-4) [166, 224]. YpkA, YopE, YopH, and YopT inhibit cytoskeleton rearrangements via interactions with Rac2 and RhoA to suppress phagocytic uptake of *Y. pestis* [72-74, 76-82, 166, 225]. Targeting of Rac2, a component required for activation of the

NADPH oxidase, by YopE also contributes to inhibiting ROS, but requires complementary actions by YopJ inhibition of MAPK signaling and YopH targeting of the focal adhesion complex to fully inhibit ROS by neutrophils [226, 227]. Finally, YopJ inhibition of MAPK signaling also inhibits release of IL-8 by neutrophils, though additional, yet to be identified, Yops are also required for full inhibition of release [224]. However, the pathways regulating granule release and LTB₄ production in neutrophils have not been previously examined in the context of *Y. pestis* infection. The pathways regulating granule release and LTB₄ production are also regulated by the same host factors known to be targeted by the Yops (e.g. MAPK pathway, Ca²⁺ flux, and Rac/Rho signaling) [154, 155, 162, 188, 190, 192, 228]. Because the pathways are common between these neutrophil antimicrobial responses, the likelihood that these processes are also inhibited by *Y. pestis* during infection, and contribute to the ability of the bacterium to subvert killing by neutrophils, is increased. Moreover, while much of our understanding of the host targets for individual Yops has come from studies in macrophages, data showing direct interaction between specific Yops and their predicted host targets in neutrophils lags behind. Understanding the mechanisms of how *Y. pestis* inhibits neutrophil responses early during infection will shed light on how *Y. pestis* maintains an early non-inflammatory environment beneficial to *Y. pestis* survival.

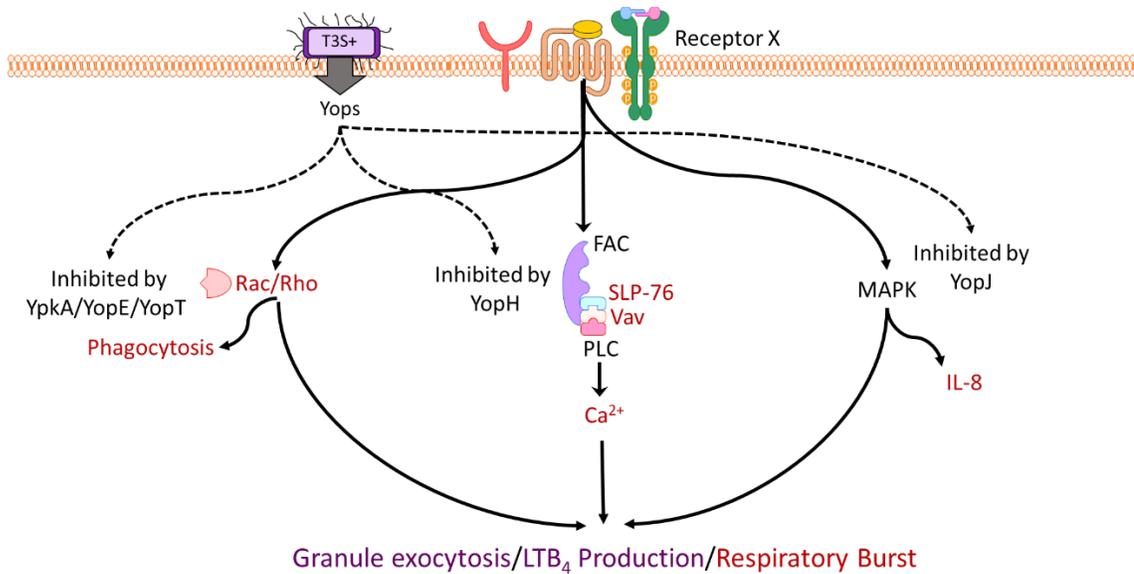


Figure-1-4: *Y. pestis* Yop Effector Protein Impact on Neutrophil Antimicrobial Responses.

Injection of bacterial effector proteins into the cytosol of neutrophils allows for interaction with host cell signaling pathways. YopJ is known to inhibit release of IL-8 from neutrophils, but interaction with MAPKs in neutrophils has not been demonstrated. YopH acts through interaction/inhibition of FcγR proteins to inhibit intracellular Ca²⁺ flux that is required for multiple downstream effects in neutrophils, such as degranulation, ROS production, and release of LTB₄ and. YpkA, YopE, and YopT modulate actin cytoskeletal rearrangement pathways through targeting of Rac and RhoA, inhibiting phagocytosis and the ability of neutrophils to move. While the role of Yop effector proteins have been assessed (red) for inhibition of phagocytosis, the respiratory burst, and IL-8 release, the impact on granule exocytosis and LTB₄ production has not been determined (purple).

RESEARCH OBJECTIVES

Interactions with host innate immune cells dictate whether *Y. pestis* is successfully cleared by the infected host. Two of the most essential host phagocytic cells responsible for clearing infections are macrophages and neutrophils. An inherent interplay exists between these cells, as signaling from one cell type influences the antimicrobial properties of the other through release of cytokines and chemokines. The goal of this work is to better understand how *Y. pestis* survives the initial onslaught of phagocytic cells both intra- and extracellularly, while maintaining an environment that does not incite inflammation until late in the infection process. *Y. pestis* is able to survive and replicate within macrophages, yet known virulence factors, such as the T3SS are not required. Many pathogens manipulate Rab trafficking to establish a replicative niche within macrophages. Therefore, I hypothesize that *Y. pestis* modulates host Rab GTPases to avoid lysosomal degradation, establish the YCV, and buy time to increase expression of the T3SS to subsequently target neutrophils. Furthermore, whether *Y. pestis* inhibits release of granules and LTB₄ has never been evaluated, but I hypothesize that *Y. pestis* actively inhibits neutrophil granule and LTB₄ release using the T3SS effector proteins. Together, my overarching hypothesis is that *Y. pestis* manipulates Rab GTPases to survive within macrophages, buying time to express the T3SS, which is then used to inhibit neutrophil antimicrobial capabilities including granule and LTB₄ release, ultimately maintaining the early non-inflammatory environment observed during *Y. pestis* infection. To test this hypothesis, I have formulated specific questions with briefly described research objectives to answer those questions outlined here:

1. How does *Y. pestis* avoid phagolysosomal mediated killing within macrophages?

To address this question, I:

- a. Completed an RNAi screen to survey Rab GTPases required for intracellular macrophage survival.
 - i. Identified Rab GTPases important for *Y. pestis* intracellular survival which are recruited or excluded from the YCV in order to modulate vesicular trafficking.

2. Does *Y. pestis* modulate the neutrophil antimicrobial response in order to maintain an early non-inflammatory host environment?

In an attempt to answer this question, I:

- a. Measured whether the four neutrophil granules are released in response to *Y. pestis*.
 - i. Evaluated whether granule release is inhibited by the Ysc T3SS.
 - ii. Determined which Yop effector protein(s) mediate inhibition of granule release.
- b. Measured LTB₄ production and release in response to *Y. pestis* infection of neutrophils
 - i. Determined if the T3SS inhibits release of LTB₄ from human neutrophils.
 - ii. Determined which Yop effector protein(s) are mediating inhibition of LTB₄ release and the host pathways which are targeted.

The subsequent chapters present data to improve our understanding of how *Y. pestis* evades degradation by macrophages and neutrophils to survive, establish a replicative niche, and avoid an early inflammatory response.

CHAPTER 2

MATERIALS AND METHODS

Cell Culture, Bacterial Strains, and Plasmids

RAW264.7 macrophages were obtained from ATCC and cultured in Dulbecco modified Eagle medium (DMEM) containing 100 mM glucose plus 10% fetal bovine serum (FBS) (Biowest) at 37°C and 5% CO₂. For plasmid transfection of RAW264.7 macrophages, 0.5 µg of plasmid (EGFP-Rab) was transfected using JetPrime (Polyplus) as described by the manufacturers.

Y. pestis CO92 pCD1(-) [5] was cultivated at 26°C in Difco brain heart infusion broth (Becton, Dickinson, and Co.). *E. coli* K-12 DH5α (New England Biolabs) was cultivated at 37°C in Luria-Bertani broth (Miller) (Becton, Dickinson, and Co.). Bioluminescent (CO92LUX_{PtoIC}) [5] or fluorescent (pGEN222::mCherry) [118] derivative strains were used as indicated for infections. *Y. pestis* was inactivated by incubating bacteria with 2.5% paraformaldehyde (PFA) for 30 min at room temperature as previously described [118] Extracellular *Y. pestis* and *E. coli* were killed with 16 µg/ml gentamicin for 1 h, followed by maintenance in 2 µg/ml gentamicin.

Table 2-1: Bacterial Strains

Descriptive name	Genotype	Strain Number	Source
CO92 T3+	CO92 pCD1 ⁽⁺⁾ , pgm ⁽⁺⁾ , pMT ⁽⁺⁾ , pst ⁽⁺⁾ , Luxp _{cysZK}	MBLYP043	[5]
CO92 T3-	CO92 pCD1 ⁽⁻⁾ , pgm ⁽⁺⁾ , pMT ⁽⁺⁾ , pst ⁽⁺⁾	YPA035	[5]
CO92 T3+	MBLYP043 pgm ⁽⁻⁾	YPA143	This work
CO92 T3-	CO92 pCD1 ⁽⁻⁾ , pgm ⁽⁺⁾ , pMT ⁽⁺⁾ , pst ⁽⁺⁾ , LuxP _{tolC}	YPA050	[122]
KIM T3+	KIM1001 pCD1 ⁽⁺⁾ , pgm ⁽⁻⁾ , pMT ⁽⁺⁾ , pst ⁽⁺⁾	JG150A	[229]
KIM T3-	KIM1001 pCD1 ⁽⁻⁾ , pgm ⁽⁻⁾	JG152B	This work
KIM T3E-	KIM1001 pCD1 ⁽⁺⁾ (<i>yopH</i> ^{Δ3-467} <i>yopE</i> ^{Δ40-197} <i>yopK</i> ^{Δ4-181} <i>yopM</i> ^{Δ3-408} <i>ypkA</i> ^{Δ3-731} <i>yopJ</i> ^{Δ4-288} <i>yopT</i> ^{Δ3-320}), pgm ⁽⁻⁾ , pMT1 ⁽⁺⁾ , pPCP1 ⁽⁺⁾	JG714	This work
+A	JG917:: <i>yopkA</i>	JG730	[229]
+E	JG917:: <i>yopE</i>	JG733	[229]
+H	JG917:: <i>yopH</i>	JG734	[229]
+J	JG917:: <i>yopJ</i>	JG735	[229]
+K	JG917:: <i>yopK</i>	JG736	[229]
+M	JG917:: <i>yopM</i>	JG732	[229]
+T	JG917:: <i>yopT</i>	JG708	[229]
ΔA	JG150A Δ <i>ypkA</i>	JG593	[229]
ΔE	JG150A Δ <i>yopE</i>	JG517	[229]
ΔH	JG150A Δ <i>yopH</i>	JG589	[229]
ΔJ	JG150A Δ <i>yopJ</i>	JG525	[229]
ΔK	JG150A Δ <i>yopK</i>	JG523	[229]
ΔM	JG150A Δ <i>yopM</i>	JG583	[229]
ΔT	JG150A Δ <i>yopT</i>	JG713	[229]
CO92 T3-::pGEN222-mCherry _K	CO92 pCD1 ⁽⁻⁾ , pgm ⁽⁺⁾ , pMT ⁽⁺⁾ , pst ⁽⁺⁾ , pGEN222::mCherry	YPA127	[5, 119]
E. coli::pGEN222-mCherry	DH5α- pGEN222::mCherry	LOU123	[119]
KIM D-19	KIM D-19 pCD1 ⁽⁺⁾ , pgm ⁽⁻⁾ , pMT ⁽⁺⁾ , pst ⁽⁺⁾ , LuxP _{tolC}	YPA119	[119]
<i>Y. pseudotuberculosis</i> T3+	IP32593 pYV ⁽⁺⁾	MBL256	[2]
<i>Y. pseudotuberculosis</i> T3-	IP32593 pYV ⁽⁻⁾	LOU016	[2]
<i>Y. enterocolitica</i> T3+	JB580 pYV ⁽⁺⁾	MBL016	[230]
<i>Y. enterocolitica</i> T3-	JB580 pYV ⁽⁻⁾	MBL077	[230]

Rab GTPase RNAi Screen

The Rab GTPases RNAi screen was performed as previously published [119]. Small interfering RNAs (siRNAs) from the Silencer siRNA mouse genome library v3 (Ambion) were used to forward

transfect RAW264.7 macrophages. Three siRNAs targeting each gene were pooled together for the screen. siRNAs were suspended in 20 μ l Opti-MEM (final concentration of 1 μ M) and mixed with 10 μ l of 0.03% (vol/vol) Lipofectamine RNAiMax/Opti-MEM. The mixture was added to each well of a 96-well, white, flat bottom, plate (Greiner Bio One). Scrambled siRNA (negative control; n = 3) and Cop β 1 siRNA (positive control; n = 3) were used as negative and positive controls, respectively for transfection efficiency and plate-to-plate variation. The plates were incubated at room temperature for 10 min, before adding 80 μ l of DMEM plus 10% FBS (HyClone) at 1×10^4 RAW264.7 macrophages. The cells were incubated for 48 h prior to infection with *Y. pestis* CO92LUX_{PtoIC} pCD1⁽⁻⁾ (multiplicity of infection [MOI] of 10). The infection was synchronized using centrifugation (200 x g) for 5 min. Extracellular bacteria were killed with gentamicin as described above after incubation for 20 min. Bioluminescence at 20 min and 2 h and 10 h was used to quantify intracellular bacteria post-infection using a Synergy 4 plate reader (BioTek; 1-s read with sensitivity set at 150). Cell viability was determined using Alamar Blue (Life Technologies) after the 10 h read by adding 10 μ l of Alamar Blue to each well and incubating for 2 h at 37°C and 5% CO₂. Fluorescence (excitation wavelength, 560 nm; emission wavelength, 600 nm) was determined using a Synergy 4 plate reader. The average of the scrambled-siRNA control wells were used as controls for comparing to. Using the formula: $1 - (3 \times (\text{SD Cop}\beta 1 \text{ RLU} - \text{SD scrambled RLU}) / (\text{AVG scrambled RLU} - \text{AVG Cop}\beta 1 \text{ RLU}))$ where SD is the standard deviation and AVG is the average, a Z factor (Z') was calculated from each plate. Plates with Z' = of <0.3 were repeated. The following formula: $(\text{siRNA RLU} / \text{AVG Cop}\beta 1 \text{ RLU}) / (\text{AVG scrambled RLU} / \text{AVG Cop}\beta 1 \text{ RLU})$ was used to normalize intracellular survival for each plate. Screen selection criteria was set at $\geq 50\%$ inhibition of *Y. pestis* survival with $\leq 50\%$ cytotoxicity as measured by alamar blue. Selection criteria was set to $\geq 50\%$ inhibition of *Y. pestis* survival and $\leq 50\%$ cytotoxicity base on three independent siRNA tests for a validation screen.

Fluorescence Confocal Microscopy

For confocal microscopy, cells were fixed to coverslips with 2.5% paraformaldehyde for 30 min. All coverslips were mounted with Prolong Gold with DAPI (Life Technologies) and imaged on a Zeiss

LSM 710 laser confocal microscope. Co-localization was determined using the COLOC module in IMARIS 8.0 (Bitplane).

Neutrophil Studies: Bacterial Growth Conditions

Bacterial strains used in these studies are listed in Table 2-1. Prior to infection, *Y. pestis* was cultured for 15 to 18 h at 26°C in Difco brain heart infusion (BHI) broth (BD Biosciences) with aeration. Cultures were diluted 1:10 in fresh BHI broth containing 20 mM MgCl₂ and 20 mM Na-oxalate and cultured at 37°C for 2 h with aeration to induce expression of the T3SS. Bacteria were centrifuged and re-suspended in LPS-free Krebs-Ringer phosphate buffer (pH 7.2) containing 0.2% dextrose (Krebs) buffer for infection. *Y. pestis* was killed using either 1% PFA for 30 min, or heat killed by incubating *Y. pestis* at 60°C for 30 min. The killed bacteria were pelleted and re-suspended in Krebs buffer prior to infection.

Human Neutrophil Isolation

Use of human neutrophils was approved by the University of Louisville's Institutional Review Board (IRB) guidelines (approval no. 96.0191). Neutrophils were isolated from peripheral blood of healthy, medication-free donors as described previously [231]. Neutrophil isolations yielded >95% purity with >97% viability by Trypan blue exclusion staining and were used within 1 h of isolation. For RNAseq analysis, negative selection using EasySep™ antibody mediated magnetic separation was used to remove cells other than neutrophils, yielding highly pure (>99%) neutrophils as previously described [232]

Human Neutrophil Infection

Throughout these studies, all infections were performed in suspension as opposed to using adherent neutrophils. Cells in suspension were used primarily for two reasons: 1) The activation state of cells in suspension is closer to patrolling blood neutrophils, and, 2) All four granules can be assayed for release, unlike adherent neutrophils where the adherence process stimulates release of secretory vesicles. An important consideration for studies performed with cells in suspension is that the infections cannot be synchronized by centrifugation, and thus require higher

MOIs to ensure bacterial interactions with host cells, compared with assays using adherent cells that can be synchronized by centrifugation.

Neutrophils (4×10^6 cells; for Western blotting, 8×10^6 cells were used) were re-suspended in Krebs buffer or RPMI + 5% BSA and, where indicated, incubated at room temperature (RT) for 30 min with 1 μ M latrunculin A (catalog [cat.] no. 428021; Sigma), 20 μ M U0126 (cat. no. 70970; Cayman), 50 nM LY293111 (cat. no. 10009768; Cayman), or 3 μ M (5Z)-7-oxozeaenol (cat. no. 17459; Cayman). Neutrophils were infected at a multiplicity of infection (MOI) of 10 or 100 and incubated for 30 min, 1h or 3 h in a 37°C water bath with gentle agitation. Coinfections were performed at a final MOI of 100 (50 for each strain), and bacteria were mixed together prior to adding to the cells. For secretory vesicles, specific and azurophilic granule exocytosis, the increases in plasma membrane expression of CD35, CD66b and CD63, respectively, were measured by flow cytometry as previously described [153]. To measure release of calprotectin, or LTB₄, separate samples were centrifuged, and cell-free supernatants were transferred to new tubes containing Halt phosphatase and protease inhibitor cocktail (cat. no. 78442; Thermo Fisher Scientific), except for calprotectin samples and stored at -80°C. Calprotectin samples where neutrophils were treated for 3 h with PMA were unfrozen and mixed with a quantity of *Y. pestis* or *E. coli* equivalent to what would have been present during the neutrophil infection for the volume used, to assess degradation of calprotectin by proteolytic activity (e.g. 4×10^8 CFU were added to 4×10^6 neutrophils to achieve an MOI of 100 in 1mL. For 100uLs of the PMA stimulated supernatant, 4×10^7 CFU were used during the 3 h no cell incubation.) The samples were incubated at 37°C for 3 h.

Measurement of Exocytosis by Flow Cytometry and ELISA

Neutrophils were stained with fluorescein isothiocyanate (FITC)-labeled anti-CD63 (cat. no. 215-040; Ancell), FITC-labeled anti-CD66b (cat. no. 305104; BioLegend), or PE labeled CD35 as markers for azurophilic, specific granules, or secretory vesicles, respectively. As antibody isotype controls, neutrophils were separately labeled with FITC-labeled anti-IgM (cat. no. 401108; BioLegend) or FITC-labeled anti-IgG1 (cat. no. 400108; BioLegend) on ice for 45 min before washing with FTA buffer (BD Biosciences) plus 0.05% sodium azide and fixing with 1% paraformaldehyde (PFA). Mean cellular fluorescence intensity (MCF) was measured using a

fluorescence-activated cell sorting (FACS) Aria flow cytometer (BD Biosciences) with isotype control values subtracted as previously described [233]. Human Calprotectin (cat. No 439707; BioLegend), mouse calprotectin (cat. no ab263885; Abcam) and LTB₄ (cat. no. 520111; Cayman) levels were measured by enzyme-linked immunosorbent assay (ELISA) following the manufacturer's protocols.

Chemotaxis Assay

Supernatants from infected neutrophils were filtered using a 0.2 µm syringe filter to generate conditioned supernatants. Naive neutrophils (1x10⁶ cells/ml) were loaded into the upper chamber of a 24-well Transwell plate (Corning). The lower chambers were filled with Krebs buffer, 100 nM fMLF (Sigma), or the conditioned supernatants. After incubation for 30 min at 37°C, neutrophils that migrated from the upper chamber to the lower side of the Transwell membranes were fixed and stained with Hema 3 (ThermoFisher) and counted by microscopy as described previously [234].

Western Blotting

After 30 min of infection, cell pellets were obtained by centrifugation (6,000 x g for 30 s). Pellets were lysed using ice-cold lysis buffer (20 mM Tris-HCl [pH 7.5], 150 mM NaCl, 1% [vol/vol] Triton X-100, 0.5% [vol/vol] Nonidet P-40, 20 mM NaF, 20 mM NaVO₃, 1 mM EDTA, 1mM EGTA, 5mM phenylmethylsulfonyl fluoride [PMSF], 2mM diisopropylfluorophosphate [DFP], 21 µg/ml aprotinin, and 5 µg/ml leupeptin). Lysates were mixed with Laemmli loading buffer and boiled for 10 min prior to snap cooling. Lysates were run on a 10% SDS-PAGE gel and immunoblotted with antibodies to phospho-ERK1/2, total ERK1/2, phospho-p38 MAPK, or total p38 MAPK (Cell Signaling) diluted 1:2,000 in 10 ml of Tris-buffered saline plus 0.1% Tween 20 (TBST) plus 5% bovine serum albumin (BSA). The appropriate secondary antibodies were used at 1:50,000 (cat. no. A9169; Sigma-Aldrich; cat. no. 31430; ThermoFisher Scientific). SuperSignal West Femto maximum-sensitivity substrate (cat. no. 34095; ThermoFisher Scientific) was used to detect antigen-antibody binding. Densitometry was performed using ImageJ software to quantify bands, normalized using the total protein form.

In vivo Infection

C57BL6 wild type mice aged 6-12 weeks were infected intranasally with either WT *Y. pestis* Lux_{cysZK} or *Y. pestis* pCD1(-)Lux_{cysZK} at 1x10⁴ CFU. Bacterial growth was monitored via CFU enumeration and BALF from the lungs was harvested at 12, 24, 48 h PI, or at the time of euthanasia.

Statistics

Degranulation and LTB₄ data are the mean of five biological independent experiments. Phosphorylation data are the mean of three biological independent experiments. For all, neutrophils were harvested from both male and female donors and infections were performed on different days. Where appropriate, one-way analysis of variance (ANOVA) with Dunnett's or Sidak's post-test, as indicated in individual figure legends, was used for statistical analysis and performed using Prism 8 (GraphPad). Unless noted, data are shown as the means ± standard error of the mean (SEM). For microscopy, each experiment analyzed at least 100 YCVs, and power analyses were performed post-hoc to ensure that appropriate sample sizes were analyzed. P values were calculated using one-way analysis of variance (ANOVA) using GraphPad Prism software.

CHAPTER 3

IDENTIFICATION OF RAB GTPASES CONTRIBUTING TO *Y. PESTIS* INTRACELLULAR SURVIVAL

INTRODUCTION

Rab GTPases are proteins that shepherd intracellular vesicles to and from various locations within the cell. Over 70 Rab proteins are encoded within human cells and have specific subcellular localization [114, 235]. Activity of Rab GTPases requires several accessory proteins to assist Rab proteins in cycling between a membrane-GTP bound active state, to a cytosolic GDP-bound inactive state [236]. GAP disassociation inhibitors (GDI) bind to inactive GDP-bound Rab GTPases and remove them from membranes [236]. GDIs bind the lipid anchoring prenyl group to protect the hydrophobic tail, removing the Rab from the membrane, and returns the Rab to the membrane of origin [236, 237]. Switching between an inactive GDP bound state and active GTP bound state is carried out through the action of GDP/GTP exchange factor (GEF) and the reversal from GTP to GDP is enhanced by GTP activation proteins (GAP) [236]. With the assistance of GAPs, GEFs, and GDIs, Rabs carry out membrane trafficking duties in association with other interacting proteins to systematically direct vesicle activities. Rabs associate with vesicles in all stages of vesicle life, from forming vesicles through membrane budding, to coating, transporting, uncoating/tethering, and fusing to the target membrane [238].

During phagosome maturation, a sequence of Rab proteins associate and disassociate from the vacuole. The early endosome (which early phagsomes can be classified as) associate with Rab5 [113]. As the vacuole matures and progresses to fusion with lysosomes, Rab5 dissociates from the vacuole membrane. Following the loss of Rab5, Rab7 is recruited and is required for fusion to lysosomes [113]. The elegant sequence of Rab recruitment and removal is essential to vacuole maturation. If disrupted, the endosome fails to mature, and the endosomal cargo is not exposed to enzymes like Cathepsin D or the phagosome may not undergo acidification through action of vATPases, ultimately resulting in endosomal cargo not being degraded [113].

Direct recruitment or exclusion of Rab proteins to or from the pathogen containing vacuole is a common way in which pathogens modulate phagosome maturation and remodel the vacuole into a niche for replication [239-245]. Hijacking host vesicular trafficking is a mechanism commonly exploited by intracellular pathogens and is an area of interest for better understanding the ways in which pathogens manipulate host cells to establish intracellular replicative niches. The exact

mechanisms pathogens use to target host factors are multitudinous and often carried out through the action of bacterial effector proteins. Some pathogens encode relatively few effector proteins, while others such as *Legionella pneumophila* encode more than 300 [246]. The effector proteins are delivered into the host cell through bacterially encoded secretion systems that act as molecular delivery systems from the cytosol of the bacteria, through the membrane, and deposit the substrate either outside of the bacterial cell, or within the host cell [67, 247]. Several different types of secretion systems exist, and many of them are able to deliver products to promote bacterial virulence. The T3SS, T4SS, and T6SS are capable of bridging both bacterial membranes and the host cell plasma/phagosomal membrane to directly deliver effector proteins into target cells [67].

Understanding the mechanisms *Y. pestis* uses to survive and replicate within macrophages is important for developing potential therapeutic strategies to prevent bacterial survival and replication. Previous studies have established that *Y. pestis* resides within a membrane bound compartment for the duration of the time *Y. pestis* is within macrophages [108, 117, 248]. Within this membranous compartment, *Y. pestis* is not exposed to acidic and degradative factors as would be expected for a non-pathogenic bacterium [117]. Instead, *Y. pestis* avoids fusion with the lysosome and the pH within the YCV remains between pH 6.5 and 7.5 for the duration of the time within macrophages [109, 117-119].

We have shown that avoidance of acidification is dependent on the host factors Rab1b and Rab4a [118, 119]. Inhibition of fusion with the lysosome is an active process, as paraformaldehyde-fixed *Y. pestis* traffics to the lysosome and is degraded within an acidic vacuole [118]. Intriguingly, neither Rab5 or EEA1, nor Rab7 or Cathepsin D, markers for early endosomes and mature phagolysosomes, respectively, have been found in association with the YCV [117]. Whether the lack of Rab5 indicates an alternative mechanism of entry into the cell, or if Rab5 association and disassociation from the YCV is too rapid to detect, has not been determined. More recently, we have shown that Rab1b, 4a, and 11b are recruited to the YCV [118, 119], and 60-80% of YCVs eventually develop into autophagosomes, highlighted by association with LC3-II and acquisition of a second membrane [117].

Autophagy is a cellular recycling process that breaks down vacuolar contents to reuse the nutrients liberated [128]. Furthermore, autophagy is a mechanism to inhibit the growth of pathogens residing within the cytosol [249]. However there is evidence that autophagy can be beneficial to intracellular pathogens [250]. Specifically evidence from *Salmonella* suggests that autophagy may present a way for pathogens which reside in vacuoles to acquire nutrients [244, 251]. Rab11b, which interacts with the autophagy pathway, also appears to be recruited to the YCV, and there is evidence that it is the bacteria within autophagosomes which are able to replicate within macrophages [117, 130]

Because Rab GTPases are commonly targeted by bacterial pathogens to subvert lysosomal degradation by macrophages, and three Rab GTPases have already been shown to be essential for *Y. pestis* survival within macrophages, I was interested to know whether additional host Rab GTPases were essential for intracellular survival and biogenesis of the YCV. Using an RNAi approach, I was able to show that six Rab GTPases in addition to Rab1b, 4a, and 11b are required for *Y. pestis* to survive in macrophages. Moreover, I defined the relationship between the YCV and three of the new Rab targets during *Y. pestis* infection of mouse macrophages.

RESULTS

RNAi screen identifies Rab GTPases required for *Y. pestis* survival within macrophages.

Rab GTPases are a common target for bacterial pathogens to subvert host cell vesicular trafficking that would otherwise be detrimental to the survival and/or replication of the pathogen [252]. To identify additional Rab proteins required by *Y. pestis* for macrophage intracellular survival, a Rab GTPase specific RNAi screen was performed in a mouse macrophage cell line. A pooled siRNA approach was used, similar to that reported by Connor et al. [119]. Briefly, three siRNA targeting a single Rab protein were pooled and transfected into RAW264.7 macrophages. The macrophages were then infected with a bioluminescent *Y. pestis* (*Y. pestis* CO92 pCD1⁽⁻⁾ Lux_{P_{tolC}}) and intracellular survival was monitored as a function of bioluminescence, and host cell survival was monitored by Alamar blue staining. For this primary screen, positive cutoff criteria was set as 50% reduction in intracellular *Y. pestis* survival compared to scrambled siRNA, and less than 50%

decrease in cell viability. Of the 39 Rab GTPases screened, RNAi of 13 Rabs met this criteria (Figure 3-1). Importantly, the three Rab GTPases previously shown as required for *Y. pestis* intracellular survival, Rab1b, 4a, and 11b, were among these hits [119].

While siRNA technology has come a long way, one potential problem is false positive results from off target inhibition of unattended targets, which can be exacerbated in pooled siRNA screens. A common technique to increase confidence in RNAi screens, and to reduce the likelihood of false positives, is to validate primary hits from a pooled siRNA screen with a secondary screen in which the pooled siRNAs are deconvoluted (i.e. each siRNA from the pool is individually screened for phenotypes). In this scenario, if a phenotype is due to an off target artifact, it should only occur with one of the three siRNAs. If the phenotype is validated with two or more of the siRNAs, it is then significantly more likely to be a true positive hit and is less likely to be an off target artifact. Using this approach, RAW264.7 cells were transfected with individual siRNAs (three siRNAs for each gene) for the 13 Rab GTPases identified in the primary pooled siRNA screen cells were then infected with *Y. pestis* CO92 pCD1⁽⁻⁾ LuxP_{tolC} or *Y. pestis* KIMD19 pCD1⁽⁺⁾ LuxP_{tolC}. Genes in which at least three of the six individual infections resulted in ≥50% reduction of intracellular survival were considered validated hits.

From the 13 primary hits, eight Rab GTPases were validated as required for *Y. pestis* intracellular survival within macrophages (Figure 3-2). Moreover, as I expected, there were not significant differences in intracellular survival between a strain caring the pCD1 plasmid (encoding the T3SS) and one lacking pCD1, supporting previous data that the T3SS is not required for YCV biogenesis [117].

Rab GTPases are differentially localized to the YCV.

To influence vesicular trafficking of the phagosome, many intracellular pathogens recruit specific Rab proteins to the vacuole membrane [252]. Each Rab GTPase has a unique role in maintaining membrane traffic and must directly insert into the target membrane to function [114]. The coordinated interactions between the membrane, Rab GTPase, Rab interacting partners, and other host structures, such as the cytoskeleton, cause membrane shuttle flow from one cellular location to another via vesicle transport [115]. Disruption of Rab membrane association/localization

alters membrane trafficking, and can lead to errant trafficking events. For example, if membrane targeted to the golgi errantly localizes with Rab11b, instead of Rab1b, the vesicle would traffic as a part of the recycling pathway, not to the golgi apparatus. Therefore, I sought to determine if *Y. pestis* recruited the validated Rab GTPases to the YCV during infection as a way to alter phagosome maturation. We previously characterized localization of Rab4a and Rab11b [119], which left localization of six Rab GTPase hits as unknowns. I prioritized four of the six remaining Rab proteins by degree of change in intracellular survival observed in the validation screen, giving higher priority to those whose RNAi resulted in greatest inhibition of *Y. pestis* intracellular survival (2b>23>13>22a>40b>20). I then also considered the functional categories of the individual Rab proteins. The Rab proteins identified from the screen generally fall into one of four functional categories: recycling (Rab23, 13, and 22a), sorting endosome (Rab20), secretory (Rab40b), or retrograde (Rab2b) trafficking. Three of the top four hits fall in the recycling pathway, which we have already established as being important for YCV biogenesis [119]. Therefore, to expand our analysis to include additional pathways, I chose to examine Rab20 over Rab23 because interactions with the sorting endosome is likely the earliest step in the YCV biogenesis process, and the role of the sorting complex in the context of *Y. pestis* infection is not as well understood. Therefore, Rab2b, 13, 22a, and 20 were chosen for further characterization.

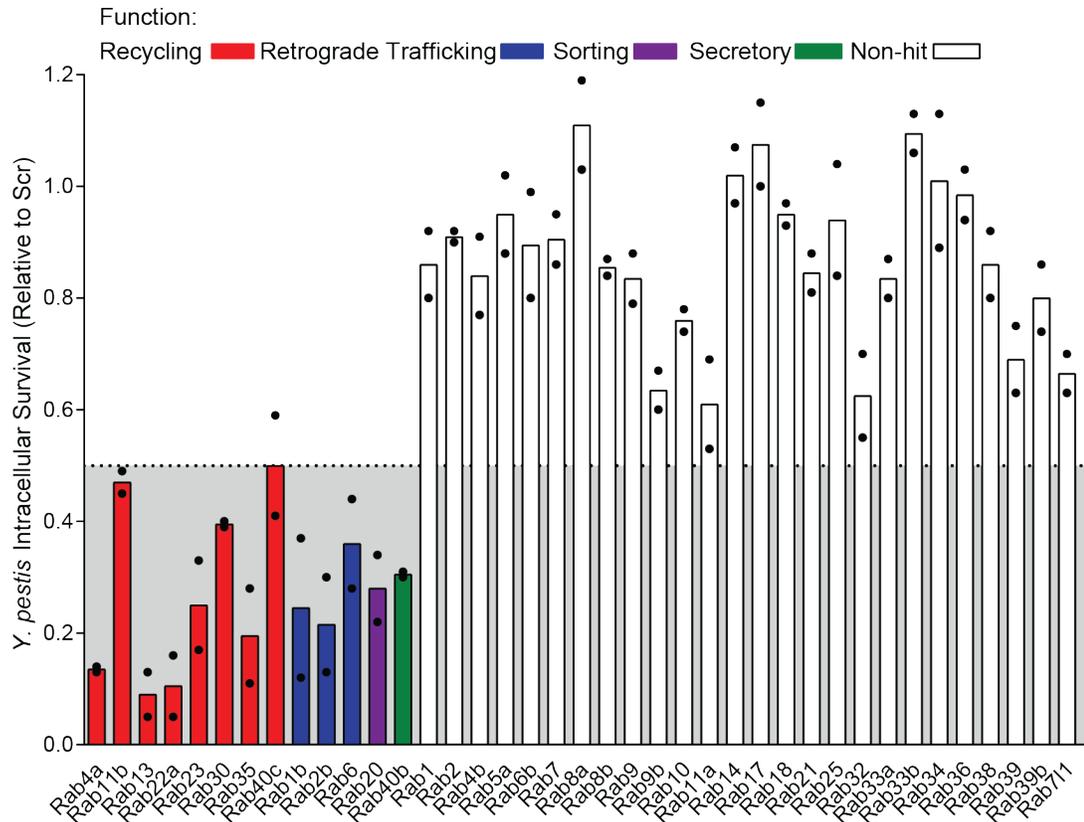


Figure-3-1: Rab proteins identified as essential for *Y. pestis* intracellular survival.

RAW 264.7 macrophages were transfected with three siRNAs targeting 39 different Rab genes. Forty-eight hours after transfection, cells were infected with *Y. pestis* CO92 pCD1⁽⁻⁾ LUX_{PtoIC} (MOI of 10), and intracellular bacterial numbers were determined by bioluminescence (RLU) at 2 h or 10 h post-infection. Scrambled (Scr) siRNA was used as a negative control. Data is shown as the mean percent of intracellular bioluminescence at 10 h post-infection compared to 2 h post-infection for two independent replicates. Values for which *Y. pestis* intracellular growth was inhibited $\geq 50\%$ compared to scramble are highlighted in the gray shaded area. Predicted trafficking pathways each identified Rab belongs to is indicated by color: red = recycling; blue = retrograde trafficking; purple = sorting; green = the secretory pathway; white = did not meet cutoff criteria.

To test whether *Y. pestis* recruits these Rab proteins to the YCV, RAW264.7 macrophages overexpressing GFP-tagged Rab proteins were infected with *Y. pestis* CO92 pGEN222::mCherry (Yp) or *E. coli* K-12 pGEN::mCherry (Ec), the latter bacterium is non-pathogenic and readily degraded by macrophages. At 20 min, 80 min, or 10 h post infection, infected cells were fixed and imaged using confocal microscopy. Imaris (Bitplane) was used to identify co-localization of Rab-GFP proteins with the bacteria containing vacuoles (Figure 3-3). By 10 h post infection, no *E. coli* could be identified, which is evidence of bacterial degradation. At early time points when *E. coli* was visible, less than 10% of *E. coli* vacuoles co-localized with Rab2b, Rab13, and Rab20. Similarly, Rab13 was not observed co-localizing with *Y. pestis* over that observed for *E. coli* at the time points studied. However, *Y. pestis* co-localized with Rab2b and Rab20 at a significantly higher frequency than *E. coli* ($p; \leq 0.01$ and ≤ 0.05 , respectively), indicating recruitment of both Rab proteins to the YCV by 80 min post infection. To determine if *Y. pestis* actively recruited Rab2b and Rab20 to the YCV, macrophages were infected with PFA-fixed *Y. pestis*. Similar to *E. coli*, no PFA-fixed *Y. pestis* was observed at 10 h post infection. As with both *E. coli* and live *Y. pestis*, Rab13 did not co-localize with PFA fixed *Y. pestis*. Rab2b and Rab20 co-localized with PFA fixed *Y. pestis* to intermediate levels between *Y. pestis* and *E. coli*.

Repeated attempts to overexpress Rab22a consistently resulted in cell death. Therefore, I was unable to define the localization of Rab22a using this method. The lack of viable cells upon Rab22a overexpression may suggest Rab22a trafficking within RAW264.7 is important for cell viability. Rab22a mediates transfer of endosomes into recycling endosomes and it is possible that overexpression of Rab22a causes endosomes to be recycled back to the plasma membrane and thus fails to deliver nutrients or signaling factors from the media to important intracellular locations.

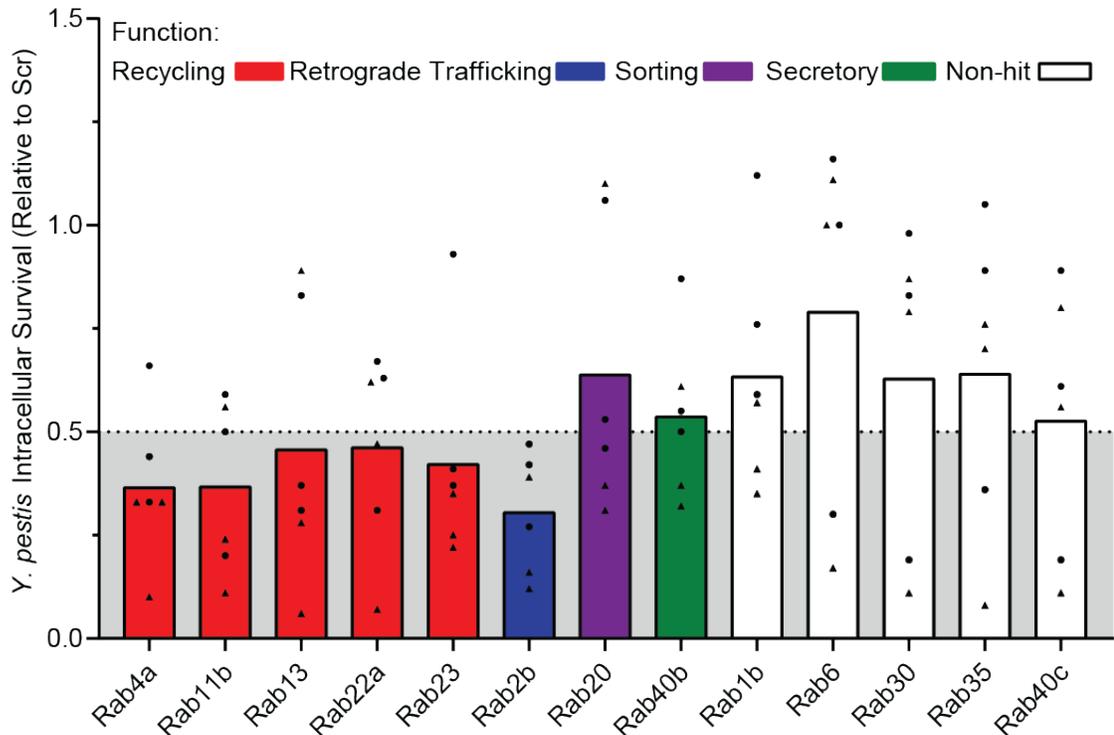


Figure-3-2: Rab proteins identified as essential for *Y. pestis* intracellular survival from de-convoluted validation.

RAW 264.7 macrophages were transfected with three siRNAs targeting 13 different Rab genes. Forty-eight hours after transfection, cells were infected with *Y. pestis* CO92 pCD1(-)LUX_{PtoIC} (●circles) or KIM D19 pCD1(+) LUX_{PtoIC} (▲triangles) (MOI of 10) and intracellular bacterial numbers were determined by bioluminescence (RLU) at 2 h or 10 h post-infection. Scrambled (Scr) controls were used as negative controls. Data is shown as the mean of percent of intracellular bioluminescence at 10 h post infection compared to 2 h post infection. Predicted trafficking pathways each identified Rab belongs to is indicated by color: red = recycling; blue = retrograde trafficking; purple =sorting; green = the secretory pathway; white = did not meet cutoff criteria

DISCUSSION

The role of three Rab GTPases in *Y. pestis* intracellular survival have been previously investigated to date [118, 119]. Rab1b and Rab4a are recruited to the YCV early, and subsequently lost, while Rab11b co-localizes to the YCV throughout the course of infection [118, 119]. Rab1b and Rab4a are required to subvert phagosomal acidification, whereas Rab11b is not. Instead Rab11b targeting appears to disrupt host cell recycling by sequestration of Rab11b to the YCV [119]. Moreover, we also showed that targeting and sequestration of Rab11b by *Y. pestis* impacts the transition of the YCV into a spacious vacuole, and Rab11b is required for autophagy, so that targeting of Rab11b may link *Y. pestis* to autophagy through the host cell recycling pathway during infection [119, 127, 130]. Recruitment/sequestration of Rab GTPases by *Y. pestis* may be mediated by bacterial effector proteins that modify GTP to GDP, or vice versa transitions, ability to occur. Alternatively, Rab GTPase insert into membranes can be altered via prenyl group modifications. Pathogenic bacteria commonly use these modifications to avoid degradation by macrophages [239], but whether such modifications occur in the context of *Y. pestis* infection have yet to be explored.

Here I have expanded on our understanding of the role of Rab proteins in *Y. pestis* pathogenesis by using a Rab specific RNAi screen to identify those Rab GTPases required for intracellular survival. All screens require an established criteria to identify hits that have the greatest impact on the observed phenotype. In this case, we used a cutoff of >50% decrease in *Y. pestis* intracellular survival. It is possible that the Rab GTPases approaching a 50% reduction of intracellular survival may also contribute to *Y. pestis* intracellular survival and YCV biogenesis could be considered as areas for future study. However, we focused on those Rab proteins that when knocked down, individually had the greatest impact on *Y. pestis* intracellular survival. Specifically, I demonstrated that six Rab proteins in addition to Rab1b, 4a, and 11b are required for *Y. pestis* intracellular survival. Four of these Rab GTPases (Rab2, 20, 23, 40b) were also identified as potential hits in a previous whole genome pooled siRNA screen, but two (Rab13 and 22a) did not meet cutoff criteria in the original screen [119]. The use of a smaller format (39 versus >17,000 genes) and deconvolution for secondary validation may have allowed us to identify hits that were

missed in the original screen. Three of these identified Rab targets (Rab13, 22a, and 23) have been linked to the host cell recycling pathway [253-255], which supports our previous study demonstrating that subversion of this pathway is an important step in avoiding killing by macrophages [119]. However, the other three Rab proteins have been previously suggested to be involved in the trafficking of the sorting endosome (Rab20), the secretory pathway (Rab40b), and retrograde trafficking (Rab2b) [256-258]. The importance of these Rab GTPases for intracellular survival suggest 1) pathways other than the recycling pathway are important for subversion of phagosomal maturation and formation of the YCV by *Y. pestis*, or 2) these Rab GTPases function within the recycling pathway, but their contributions have not been defined to date.

Upon phagocytosis, phagosomes enter the cells as sorting endosomes, which can traffic through either the phagosome maturation pathway or the recycling pathway, depending on cargo and subsequent association with downstream Rab GTPases. Rab20 has been shown to associate with the early endosome but not with late phagosomes [256, 259, 260]. In fact, retention of Rab20 on the early endosome prolongs retention of Rab5 (another Rab associated with endosome sorting [260]) and delays phagosome maturation and lysosomal fusion, indicating that Rab20 is a key regulator of phagosome maturation [256, 259]. During *Y. pestis* infection, I showed that Rab20 co-localized with the YCV within 20 min post-infection, and was retained over the course of infection. This was significantly different from *E. coli*, which did not appear to associate with Rab20 after 20 min post-infection. These data indicate that *Y. pestis* artificially retains Rab20 to the YCV, resulting in stalling phagosomal maturation, and perhaps allowing for subsequent entry in the recycling pathway. Rab20 has only been reported previously in the context of *Mycobacterium* infection, and has been implicated as a mechanism of restricting *M. tuberculosis* growth [261]. Schnettger et al. have shown that *M. tuberculosis* can rupture phagosomes to acquire nutrients in the host cytosol [261]. To prevent access to the cytosol, the host cell maintains an intact vacuole membrane through a Rab20 dependent mechanism [261]. Therefore, similar to autophagy, Rab20 appears to be detrimental for bacteria that access the cytosol. Moreover, these data support that *Y. pestis* remains in an intact vacuole and does not need to directly access the cytosol to survive within macrophages. Early retention of Rab20 on the YCV suggests that it may be key for *Y. pestis* to avoid phagosome

acidification and lysosomal fusion, which should be directly tested in the future. Moreover, the potential role of Rab20 in autophagosome formation should be explored.

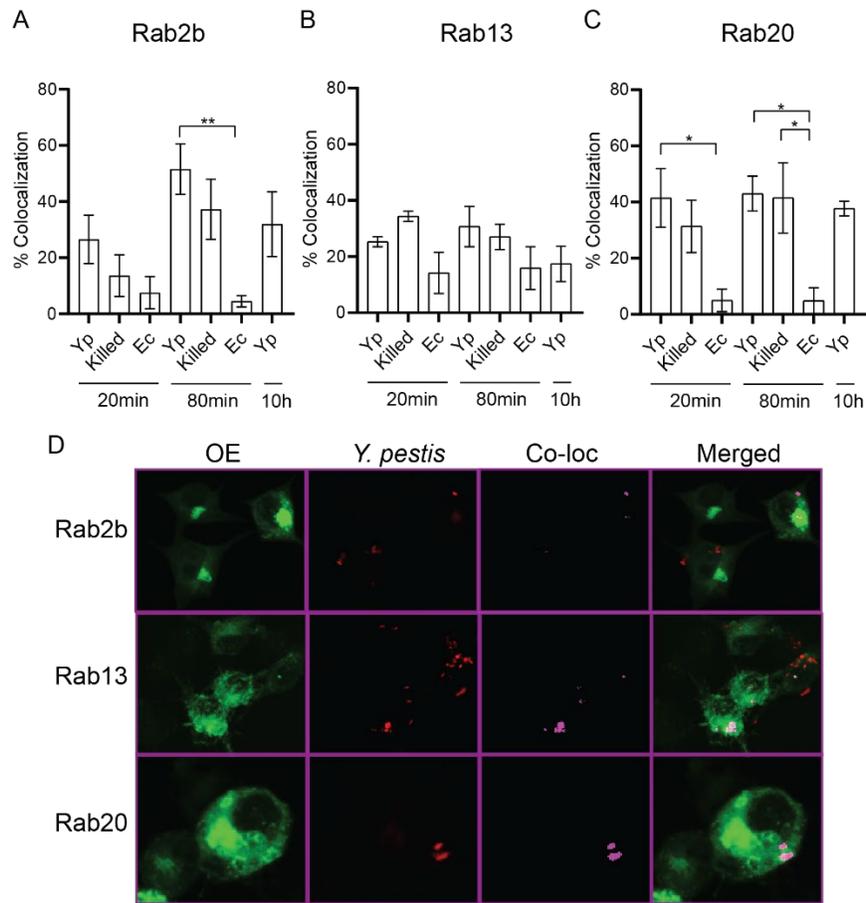


Figure 3-3: Rab2b, Rab13, and Rab20 co-localization in RAW264.7 macrophages.

RAW264.7 macrophages expressing indicated Rab-GFP proteins were infected with live *Y. pestis* CO92 pCD1(-) pGEN222::mCherry (Yp) (MOI 5), PFA-killed *Y. pestis* CO92 pCD1(-) pGEN222::mCherry (Killed) (MOI 5), or *E. coli* K-12 pGEN::mCherry (Eci) (MOI 20) (n=3). Frequency of co-localization of bacterium-containing vacuoles with transfected (A) Rab2b-EGFP (B) Rab13-EGFP, or (C) Rab20-EGFP. Yp = *Y. pestis*; Killed = PFA fixed *Y. pestis*; Ec = *E. coli*. (D) Representative images of RAW264.7 macrophages transiently transfected with pEGFP-Rab (green) and co-infected with *Y. pestis* CO92 pCD1(-) pGEN222::mCherry. One-way ANOVA with Tukey's posthoc test was performed, and the results are indicated as follows: ns, not significant; *, $P \leq 0.05$; **, $P \leq 0.01$.

An essential process for maintaining membrane balance is the return of membrane from the golgi to the endoplasmic reticulum (ER) mediated by Rab2b, termed retrograde trafficking [262] [258]. Rab2b has also been linked to transport of exosomes out of the cell, which may indicate that there is more to be understood regarding the functions of Rab2b [263]. My data shows that unlike Rab20 which was observed to co-localize with YCVs by 20 min post-infection, Rab2b was recruited to the YCVs containing both live and PFA-fixed *Y. pestis*, by 80 min post-infection and retained for the duration of the infection. The co-localization to *Y. pestis* was significantly greater than that observed for *E. coli*, which did not appear to co-localize with Rab2b at 80 min post infection. These data indicate that *Y. pestis* infection induces recruitment of Rab2b to the YCV, which may function as a way for *Y. pestis* to recruit nutrients/membrane to the YCV for survival and replication. The only bacterium reported to interact with Rab2b is *Brucella abortus*, which targets Rab2b via the effector protein RicA [243], and modulates the Brucella containing vacuole into an ER like compartment [264]. Interestingly, silencing of Rab2b leads to diminished intracellular growth of *B. abortus* [264], similar to what is observed for *Y. pestis*. Acquisition of Rab2b by the YCV suggests that it may be a way for *Y. pestis* to directly alter phagosomal maturation or acquire nutrients/membrane, which should be explored in the future.

Interestingly, similar levels of Rab20 and Rab2b were observed on vacuoles containing live *Y. pestis* and killed *Y. pestis* vacuoles. The lack of difference between the live and PFA-fixed samples is unusual, as we previously showed that Rab1b, 4a, and 11b recruitment to the UCV was dependent on live bacteria. This suggests that some factor conserved through fixation of *Y. pestis*, potentially a surface exposed ligand not shared with *E. coli* such as Ail, Pla, or another moiety, may impact the vesicular trafficking events leading to Rab2b and Rab20 co-localization. Additionally, active protein production may not be required for Rab20 retention and Rab2b recruitment. This observation may instead indicate that the bacterial factors responsible, are generated before macrophage interactions. Finally, it may also suggest that the method of entry into the cell may contribute to downstream trafficking events, which will be discussed in greater detail in Chapter 5.

The recycling pathway is commonly targeted by pathogens as a way to avoid phagolysosomal maturation and degradation [245, 265-267]. Previously I discussed how Rab20

delivers endosomes into the recycling pathways. Similarly, Rab13 is involved in delivering membranes from the Golgi to the recycling pathway [253]. Rab13 was not observed to be localized to the YCV or *E. coli*. Although Rab13 does not appear to be directly associated with the YCV, the phenotype observed upon silencing of Rab13 using siRNA may be due to an impact on host cell recycling apart from direct localization. Previously we published that *Y. pestis* stalls the recycling pathway likely through sequestration of Rab11b, potentially via a bacterial effector protein that recruits and retains Rab11b on the YCV; as overexpression of Rab11b restores recycling. Silencing of Rab11b expression does not result in *Y. pestis* death, but rather in the inability of *Y. pestis* to replicate, as *Y. pestis* remains at 10 h post infection without being degraded or replicating [119]. An alternative possibility for why Rab13 is important for *Y. pestis* intracellular survival, but does not localize to the YCV may be attributable to interaction with Rab11b vesicles upstream of *Y. pestis* interception, thus indirectly contributing to *Y. pestis* survival by supplying membrane to the Rab11b dependent pathway. To date, exploitation of Rab13 has not been demonstrated as a host factor required for establishment of pathogen containing vacuoles. However, *L. pneumophila* encoded LepB, a Rab1 GAP, is speculated to function as a GAP for additional Rab proteins including Rab13, although this has not been shown to occur *in vivo* [268]. One caveat that warrants consideration is that Rab13 interactions may be transient and thus missed in this analysis. Additional time points, or the use of a constitutively active form of Rab13 may help to identify whether transient Rab13 localization occurs. To better understand how Rab13 contributes to *Y. pestis* intracellular survival, further work is needed to evaluate whether Rab13 is critical for avoiding degradation or if it contributes to formation of the spacious YCV and replication.

Rab22a delivers endosomes to the slow recycling pathway, known to be important for *Y. pestis* survival [269-271]. Moreover, knockdown of Rab22a inhibits the return of transferrin through the slow recycling pathway, which we have previously is inhibited by *Y. pestis* infection of macrophages [119, 254]. Therefore, I formed the hypothesis that Rab22a is recruited by *Y. pestis* to the YCV in order to inhibit phagosomal maturation and divert to the slow recycling pathway instead. This would be similar to how *M. tuberculosis* and *A. phagocytilim* recruit Rab22a to their vacuoles in order to avoid degradation [113, 266, 272]. Although I was unable to determine if

Rab22a is localized to the YCV using an overexpression construct, I speculate that Rab22a localizes to the YCV early during infection, which could be tested using a Rab22a antibody to assay endogenous Rab22a localization to the YCV. Additionally, the activity of Rab22a independent of localization relative to the YCV should be explored, such as the impact of Rab22a knockdown on acidification, induction of autophagy, and formation of the spacious YCV.

In conclusion, I have shown eight Rab GTPases, two of which were not identified by the Connor et al. genome wide screen, are important for *Y. pestis* intracellular survival within macrophages [119]. Moreover, I have shown that Rab2b and Rab20 are recruited to the YCV early during infection, while Rab13 is not. Defining the role of these Rab GTPases in avoidance of phagosome acidification and YCV maturation, in addition to defining the role of the other Rab GTPase screen hits will be important for understand how *Y. pestis* survives, replicates, and escapes from macrophages. In so doing, a clearer picture of how *Y. pestis* causes disease in the mammalian host will become evident.

CHAPTER 4

REDUNDANT AND COOPERATIVE ROLES FOR *YERSINIA PESTIS* YOP EFFECTORS IN THE INHIBITION OF HUMAN NEUTROPHIL EXOCYTTIC RESPONSES REVEALED BY GAIN-OF-FUNCTION APPROACH¹

¹ Pulsifer AR, Vashishta A, Reeves SA, Wolfe JK, Palace SG, Proulx MK, Goguen J, Bodduluri SR, Haribabu B, Uriarte SM, Lawrenz MB. 2020. Redundant and cooperative roles for *Yersinia pestis* Yop effectors in the inhibition of human neutrophil exocytic responses revealed by gain-of-function approach. Infect Immun 88:e00909-19.¹ PubMed PMID: 31871100.

INTRODUCTION

Plague is the human disease caused by infection with the bacterial pathogen *Yersinia pestis* [1]. Depending upon the route of inoculation, plague can manifest in three forms [1]. Primary bubonic, pneumonic, or septicemic plague arises when bacteria are inoculated into the skin, lungs, or bloodstream, respectively. Upon infection with *Y. pestis*, mean time to death without medical intervention can range from 3 days for primary pneumonic or septicemic plague to 7 days for bubonic plague. A hallmark of *Y. pestis* infection is the lack of inflammation during early stages of colonization. During pneumonic plague in mice, a minimal inflammatory response is observed for the first 24 to 36 h of infection [37, 221, 273, 274]. Beginning at ~48 h post-infection, the inflammatory response to *Y. pestis* changes, resulting in a significant increase in inflammatory mediators, including monocyte chemoattractant protein 1 (MCP-1), tumor necrosis factor alpha (TNF- α), interleukin 12p70 (IL-12p70), gamma interferon (IFN- γ), and IL-6 [37, 221, 273, 274]. This coincides with an influx of immune cells, especially neutrophils, into the lungs, resulting in a rapid pneumonia [37, 221, 273, 274]. Similarly, inflammation is delayed in bubonic plague and does not occur until after *Y. pestis* has begun to proliferate in the draining lymph node and disseminate [3, 4, 36]. The ability of *Y. pestis* to actively inhibit innate immune responses is a key virulence mechanism for *Y. pestis* [36, 37, 147, 273-275]. Normally, neutrophils are recruited in response to a variety of stimuli derived from damaged or activated host cells via damage-associated molecular patterns (DAMPs), cytokines, chemokines, or complement products [152, 231, 276]. Microbial components, such as lipopolysaccharide, peptidoglycan, or *N*-formylmethionine-leucyl-phenylalanine peptides (fMLF), known as pathogen-associated molecular patterns (PAMPs), can also stimulate the recruitment of neutrophils [149]. Upon stimulation, neutrophils traverse the vasculature to reach the site of infection. Upon arrival at the site of infection, neutrophil antimicrobial responses are multifactorial and are comprised of phagocytosis, induction of the respiratory burst, degranulation, and release of neutrophil extracellular traps (NETs) [149]. Combined efforts from each of these responses make neutrophils very adept at killing microorganisms. Phagocytosis is important for clearing many bacterial infections, although some pathogens have acquired virulence

factors that inhibit uptake by neutrophils [165, 277]. In such situations, neutrophils rely upon extracellular release of antimicrobial mechanisms to effectively clear the infection.

One mechanism utilized by neutrophils to combat extracellular pathogens is the release of antimicrobial cargo contained in preformed granules (a process referred to as degranulation or graded exocytosis) [153]. Degranulation occurs in a regulated manner to coordinate release or modification of cytokines, chemokines, and signaling ligands/receptors to facilitate neutrophil transmigration and chemotaxis, with release of antimicrobial components that can directly restrict pathogen growth. Neutrophils contain four different granule subtypes, and mobilization of each granule is tightly controlled and dependent on the intensity of stimulation to coordinate functional responses [278]. Neutrophil degranulation is hierarchical, with secretory vesicles being the first subtype to undergo exocytosis, followed by gelatinase granules. Degranulation of specific and azurophilic granules, both loaded with toxic antimicrobial cargo, is more limited and requires stronger stimulation to promote granule mobilization [149]. Tightly graded control of granule release ensures that contents are released at the correct location to diminish collateral damage to the host.

The ability of neutrophils to mediate inflammatory responses has become more appreciated [279]. Neutrophils release a variety of cytokines and chemokines, as well as other immune modulatory factors that contribute to the cellular communication network during inflammation [38, 280]. One of the most potent modulators released by neutrophils is leukotriene B₄ (LTB₄). Not only is it important for recruitment of additional neutrophils to the site of infection [181, 190, 281], but LTB₄ also enhances the antimicrobial responses of both neutrophils and macrophages, including phagocytosis, respiratory burst, degranulation, and the release of inflammatory cytokines [196, 198, 203, 205, 209]. Importantly, LTB₄ production is not dependent on transcriptional regulation [195], and is therefore produced more rapidly than other chemoattractants, such as IL-8. Due to the rapidity of LTB₄ production, it is pivotal in mounting a swift inflammatory response [198, 202, 208, 209, 282]. Moreover, release of LTB₄ is independent of degranulation [194], suggesting that regulation of LTB₄ release also differs from degranulation.

Although neutrophils are extremely capable of restricting microbial colonization, *Y. pestis* encodes a variety of virulence factors to evade recognition and killing by neutrophils [43, 48, 53,

166, 283]. The Ysc type 3 secretion system (T3SS) secretes seven *Yersinia* outer protein (Yop) effectors directly into host cells and is paramount for inhibition and evasion of neutrophil responses [40, 147, 166, 221, 226, 284-286]. Moreover, several *in vivo* studies have demonstrated that neutrophils are the primary cell type that *Y. pestis* interacts with during early stages of infection [42, 43, 221]. Once injected into neutrophils, *Y. pestis* Yop effectors interact with specific host factors to disrupt multiple host signaling pathways. YpkA, YopE, YopH, and YopT disrupt the actin cytoskeleton via interactions with host Rac, Rho, and focal adhesion complex proteins [76, 77, 81-83, 225, 226, 285-295]. YopH has also been shown to inhibit host cell calcium flux [81, 296], while YopJ inhibits mitogen-activated protein kinase (MAPK) and nuclear factor kappa B (NF- κ B) cascades [86, 224, 229, 284, 296]. Together, these Yop effectors have been shown to effectively inhibit neutrophil phagocytosis, respiratory burst, and cytokine/chemokine release [86, 166, 224, 284]. Importantly, the Yop translocon pore and effects of Yop effectors on host proteins can trigger inflammasome activation, which should lead to inflammatory responses [91, 297-299]. However, YopM and YopK function to inhibit inflammasome activation and subsequent inflammatory responses [91, 93, 97, 297, 299-302]. Together, the Yop effectors allow *Y. pestis* to actively inhibit the inflammatory response.

Recently it was shown that *Yersinia pseudotuberculosis* inhibits neutrophil degranulation in a T3SS-dependent manner, which was dependent on the actions of YopE and YopH [233]. Here, we show T3SS-dependent inhibition of neutrophil degranulation by *Y. pestis*, as well as roles of both YopE and YopH in inhibition. However, using a gain-of-function approach with a library of *Y. pestis* strains only expressing one Yop effector, we were able to identify additional Yop effectors contributing to inhibition of degranulation that have not been previously observed. Moreover, we show for the first time that *Y. pestis* actively inhibits production of LTB₄ by human neutrophils, and we identify the Yop effectors contributing to this inhibition.

RESULTS

Y. pestis inhibits neutrophil degranulation in a T3SS-dependent manner.

Degranulation is a highly regulated but quick response that generally occurs within minutes after encountering a stimulus. Multiple studies have provided an understanding of the contents of the different granules that are released during degranulation (e.g., albumin is released during degranulation of secretory vesicles; gelatinase is released during degranulation of gelatinase granules), and the increased expression of receptors displayed on the neutrophil cell surface upon granule fusion with the plasma membrane (e.g., CD66b is displayed after degranulation of specific granules; CD63 is displayed after degranulation of azurophilic granules) (reviewed by Cowland and Borregaard [167]). Importantly, using these markers, degranulation of each granule subtype in response to different stimuli can be reliably monitored. Recently, it has been shown that *Y. pseudotuberculosis* inhibits degranulation by human neutrophils [233]. To determine whether *Y. pestis* similarly inhibits degranulation, human neutrophils were infected with *Y. pestis* CO92 or with a strain lacking the pCD1 plasmid encoding the Ysc T3SS [*Y. pestis* CO92 T3⁽⁻⁾]. At a multiplicity of infection (MOI) of 10 or 100, minimal, if any, release of the four granule subtypes was observed in response to *Y. pestis* CO92 (Figure 3-1). Similarly, at an MOI of 10, infection with *Y. pestis* CO92 T3⁽⁻⁾ did not result in degranulation. However, at an MOI of 100, *Y. pestis* CO92 T3⁽⁻⁾ caused significant release of all four granule subtypes compared to infection with *Y. pestis* CO92 (Figure 3-1 and Figure 3- 2A and B). Surprisingly, using an MOI 10-fold higher, granules are not released in response to infection with *Y. pestis* CO92 T3⁽⁻⁾, indicating the T3SS is a potent inhibitor of granule release. Infection with *Y. pestis* KIM derivative with and without the pCD1 plasmid recapitulated the phenotypes observed for *Y. pestis* CO92 and CO92 T3⁽⁻⁾, respectively. Together, these data, and data from a T3 effector-less mutant that produced the same phenotype as T3⁽⁻⁾ (data not shown), indicate that degranulation is inhibited by *Y. pestis* in a T3SS-dependent manner.

Cooperative inhibition of neutrophil degranulation by Yop effectors revealed through gain-of-function approach.

The Ysc T3SS delivers seven effector proteins into targeted host cells [303]. To determine if a single Yop effector is responsible for inhibiting neutrophil degranulation, human neutrophils were infected with a library of *Y. pestis* KIM1001 strains containing in-frame deletions of one *yop* gene (Table 2-1). While *Y. pestis* is able to inhibit release of all four granule subtypes (Figure 4-1), specific and azurophilic granules contain most of the antimicrobial components produced by neutrophils, and are typically released at the site of infection, where neutrophils would come into direct contact with *Y. pestis*. We therefore focused on the ability of *Y. pestis* Yop effectors to inhibit release of these two granule subtypes. Moreover, comparing the expression of degranulation markers after incubation with *Y. pestis* T3⁽⁻⁾ for 30 and 60 min indicated that degranulation peaked by 30 min post-infection (Figure 4-2C and D). Therefore, degranulation was monitored at 30 min post-infection for subsequent experiments. As shown in Figure 3-3, infection with the *Y. pestis* KIM1001 T3⁽⁻⁾ strain resulted in significant release of both specific and azurophilic granules compared to infection with mutants lacking any single *yop* gene. Each of the individual deletion mutants retained the ability to inhibit release of either granule, with surface expression of degranulation markers similar to that observed for *Y. pestis* KIM1001 (Figure 4-3). Similar results were observed with individual *yop* deletion mutants in the *Y. pestis* CO92 background (data not shown). These data suggest that more than one Yop effector protein is able to inhibit neutrophil granule release (i.e., functional redundancy in the system).

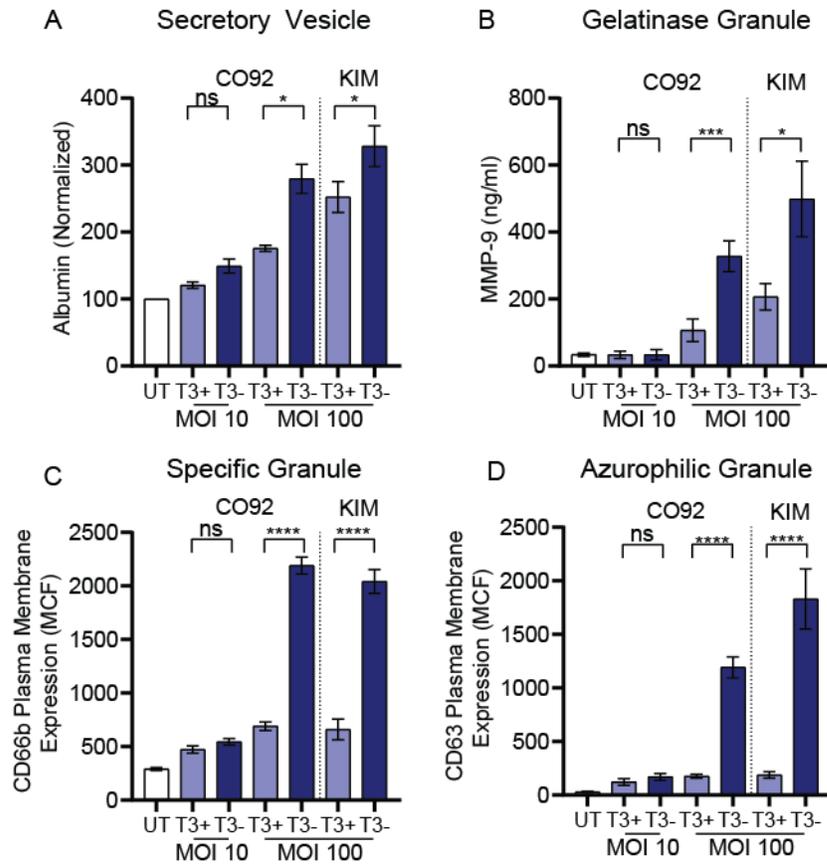


Figure-4-1: *Y. pestis* inhibits degranulation in a T3SS-dependent manner.

Human neutrophils (4×10^6) were infected with *Y. pestis* CO92 or *Y. pestis* KIM1001 with or without the pCD1 plasmid encoding the T3SS (T3+ or T3-, respectively) at indicated multiplicities of infection (MOIs) (10 or 100). Degranulation was measured after 30 min of infection for (A) secretory vesicles and (B) gelatinase, (C) specific, and (D) azurophilic granules. UT, untreated cells. Mean \pm standard error of the mean (SEM) from 5 biologically independent experiments. One-way analysis of variance (ANOVA) with Sidak's post hoc test; *, $P < 0.05$; ***, $P < 0.001$; ****, $P < 0.0001$. Representative flow cytometry histograms for specific and azurophilic granules are shown in Figure 4-2.

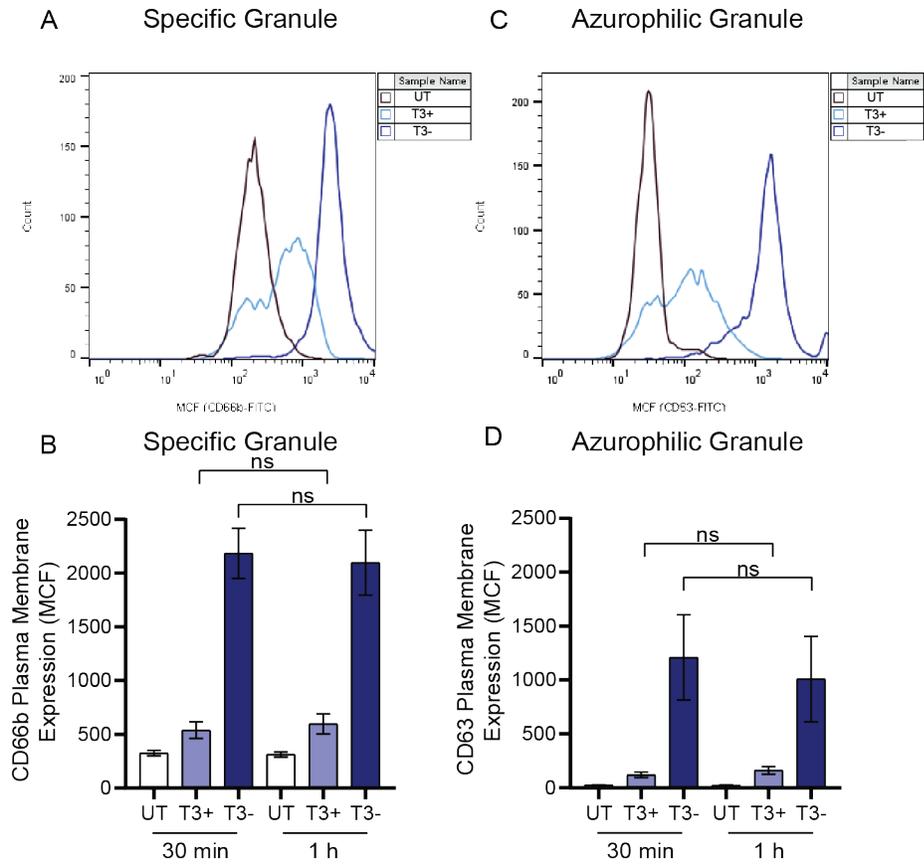


Figure-4-2: Degranulation of specific and azurophilic granules peaks by 30 min post-infection.

Human neutrophils (4×10^6) were infected with *Y. pestis* CO92 with or without the pCD1 plasmid encoding the T3SS (T3+ or T3-, respectively); MOI of 100. Degranulation of (A and B) specific and (C and D) azurophilic granules was measured 30 min and 1 h post-infection by flow cytometer. (A) and (C) Representative histogram for one experiment from (B) and (D) respectively. For (B) and (D) Mean \pm SEM from 4 biologically independent experiments. One-way ANOVA with Sidak's post-hoc; ns = not significant. UT = untreated cells.

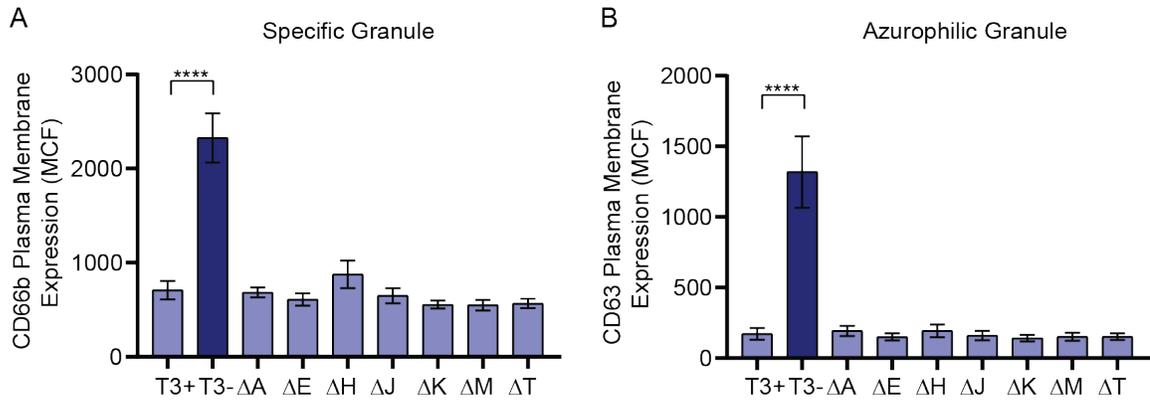


Figure-4-3: Deletion of individual Yop effector proteins does not alter neutrophil degranulation response to *Y. pestis* infection.

Human neutrophils (4×10^6) were infected with *Y. pestis* KIM1001 with or without the pCD1 plasmid encoding the T3SS (T3+ or T3-, respectively) or with strains lacking *ypkA* (ΔA), *yopE* (ΔE), *yopH* (ΔH), *yopJ* (ΔJ), *yopK* (ΔK), *yopM* (ΔM), or *yopT* (ΔT); MOI=100. Degranulation was measured after 30 min of infection for (A) specific or (B) azurophilic granules. Mean \pm SEM from 5 biologically independent experiments. One-way ANOVA with Dunnett's post hoc test to T3-; ****, $P < 0.0001$.

Recently, Palace et al. developed a library of *Y. pestis* strains that only express one Yop effector [229]. This library allows for the study of individual Yop effectors without the presence of the other six, which could confound data interpretation due to phenotypical masking by functionally redundant proteins. To determine whether individual Yop effectors inhibit degranulation, neutrophils were infected with strains from this library and monitored for exocytosis of specific and azurophilic granules (Figure 4-4). While strains expressing YopE, YopH, or YopT trended toward decreased specific granule exocytosis, none of the mutants demonstrated statistically significant decreases in exocytosis compared to the T3⁽⁻⁾ strain (Figure 4-4A). Similar trends were observed for exocytosis of azurophilic granules for strains expressing YopE and YopH, but surprisingly, the strain expressing only YopT caused increased release of azurophilic granules (Figure 4-4B). These data indicate that while there is functional redundancy for inhibiting degranulation by neutrophils, the effector proteins also work in a cooperative manner during *Y. pestis* infection to effectively inhibit exocytosis of specific and azurophilic granules.

YopE, YopH, YopJ, and YpkA act cooperatively to inhibit degranulation of specific and azurophilic granules.

To determine which Yop effectors act cooperatively to inhibit degranulation, a coinfection approach with two strains of *Y. pestis* expressing different individual Yop effectors was employed. Neutrophils were infected with a 1:1 mixture of two *Y. pestis* strains, each expressing different Yop proteins (final MOI is 100; MOI of 50 for each strain). Exocytosis of specific and azurophilic granules was compared to that of cells infected with *Y. pestis* KIM1001 T3⁽⁻⁾ or a 1:1 mixture of *Y. pestis* KIM1001 and *Y. pestis* KIM1001 T3⁽⁻⁾. As expected, coinfections with *Y. pestis* KIM1001 expressing all of the Yop proteins significantly decreased exocytosis of both specific and azurophilic granules compared to infection with only *Y. pestis* KIM1001 T3⁽⁻⁾ (Figure 4-5 and 4-6).

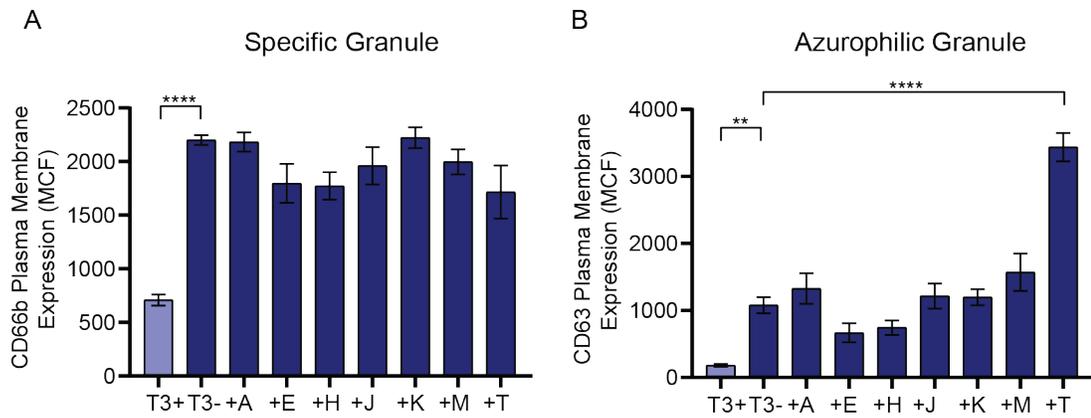


Figure-4-4: Individual Yop effector proteins are unable to completely inhibit degranulation.

Human neutrophils (4×10^6) were infected with *Y. pestis* KIM1001 with or without the pCD1 plasmid encoding the T3SS (T3+ or T3-, respectively) or with strains expressing only ypkA (+A), yopE (+E), yopH (+H), yopJ (+J), yopK (+K), yopM (+M), or yopT (+T); MOI=100. Degranulation was measured after 30 min of infection for (A) specific or (B) azurophilic granules. Mean SEM from 5 biologically independent experiments. One-way ANOVA with Dunnett's post hoc test to T3+: **, $P < 0.01$; ****, $P < 0.0001$.

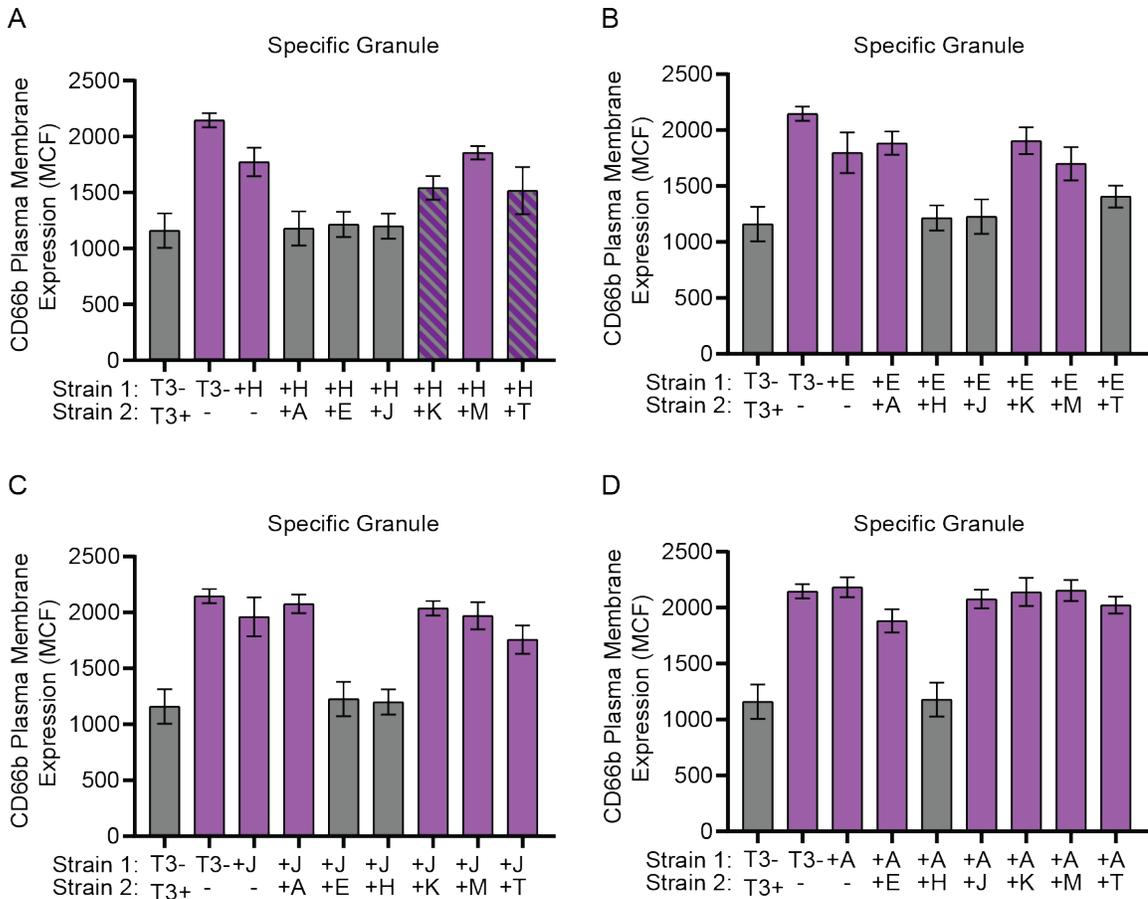


Figure 4-5: At least two Yop effector proteins are required to fully inhibit specific granule release.

Human neutrophils (4×10^6) were co-infected with *Y. pestis* KIM1001 with or without the pCD1 plasmid encoding the T3SS (T3+ or T3-, respectively) or with strains expressing only *ypkA* (+A), *yopE* (+E), *yopH* (+H), *yopJ* (+J), *yopK* (+K), *yopM* (+M), or *yopT* (+T) mixed at a 1:1 ratio with strains expressing only (A) *yopH* (+H), (B) *yopE* (+E), (C) *yopJ* (+J), or (D) *ypkA* (+A); MOI of each strain was 50 for a combined MOI of 100. Specific granule release was measured after 30 min of infection. Mean \pm SEM from 5 biologically independent experiments. One-way ANOVA with Dunnett's *post hoc* test. Gray bars are significantly different than T3- ($P < 0.05$); purple bars are significantly different from T3+/T3-.

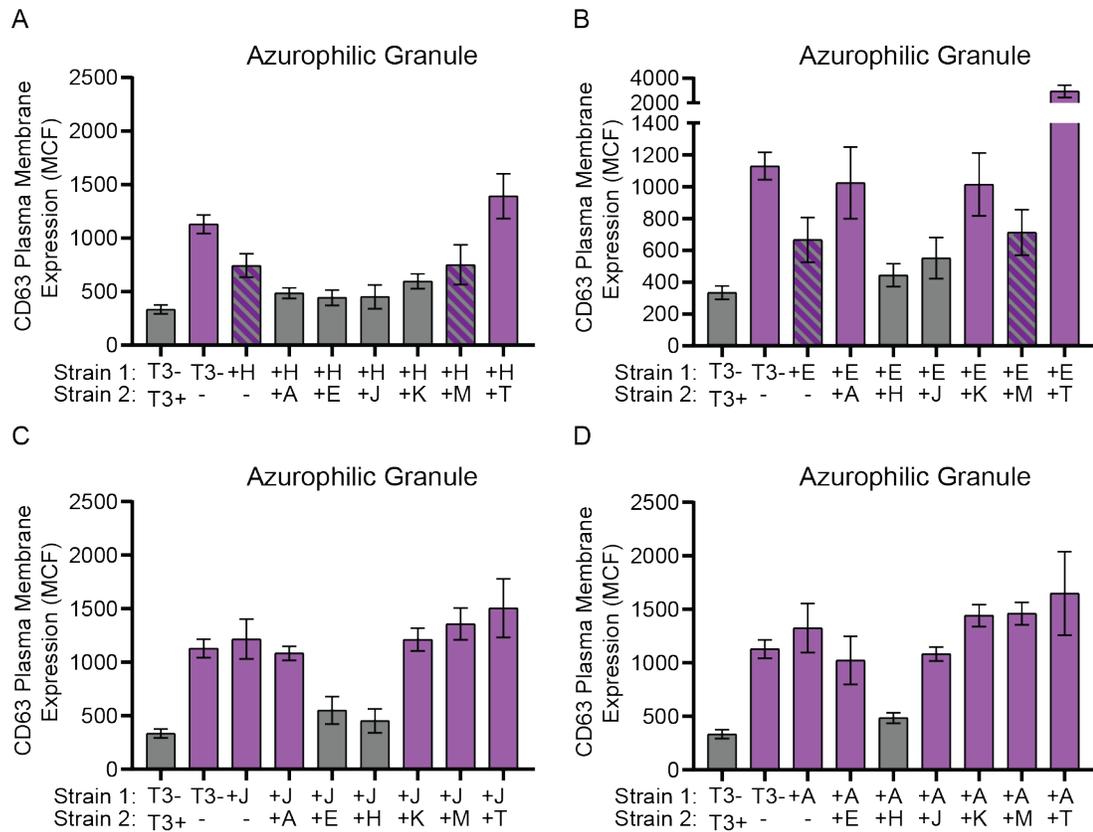


Figure 4-6: At least two Yop effector proteins are required to fully inhibit azurophilic granule release.

Human neutrophils (4×10^6) were co-infected with *Y. pestis* KIM1001 with or without the pCD1 plasmid encoding the T3SS (T3+ or T3-, respectively) or with strains expressing only *ypkA* (+A), *yopE* (+E), *yopH* (+H), *yopJ* (+J), *yopK* (+K), *yopM* (+M), or *yopT* (+T) mixed at a 1:1 ratio with strains expressing only (A) *yopH* (+H), (B) *yopE* (+E), (C) *yopJ* (+J), or (D) *ypkA* (+A); MOI of each strain was 50 for a combined MOI of 100. Azurophilic granule release was measured after 30 min of infection. Mean \pm SEM from 5 biologically independent experiments. One-way ANOVA with Dunnett's *post hoc* test. Gray bars are significantly different than T3- ($P < 0.05$); purple bars are significantly different than T3+/T3- ($P < 0.05$); hatched bars are not significantly different than T3- or T3+/T3-.

Co-infection with two strains expressing only one Yop protein revealed that cooperative actions by four effectors were sufficient to inhibit degranulation of both specific and azurophilic granules. Coinfection with strains expressing YopH and YopE, YopH and YpkA, YopH and YopJ, or YopE and YopJ was sufficient to inhibit degranulation of both granules to levels similar to coinfection with *Y. pestis* KIM1001 and *Y. pestis* KIM1001 T3⁽⁻⁾ (Figure 4-5 and 4-6). Coinfection with YopH and YopK appeared to also sufficiently inhibit release of azurophilic granules. For specific granules, coinfection with YopH and YopK, YopH and YopT, or YopE and YopT showed intermediate phenotypes. Coinfection with YopT could reverse the ability of YopH and YopE to partially inhibit degranulation of azurophilic granules (Figure 4-6A and B), reflecting the enhanced degranulation previously observed in single YopT infection (Figure 4-4B). However, coinfection with YopJ or YpkA appeared to inhibit the YopT enhanced degranulation phenotype (Figure 4-6C and D). Together, these data confirm previously reported roles for YopH and YopE in inhibition of degranulation [233], and also revealed previously hidden contributions of YpkA, YopJ, and YopK.

Y. pestis inhibits LTB₄ response of human neutrophils.

LTB₄ is a potent chemoattractant released by neutrophils independently of degranulation, and it contributes to early inflammation in response to infection [181, 194]. As inhibition of inflammation is a hallmark of *Y. pestis* infection, we next asked whether *Y. pestis* inhibits release of LTB₄ by human neutrophils. Neutrophils were infected with *Y. pestis* KIM1001 or *Y. pestis* KIM1001 T3⁽⁻⁾, and the level of LTB₄ released into the supernatant was compared to that released by untreated neutrophils (Figure 4-7A). Infection with *Y. pestis* KIM1001 did not result in significant release of LTB₄ compared to untreated neutrophils. However, when neutrophils were infected with *Y. pestis* KIM1001 T3⁽⁻⁾, a significant increase in LTB₄ secretion was observed (Figure 4-7A; $P < 0.01$). To determine if these differences in LTB₄ levels were sufficient to alter chemotaxis of naive neutrophils, conditioned supernatants from infected neutrophils were used in a chemotaxis assay and compared to supernatant from untreated neutrophils (Figure 4-7B). Naive neutrophils exposed to buffer or fMLF, a known chemoattractant, were used as controls. The numbers of naive neutrophils migrating toward the conditioned supernatant from untreated and *Y. pestis* KIM1001-

infected neutrophils were not significantly different. However, in direct correlation with the elevated levels of

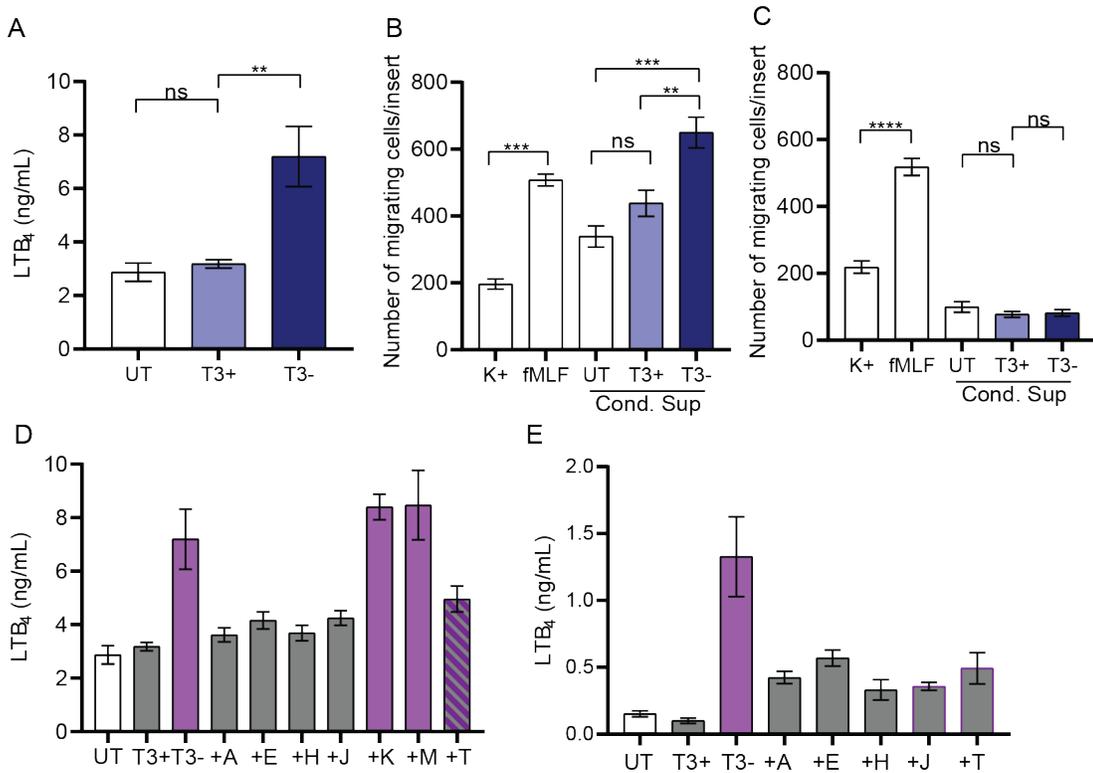


Figure 4-7: *Y. pestis* inhibits human neutrophil LTB₄ response.

Human neutrophils (4×10^6) were infected with *Y. pestis* KIM1001 with or without the pCD1 plasmid encoding the T3SS (T3+ or T3-, respectively); MOI=100. (A) Release of LTB₄ was measured after 30 min of infection in supernatant. (B and C) Chemotaxis of naive neutrophils in response to conditioned supernatant (B) without or (C) with pretreatment of the BLT1 inhibitor LY293111. (D) LTB₄ concentrations in the supernatant or (E) cell lysates of neutrophils infected with strains expressing only *ypkA* (+A), *yopE* (+E), *yopH* (+H), *yopJ* (+J), *yopK* (+K), *yopM* (+M), or *yopT* (+T). Mean \pm SEM from 5 biologically independent experiments. One-way ANOVA with Dunnett's *post hoc* test. **, $P < 0.01$; ***, $P < 0.001$; ****, $P < 0.0001$; ns, not significant. (D and E) Gray bars are significantly different than T3- ($P < 0.05$); purple bars are significantly different from T3+/T3- ($P < 0.05$); hatched bars are not significantly different from T3- or T3+/T3-.

LTB₄ in the conditioned supernatant, significantly more neutrophils migrated toward the supernatant collected from cells infected with *Y. pestis* KIM1001 T3⁽⁻⁾ (Figure 4-7B; $P < 0.01$). Pretreatment of naive neutrophils with an inhibitor that blocks signaling through the LTB₄ high-affinity receptor BLT1 eliminated chemotaxis toward the conditioned supernatant but not toward fMLF (Figure 4-7C). These results indicate that the presence of LTB₄ in the conditioned supernatant was promoting chemotaxis.

Next, we used the library of *Y. pestis* mutants expressing only one Yop effector to ask whether individual effector proteins are sufficient to inhibit LTB₄ release. In contrast to the data observed for inhibition of specific and azurophilic granule release, four of the seven Yop effectors (YpkA, YopE, YopH, and YopJ) were able to inhibit LTB₄ release to levels similar to those of *Y. pestis* KIM1001 (Figure 4-7D). Moreover, infection with the strain only expressing YopT also substantially decreased the amount of LTB₄ released from the neutrophils, although to a lesser degree than the other four effectors. Finally, to determine if *Y. pestis* infection inhibits synthesis or release of LTB₄, intracellular levels of LTB₄ from infected neutrophils were measured. Similar to the results observed for conditioned supernatants, significantly lower amounts of intracellular LTB₄ were detected in cells infected with *Y. pestis* KIM1001 and mutants expressing YpkA, YopE, YopH, YopJ, and YopT (Figure 4-7E). Together, these data indicate that *Y. pestis* actively inhibits synthesis of LTB₄ from human neutrophils in a T3SS-dependent manner, multiple Yop effectors are sufficient to inhibit LTB₄ synthesis, and the inhibition of LTB₄ release by infected neutrophils negatively impacts the chemotactic activity of naïve neutrophils to respond to the infection.

Disruption of the host cytoskeleton inhibits LTB₄ release in response to *Y. pestis* infection.

Although different mechanisms are used by YpkA, YopE, YopH, and YopT, all four proteins have been shown to affect actin cytoskeletal rearrangement in host cells [225, 285, 304-306]. Because of this common effect, we hypothesized that *Y. pestis* disruption of the actin cytoskeleton could inhibit LTB₄ release. If true, the release of LTB₄ observed during infection with *Y. pestis* KIM1001 T3⁽⁻⁾ could be blocked by artificially disrupting the actin cytoskeleton. To test this hypothesis, human neutrophils were incubated with latrunculin A, a chemical inhibitor of actin polymerization, prior to infection with *Y. pestis*, and LTB₄ released into the supernatant was

measured. As previously observed, significantly higher levels of LTB₄ were secreted by neutrophils treated with the vehicle and infected with *Y. pestis* KIM1001 T3⁽⁻⁾ than by vehicle-treated neutrophils infected with *Y. pestis* KIM1001 (Figure 4-8A; *P*<0.01). However, treatment with latrunculin A resulted in loss of LTB₄ release in response to the strain lacking the T3SS, supporting that actin cytoskeleton disruption by Yop effectors can inhibit the LTB₄ response in neutrophils.

Disruption of MAPK signaling inhibits LTB₄ synthesis in response to *Y. pestis* infection.

YopJ does not directly impact the host cell cytoskeleton, but it is a potent inhibitor of MAPK signaling [71, 87, 307, 308]. Since MAPK signaling has been shown to control LTB₄ synthesis in other models [71, 87, 307-312], we hypothesized that YopJ inhibition of LTB₄ synthesis is mediated by disruption of MAPK signaling. *In vitro* data indicate that YopJ can interact with multiple kinases in this pathway, including MAP3K (e.g., the TGF- β activating kinase [TAK1]) and MAP2K (e.g., mitogen-activated kinase kinase 6 [MEK6]) [71, 302, 312-314]. Because TAK1 represents the earliest point in MAPK signaling targeted by YopJ, we tested whether treatment of neutrophils with a TAK1 chemical inhibitor was sufficient to inhibit LTB₄ synthesis in response to *Y. pestis* KIM1001 T3⁽⁻⁾. As expected, when cells were exposed to the drug vehicle, we observed a significant increase in LTB₄ release by neutrophils infected with *Y. pestis* KIM1001 T3⁽⁻⁾ compared to that by neutrophils infected with *Y. pestis* KIM1001 (Figure 4-8B; untreated, *P*<0.001). However, addition of the TAK1-specific inhibitor (5Z)-7-oxozeaenol [(5Z)-7-oxo] inhibited this response by neutrophils, and no difference in LTB₄ concentration was observed in the supernatants of neutrophils infected with *Y. pestis* KIM1001 or *Y. pestis* KIM1001 T3⁽⁻⁾ [Figure 4-8B; (5Z)-7-oxo]. TAK1 signaling is upstream of the MAPKs ERK and p38, but has not been shown to activate JNK in neutrophils [313]. To determine which MAPK was impacted by inhibition of TAK1 signaling, cell lysates from infected neutrophils were harvested, and the levels of phosphorylated p38 and ERK were measured by Western blotting. Compared to untreated neutrophils, we observed no difference in the phosphorylation of p38 during *Y. pestis* infection in the presence of the TAK1 inhibitor [Figure 4-8C and 4-9B; untreated versus (5Z)-7-oxo]. However, while phosphorylation of ERK was significantly increased in untreated cells during infection with *Y. pestis* KIM1001 T3⁽⁻⁾, chemical inhibition of

TAK1 signaling resulted in decreased ERK phosphorylation [Figure 4-8D; (5Z)-7-oxo], indicating that TAK1-

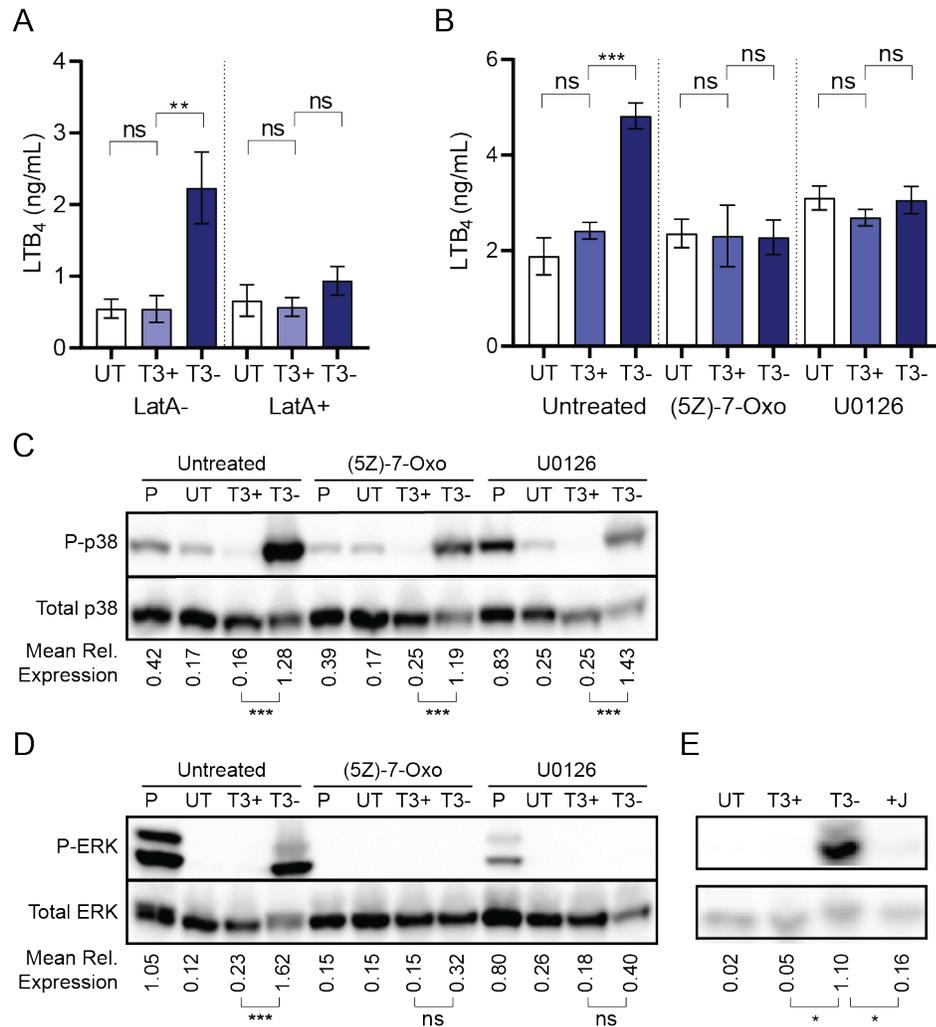


Figure 4-8: Inhibition of cytoskeletal rearrangement or MAPK signaling inhibits LTB₄ release.

Inhibition of cytoskeletal rearrangement or MAPK signaling inhibits LTB₄ release. Human neutrophils (4×10^6 for LatA treatment or 8×10^6 for Western blots) were infected with *Y. pestis* KIM1001 with or without the pCD1 plasmid encoding the T3SS (T3+ or T3-, respectively); MOI=100. (A) Concentration of LTB₄ in supernatant from infected neutrophils with indicated *Y. pestis* strains after pretreatment with vehicle control (LatA-) or latrunculin A (LatA+) prior to infection. (B) Concentration of LTB₄ in culture supernatants after infection with indicated *Y. pestis* strains after pretreatment with vehicle control (untreated), the TAK1 inhibitor (5Z)-7-oxozeaenol [(5Z)-7-Oxo],

or the ERK inhibitor U0126. (C) Phosphorylation of p38 and (D) ERK during infection with indicated strains after pretreatment with vehicle control (untreated), the TAK1 inhibitor (5Z)-7-oxozeaenol [(5Z)-7-Oxo], or the ERK inhibitor U0126. (E) Phosphorylation of ERK during infection with indicated *Y. pestis* strains. T3+, *Y. pestis* KIM1001; T3-, *Y. pestis* KIM1001 T3⁽⁻⁾; +J, KIM1001 expressing only *yopJ*; UT, uninfected. (A and B) Mean \pm SEM from 5 biologically independent experiments. (C, D, and E) Mean relative expression calculated from 3 biologically independent Western blots. One-way ANOVA with Sidak's *post hoc* test. *, $P < 0.05$; **, $P < 0.01$; ***, $P < 0.001$.

mediated activation of LTB₄ synthesis during *Y. pestis* KIM1001 T3⁽⁻⁾ infection is through the ERK signaling pathway. To confirm that ERK signaling mediates LTB₄ production in response to *Y. pestis* KIM1001 T3⁽⁻⁾, neutrophils were treated with the ERK-specific inhibitor U0126 prior to *Y. pestis* infection. Similarly to treatment with the TAK1 inhibitor, blocking ERK signaling with U0126 inhibited the release of LTB₄ in response to the *Y. pestis* KIM1001 T3⁽⁻⁾ strain (Figure 4-8B; U0126). Western blot analysis confirmed that U0126 specifically inhibited ERK phosphorylation and not p38 phosphorylation during *Y. pestis* KIM1001 T3⁽⁻⁾ infection (Figure 4-8C and D and 4-9B; U0126). Importantly, infection with *Y. pestis* KIM1001 expressing only YopJ recapitulated the inhibition of ERK phosphorylation observed during infection with *Y. pestis* expressing all of the Yop effectors (Figure 4-8E and 4-9C), demonstrating that YopJ is sufficient to inhibit ERK signaling during *Y. pestis* infection. While inhibition of ERK signaling is sufficient to inhibit LTB₄ release, the T3SS also inhibits phosphorylation of p38 in a non-TAK1 dependent manner (Figure 4-9A). Together, these data indicate that inhibition of ERK signaling in neutrophils by YopJ is sufficient to inhibit LTB₄ synthesis during *Y. pestis* infection.

DISCUSSION

Through the T3SS and other virulence factors, *Y. pestis* is able to actively evade and inhibit the mammalian innate immune response, which allows the bacterium to colonize the host [44, 102, 303]. Previous work has demonstrated targeting of resident and arriving neutrophils by *Y. pestis* for T3SS injection, which inhibits neutrophil antibacterial mechanisms that would otherwise result in bacterial killing [40, 42, 43, 55, 166, 221, 222, 224, 315]. Specifically, *Y. pestis* has been shown

to inhibit phagocytosis [147, 166], reactive oxygen species production [147, 166, 284], and production of cytokines [224] by neutrophils. Our study further expands the understanding of how *Y. pestis* impairs the inflammatory response of host neutrophils by inhibition of neutrophil degranulation and LTB₄ synthesis. Work in the closely related species *Y. pseudotuberculosis* demonstrated that the T3SS actively inhibits neutrophil degranulation via the contributions of YopE and YopH [233]. The *Y. pseudotuberculosis* study used adherent neutrophils, whereas we used neutrophils in suspension for several reasons. Adhering neutrophils causes partial activation and release of secretory vesicles, so that assaying secretory vesicle release would not be possible [316]. Furthermore, adherent neutrophils are also partially primed and more sensitive to further stimulus than neutrophils in suspension [212, 213, 316]. Moreover, interactions between bacteria and neutrophils are more random in suspension, and requiring higher MOIs to observe the same phenotype using adherent neutrophils [149, 317]. To understand the impact of *Y. pestis* infection on all four granule subtypes, neutrophils in suspension were used with an MOI higher than was used for the *Y. pseudotuberculosis* study [233].

Our data, and a recent report from Eichelberger et al. [318], demonstrate that *Y. pestis* also utilizes these two effector proteins to inhibit neutrophil degranulation. However, by using a gain-of-function technique, we were also able to identify the contributions of YopJ and YpkA to the inhibition of specific and azurophilic granule exocytosis. Moreover, and importantly, we were able to show that multiple Yop effectors must act cooperatively to inhibit degranulation. The likely reasons YopJ and YpkA contributions were missed previously are because (i) four different protein combinations can inhibit degranulation of both specific and azurophilic granules, and (ii) while four proteins are involved, the bacterium requires either YopH or YopE (i.e., YopJ and YpkA cannot inhibit without YopE or YopH). Therefore, using a conventional loss-of-function deletion approach, a *yopE yopH* double mutant will have a phenotype, while any other double mutation combination will not, leading to the erroneous conclusion that YopE and YopH are redundant and sufficient to inhibit degranulation. These data also suggest the potential for hidden contributions of Yop effectors to other previously described phenotypes identified via loss-of-function mutational approaches. For example, while YopJ has been linked to inhibition of IL-8 by neutrophils, a *yopJ* mutant does not

release as much IL-8 as a T3SS-deficient mutant, suggesting cooperative actions by other Yop effectors [224]. Identification of other Yop effectors involved in inhibition could be performed using a similar gain-of-function approach to that described here.

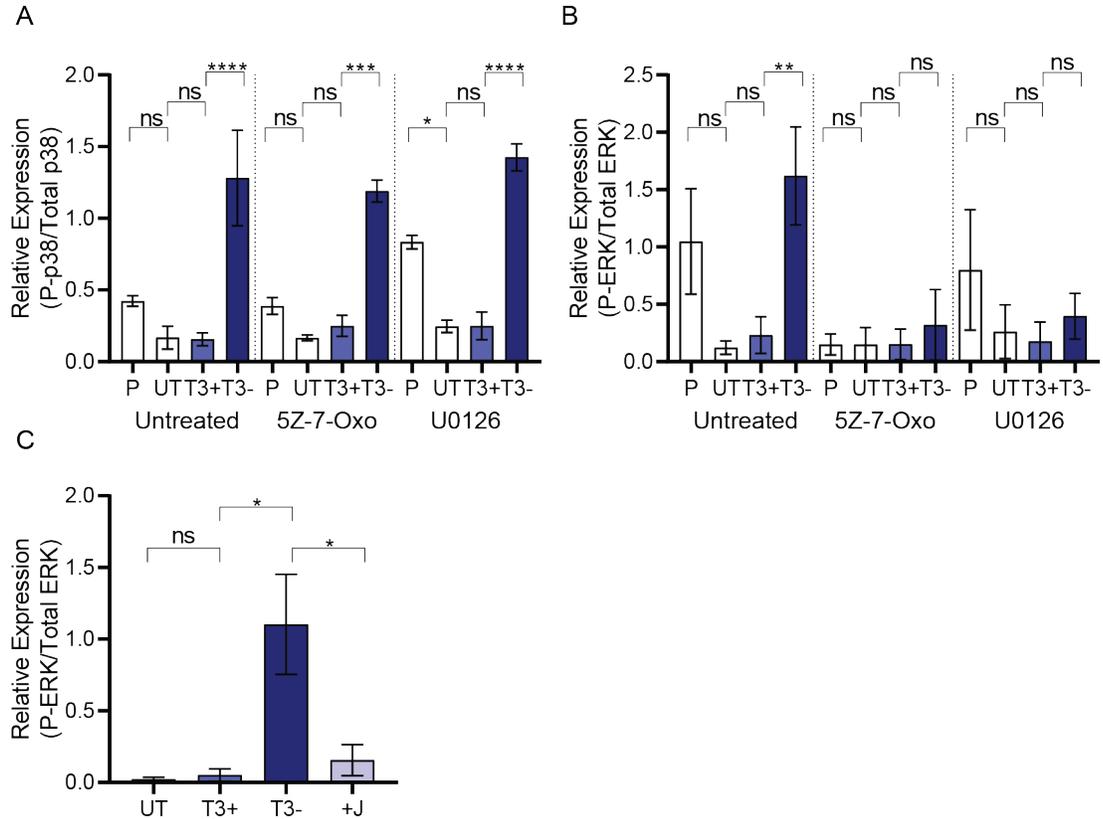


Figure 4-9: Quantification of p38 and ERK phosphorylation.

Human neutrophils (8×10^6) were infected with *Y. pestis* KIM1001 with or without the pCD1 plasmid encoding the T3SS (T3+ or T3-, respectively); MOI = 100. (A) Mean relative expression phosphorylated p38 or (B) phosphorylated ERK during infection with indicated strains after pre-treatment with vehicle control (Untreated), the TAK1 inhibitor (5Z)-7-Oxozeaenol ((5Z)-7-Oxo), or the ERK inhibitor (U0126). (C) Mean relative expression of phosphorylated ERK during infection with indicated *Y. pestis* strains. *Y. pestis* KIM1001 = T3+; KIM1001 T3⁽⁻⁾ = T3-; KIM1001 expressing only yopJ = +J; Uninfected = UT. Mean \pm SEM from 3 biologically independent experiments. One-way ANOVA with Sidak's post-hoc; ns= not significant, *, $P < 0.05$.

Previous work has shown that YopE and YpkA target Rac signaling [72, 77, 319], YopH targets the focal adhesion complex [285], and YopJ targets the MAPK signaling pathway [71, 86, 87, 295, 308-310]. All three of these host factors are key nodes in signaling pathways shown to be integral to regulating neutrophil granule release [154, 169]. However, based on data from infections with single gain-of-function mutants, inhibition of one of these pathways by an individual Yop effector is not sufficient to inhibit degranulation. This suggests that individual signaling pathways may not be completely inhibited by the effector, or alternatively, that loss of signaling through one pathway can be compensated for in the neutrophil by signaling through the other pathways.

While the latter hypothesis may be supported by our observation that YopE and YpkA, which both target the same node/pathway, are not able to inhibit degranulation, our data do not rule out the former, as some degree of signaling through this node may still occur during coinfection with the YopE and YpkA strains. To overcome this hurdle, *Y. pestis* evolved to inhibit all three signaling pathways, with inhibition of at least two being sufficient to inhibit degranulation (an example of cellular process redundancy [320]). Importantly, the signaling pathways affected by these nodes are also important for other neutrophil antimicrobial mechanisms [153, 179, 216, 321]. Therefore, by targeting these host factors, *Y. pestis* is able to simultaneously inhibit multiple arms of the neutrophil response to subvert the functions of host neutrophils.

While the contributions of YopH, YopE, YpkA, and YopJ to inhibition of degranulation were conserved for specific and azurophilic granules, coinfections with YopH and YopK only appeared to inhibit the release of azurophilic granules. Based on the described function of YopK, which is thought to primarily regulate the translocation of other Yop effectors into the host cell to evade inflammasome recognition [93], we were surprised that YopK enhanced inhibition during coinfection with YopH. While YopK is thought to act as a gatekeeper, regulating the translocation of the other effectors from inside the cell [92], it has not been shown to regulate the transport of effectors through the T3SS of other bacteria during coinfection of a cell (i.e., trans-complementation). While it is possible that during coinfection YopK is trans-regulating the levels of YopH translocated by other bacteria, it is not clear how this would enhance inhibition of degranulation of azurophilic granules or why this would not also impact specific granules.

Alternatively, it is possible that YopK has other yet-to-be defined functions in the host cells, beyond its role as a gatekeeper, that contribute to this phenotype, and future studies with YopK should be open to this possibility.

While YopE, YopH, YpkA, and YopT disrupt the host actin cytoskeleton, translocation of YopT by itself resulted in a phenotype that differed from the other three, enhanced azurophilic granule exocytosis (Figure -3-6B). Johnson et al. described Gem-interacting protein (GMIP), through RhoA GAP activity, controlling actin remodeling around the secretory Rab27a-JCF1 positive subpopulation of azurophilic granules to facilitate exocytosis [171]. Inhibition of actin polymerization by regulation of RhoA and ROCK activity releases the barrier that limits granule exocytosis [169]. Therefore, inactivation of RhoA by YopT is likely responsible for this phenotype. However, since this phenotype is specific for YopT, this suggests that YopT targeting of RhoA is spatially or temporally distinct from that of the other Yop effectors, that YopE and YpkA do not target RhoA during neutrophil infection, or that different mechanisms of RhoA inactivation by individual Yop effectors (e.g., protease cleavage versus GAP activity) may result in different degrees/rates of inactivation. Importantly, the action of the other Yop effectors inhibits this enhanced degranulation response in the context of wild-type (WT) *Y. pestis* infection to protect the bacterium from release of azurophilic granules.

Individually, YpkA, YopE, YopH, YopJ, and YopT all appear to be sufficient to inhibit LTB₄ synthesis. Synthesis of LTB₄ requires activation and re-localization of the enzyme 5-lipoxygenase (5-LO) to a membrane such as the nucleus or endoplasmic reticulum or to recently described cytosolic structures called lipidosomes [190, 322, 323]. In this active state, 5-LO rapidly converts arachidonic acid to LTA₄, which is followed by conversion to LTB₄ by LTA₄ hydrolase [189, 190]. The mechanisms leading to 5-LO translocation are not well defined. Moreover, whether the rate-limiting step for initiation of LTB₄ synthesis is re-localization to membranes or bringing 5-LO in proximity to 5-LO activating protein (FLAP) is still uncertain. However, 5-LO is known to associate with two actin-interacting proteins, growth factor receptor-bound protein 2 (Grb2) and coactosin-like protein (CLP) [324]. These interactions suggest that 5-LO translocation or interactions with FLAP require the actin cytoskeleton. This is further supported by our data, as four out of the five

effectors that inhibit LTB₄ synthesis also disrupt the actin cytoskeleton. Moreover, treatment with the actin inhibitor latrunculin A also inhibited LTB₄ synthesis in response to *Y. pestis* T3⁽⁻⁾. However, it is possible that individual effectors may inhibit the synthesis process at different steps, and identifying which steps are inhibited during *Y. pestis* infection is a future direction of our studies.

In addition to disruption of the host cytoskeleton, we have shown that *Y. pestis* is able to inhibit LTB₄ via YopJ disruption of ERK signaling. While YopJ inhibition of MAPK signaling has been extensively studied in the context of macrophages [71, 87], to our knowledge, this is the first time YopJ inhibition of MAPK phosphorylation has been confirmed in neutrophils. Specifically, our data demonstrates that both ERK and p38 phosphorylation are inhibited during *Y. pestis* infection of neutrophils in a T3SS-dependent manner and that inhibition of TAK1-ERK signaling axis by YopJ is sufficient to inhibit LTB₄ synthesis (Figure 3-8). In primary human neutrophils, TAK1 can differentially signal through ERK and p38, and phosphorylation of these MAPKs is dependent on the stimulus encountered by the neutrophils [313]. For example, stimulation of neutrophils with lipopolysaccharide (LPS) results in TAK1-mediated phosphorylation of both ERK and p38, while stimulation with granulocyte-macrophage colony-stimulating factor (GM-CSF) results in TAK1-mediated regulation of the MEK/ERK axis [102]. Importantly, signaling via the TAK1-ERK pathway has also been shown to mediate LTB₄ synthesis by neutrophils in response to other chemoattractant factors [102], supporting our findings that targeting ERK signaling by YopJ contributes to inhibition of LTB₄ synthesis during *Y. pestis* infection. Importantly, MAPK signaling not only regulates LTB₄ synthesis in neutrophils but also induction of the respiratory burst, production of cytokines, and degranulation [159, 162, 170, 188, 313, 325]. Therefore, targeting of MAPK signaling by YopJ and inhibition of TAK1-ERK-mediated signaling allows *Y. pestis* to disrupt many arms of the neutrophil response simultaneously.

In conclusion, *Y. pestis* is well adapted to surviving within the hostile host environment. Through this work, we found that neutrophils can only undergo granule exocytosis in response to *Y. pestis* infection when the T3SS is absent. In addition, the data presented here support previously described roles for YopE and YopH in inhibition of degranulation [233], while uncovering previously unidentified roles for YopJ and YpkA, which cooperatively work with YopE and YopH. Given these

new data, we can also update the current model for inhibition of degranulation by *Y. pestis* to include the information that the bacterium needs to inhibit two of three signaling pathways to completely inhibit neutrophil degranulation. Moreover, *Y. pestis* also inhibits the synthesis of the potent chemoattractant LTB₄. Without LTB₄, neutrophil recruitment to the site of infection would be impaired. Moreover, as LTB₄ also stimulates macrophages toward enhanced phagosomal degradation of microorganisms [198] and promotes dendritic cell activation of T-cell responses [197, 326, 327], both of these important mechanisms to coordinate early antimicrobial responses by host innate immune cells are likely impaired during *Y. pestis* infection. Inhibition of neutrophil degranulation and LTB₄ production likely contributes to *Y. pestis* subverting the innate immune response and maintaining a non-inflammatory host environment early during infection.

CHAPTER 5

ADDITIONAL OBSERVATIONS, DISCUSSION, AND FUTURE DIRECTIONS

RESEARCH SUMMARY

The human immune system relies upon an interplay between cell types to produce a finely tuned response to microbial infection. The keystone cells mediating the initial response to infection are innate immune cells, namely macrophages and neutrophils [165, 181]. *Y. pestis* has adapted to survive in the hostile mammalian host through subversion and inhibition of many innate immune cell responses [39, 41, 70, 166, 224]. Early inhibition of inflammatory signals maintains an environment permissive to *Y. pestis* replication [36, 40, 146, 274]. Understanding how *Y. pestis* subverts and manipulates innate immune cell responses, sheds light on how the early non-inflammatory response is maintained, allowing *Y. pestis* to survive and replicate within the host. My research efforts have contributed to further elucidating how *Y. pestis* uses Rab proteins within macrophages in order to establish a permissive intracellular environment and create a spacious YCV. I have identified eight Rab GTPases required for *Y. pestis* intracellular survival. Thus far five Rab proteins, Rab1b, 2b, 4a, 11b, and 20 have been found to be recruited to the YCV, while Rab13 is not (Figure 5-1). Manipulation of vesicular trafficking and Rab GTPases localization is likely how *Y. pestis* survives and replicates within macrophages, providing a niche that ultimately contributes to avoiding destruction by neutrophils prior to upregulating expression of the T3SS.

To better understand how neutrophils are also subverted by *Y. pestis* in order to survive and maintain an early non-inflammatory host environment as seen during pneumonic plague, I infected human neutrophils with *Y. pestis* and determined whether granule and LTB₄ release was inhibited. I demonstrated that exocytosis of all four neutrophil granule/vesicle types are inhibited through a T3SS dependent manner. I also identified previously unknown contributions of YpkA and YopJ regarding inhibition of neutrophil degranulation. Working cooperatively, YopE with YopH or YopJ, or YopH with YpkA, YopE, YopJ, and potentially with YopK, inhibit the release of specific and azurophilic granules. Two of the three signaling pathways involved in neutrophil degranulation must be inhibited by the Yop effectors in order for azurophilic and specific granule exocytosis to be inhibited (Figure 5-2). As observed for degranulation, the Ysc T3SS is also able to inhibit release of LTB₄ from neutrophils through the individual actions of YpkA, YopE, YopH, YopJ, and YopT. The

five Yop effector proteins function by disrupting actin cytoskeletal rearrangement or TAK1/ERK signaling (Figure 5-3).

My efforts to better understand how *Y. pestis* survives within macrophages and subverts neutrophil antimicrobial responses, have guided me to three broad future questions that I think are important for better understanding what occurs during *Y. pestis* infection. The first question is: Mechanistically, how does *Y. pestis* modulate host vesicular trafficking to escape degradation and establish a replicative niche? The second question is: How does *Y. pestis* inhibit the release of neutrophil antimicrobial products mechanistically? Finally, the third question is: Are the neutrophil responses to *Y. pestis* infection the same between murine neutrophils and human neutrophils? I have made additional observations and proposed future directions for this work, which are detailed in the subsequent paragraphs.

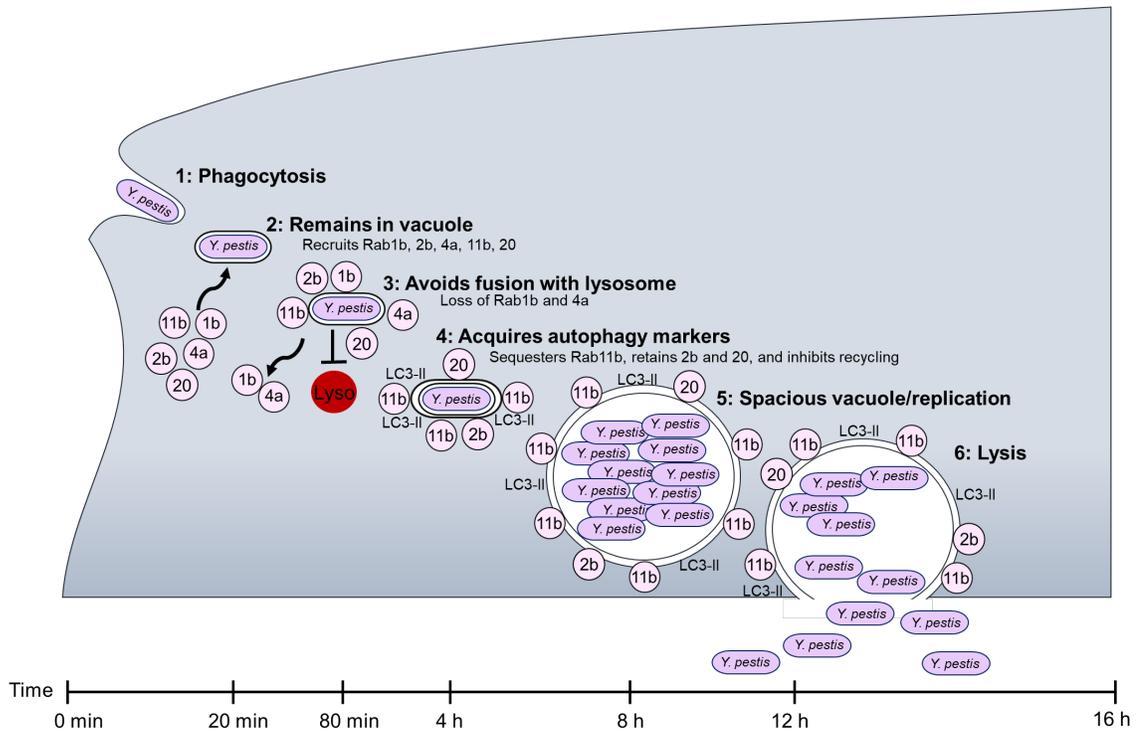
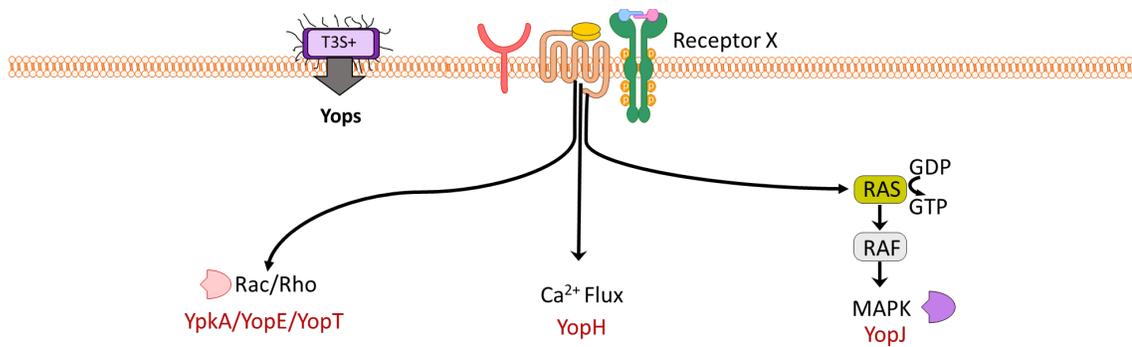


Figure 5-1: Rab GTPases localize to the YCV.

In order for *Y. pestis* to avoid degradation by macrophages, the YCV does not fuse to lysosomes and instead associates with markers of autophagy before replicating and lysing out of the cell. Many pathogens modulate Rab GTPases to alter pathogen containing vacuole trafficking events to avoid degradation. *Y. pestis* similarly uses Rab GTPases to avoid lysosomal degradation and to enter into a replicative niche. *Y. pestis* localizes with Rab1b, 2b, 4a, 11b and 20. While Rab1b and Rab4a no longer associate at late time points, Rab2b, 11b, and 20 remain to 10 h post infection.



Granule exocytosis does not occur

Figure 5-2: Inhibition of neutrophil granule release by *Y. pestis*.

Granule release from neutrophils are regulated by three different signaling nodes, the MAPK cascade, Rac/Rho signaling, and the focal adhesion complex/Ca²⁺ flux. *Yersinia pestis* injects neutrophils with seven bacterial effector proteins that interact with host proteins to inhibit signaling from occurring. Inhibition of two of the three signaling nodes important for granule release by YpkA/YopE/YopT, YopH, and YopJ prohibits neutrophils from exocytosing granules in response to *Y. pestis* infection. During infection with WT *Y. pestis*, all three pathway nodes would be inhibited for maximal suppression of neutrophil granule release.

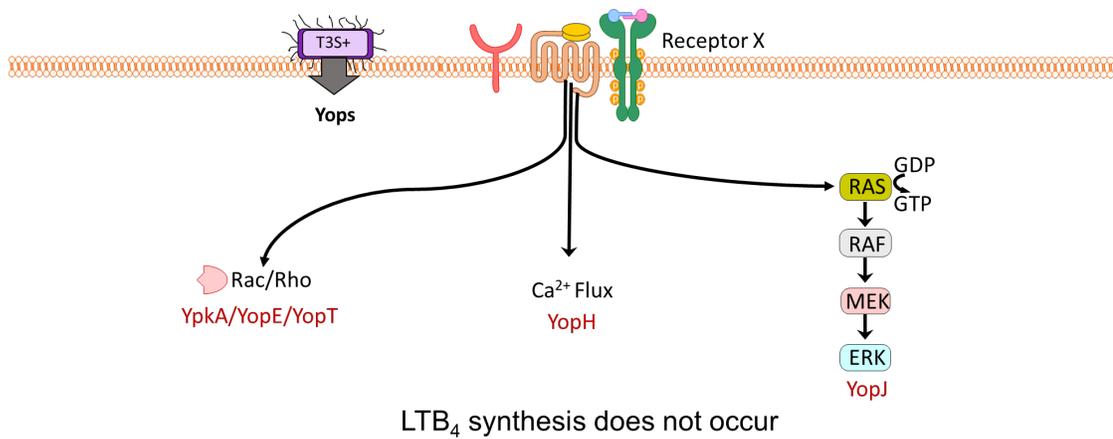


Figure 5-3: Inhibition of LTB₄ production by T3SS effector proteins.

LTB₄ production in neutrophils is regulated by multiple signaling pathways, the MAPK cascade, Ca²⁺ flux, and actin cytoskeleton rearrangement. *Yersinia pestis* injects seven bacterial effector proteins into neutrophil cytosol that interact with host proteins to inhibit signaling from occurring. Inhibition any one of the three signaling nodes by YpkA, YopE, YopT, YopH, or YopJ prohibits neutrophils from producing LTB₄ in response to *Y. pestis* infection. During infection with WT *Y. pestis*, all three pathway nodes would be inhibited for maximal suppression of LTB₄ production and release.

ADDITIONAL OBSERVATIONS AND QUESTIONS

5.1 How does *Y. pestis* survive and replicate within macrophages?

My research efforts have identified additional Rab proteins targeted by *Y. pestis* to establish a permissive intracellular environment not previously identified by the Connor et al. [119]. However, knowing that Rab GTPases are important for *Y. pestis* intracellular survival, and which Rabs co-localized to the YCV, only provides a partial story of what is happening within macrophages during infection. Further work is needed to fully understand how *Y. pestis* survives and replicates in macrophages. In the discussion of Chapter 3, I proposed several experiments to better understand the roles of Rab2b, 13, and 20 in *Y. pestis* intracellular survival. The following sections will instead focus on testing two potential hypotheses that may be used by *Y. pestis* to generate the YCV.

5.1.1 Hypothesis 1: *Y. pestis* encodes effector proteins to modulate Rab protein trafficking.

Eight macrophage Rab proteins were identified as important for *Y. pestis* intracellular survival. Other pathogens, such as *L. pneumophila* or *S. typhimurium* utilize bacterial encoded effector proteins that directly targets and modulates Rab protein activity to change the localization [328-334]. Rab1b, Rab4a, Rab11b, Rab2b and Rab20 are recruited to the YCV during infection of macrophages, this raises the possibility that *Y. pestis*, similar to other intracellular pathogens, encodes effector proteins that are delivered into the host cell to actively target and modify these Rab GTPases, ultimately leading to recruitment of them to the YCV. To date, conventional bacterial mutagenesis loss of function approaches have not yielded any potential bacterial effector proteins from *Y. pestis* required for YCV biogenesis, or that interact with Rab proteins (or Rab interacting partners), which could be explained by functional redundancy (i.e., *Y. pestis* encodes more than one effector protein that is sufficient to generate the YCV). However, now that I have identified Rab proteins required for the YCV biogenesis, we can use a host-directed approach to identify bacterial proteins that interact with these Rab proteins, bypassing complications of functional redundancy. Specifically, to identify potential bacterial effector proteins interacting with the Rab proteins known to be important for *Y. pestis* intracellular survival, I would use a protein interaction discovery tool, termed BioID [335, 336]. BioID is a useful tool for labeling interacting proteins, as it is an enzyme that biotinylates proteins within close proximity to the tagged protein of interest, in this case our

Rab GTPases of interest [335]. Fusion of BioID to individual Rab proteins could then be overexpressed in RAW264.7 cells. Upon transfection of the Rab-BioID construct, cells would then be infected with *Y. pestis* to deliver potential bacterial effector proteins into the macrophages. Proteins (bacterial and host) in close proximity to the Rab-BioID complex would be biotinylated. Lysis of the macrophages followed by pull down with streptavidin, would purify the biotin labeled proteins that were in proximity to the Rab protein. Using MS/MS on the purified proteins would allow for identification of the tagged proteins. The BioID method is advantageous for two reasons: 1) Proteins in close proximity are marked, even if the interaction is transient, allowing for identification of interactions otherwise missed due to time constrained assays; 2) BioID does not require tight interactions between the host protein and potential bacterial protein that might be disrupted through traditional pull down assays. While a temporal aspect can be incorporated to finely dissect event timelines, all interactions, whether early or late in the infection process, can be identified using BioID and harvesting at a later time post-infection. BioID results could be further validated by conventional *in vitro* biochemical protein-protein interaction assays and characterization of the YCV biogenesis process during infection with bacterial mutants in potential Rab interacting proteins.

One caveat to this approach is that if *Y. pestis* effector proteins do not directly interact with the Rab protein of interest tagged to BioID, but instead with a host Rab interacting protein, those interactions would not be identified. If the BioID approach fails to identify bacterial proteins interacting with the Rab proteins of interest, an alternative approach would be to determine if specific Rab interacting partners are required for YCV biogenesis and/or intracellular survival. This could be accomplished using RNAi for these proteins as I have shown for the individual Rab proteins. If RNAi identifies specific Rab interacting partners required for *Y. pestis* intracellular survival, BioID using those Rab interacting partners as bait could be used to identify potential bacterial proteins that they are interacting with.

5.1.2 Hypothesis 2: *Y. pestis* binds to a specific receptor to avoid phagosome maturation.

While bacterial effector proteins mediate phagosome maturation during infection with other bacteria, it is possible that *Y. pestis* may not encode effector proteins to alter vesicular trafficking

through targeting of Rab proteins. Alternatively, ligation to a receptor, or manipulation of receptor adaptors could dictate intracellular trafficking events. For example, *Chlamydia pneumoniae* interacts with the epidermal growth factor receptor (EGFR) to subvert lysosomal degradation and directly enter into the recycling pathway [337]. Since we have shown that the YCV is remodeled to resemble a recycling endosome, this raises the possibility that *Y. pestis* could use a receptor mediated mechanism similar to *C. pneumoniae* to enter the recycling pathway. However, what receptor mediates *Y. pestis* phagocytosis by macrophages is unclear.

Receptor ligation is not a novel concept in *Y. pestis* biology, several receptors have been identified that are expressed by innate immune cells and bind to different surface expressed antigens on *Y. pestis*. Formyl peptide receptor 1 (FPR1) is the most recent innate immune cell receptor shown to bind to *Y. pestis* [338]. Binding to FPR1 via T3SS tip protein LcrV, enhances delivery of Yop effector proteins into the host cell cytosol, and is detrimental to the innate immune cells due to increased targeting efficiency by the T3SS [338]. However the T3SS is not expressed upon transmission from the flea vector into the mammalian host, when *Y. pestis* is first interacting with macrophages and bacteria lacking the pCD1 plasmid, which encodes the T3SS, are still able to generate a YCV and survive within macrophages [102]. Therefore, FPR1 ligation to LcrV is unlikely to mediate uptake of *Y. pestis* by macrophages or play a role in YCV biogenesis.

Other known host receptors which bind to *Y. pestis* antigens expressed upon transmission from the flea vector are CD205 (DEC-205) and CD209 (SIGN-R1) [52, 339, 340]. CD205 and CD209 bind to Pla and the core of LPS, respectively, but whether CD205 ligation to Pla or CD209 ligation to LPS impacts vesicular trafficking within macrophages is not known. Blocking either receptor diminishes phagocytosis of *Y. pestis*. However, only partially, indicating another receptor, or perhaps binding to multiple receptors dictates *Y. pestis* uptake by macrophages [339].

TLR4 is also an intriguing possibility as a potential receptor for mediating *Y. pestis* phagocytosis. TLR4 is endocytosed upon ligation to LPS and returned to the plasma membrane through the recycling pathway, similar to EGFR. *Y. pestis* is known to modulate TLR4 signaling, by changing LPS acylation and by inhibiting MyD88 signaling [49, 51, 301]. Interestingly, TLR4 can

traffic to different intracellular compartments, such as early endosomes, phagosomes, Rab11 positive recycling vesicles, and multi-vesicular bodies depending on the signaling pathway activated (reviewed in [341]). Inhibition of TLR4 signaling, through disruption of adaptor protein recruitment modulates trafficking of TLR4 within cells [342, 343]. Interestingly, binding of *E. coli* by TLR4 induces not only signaling cascades, but phagocytosis specifically by ligation of LPS with TLR4 through the adaptor protein TRAM [343, 344]. In turn, TRAM interacts with Rab11 family of interacting proteins 2 (FIP2) [343]. Manipulation of TLR4 ligation to bacterial bound LPS and interaction with adaptor proteins presents a point where *Y. pestis* could exploit host cell signaling to its advantage. Both TLR4 and EGFR can be found in Rab11 positive endosomes for recycling back to the plasma membrane. Therefore, targeting of TLR4 (or EGFR) may allow pathogens to avoid phagolysosomal fusion. We do not clearly understand how *Y. pestis* induces uptake by macrophages, but because *Y. pestis* LPS is known to modify TLR4 signaling, it raises the possibility that binding to TLR4 may contribute to YCV biogenesis independent of direct bacterial effector recruitment. Knockdown of TLR4, EGFR, and other potential receptors (i.e CD205 and CD209), or TLR4 associated proteins (CD14 and MD-2) could be used to identify if *Y. pestis* is binding and being taken up through a receptor dependent pathway to modulate *Y. pestis* intracellular trafficking. Knockdown could be combined with other assays to evaluate whether fusion with lysosomes is impacted, or association with markers of autophagy and YCV expansion.

5.2 How are neutrophil antimicrobial responses altered during *Y. pestis* infection?

The data presented in Chapter 4 enhances our understanding of how *Y. pestis* inhibits release of neutrophil granules and LTB₄ production. However, through my work studying neutrophil responses to *Y. pestis*, I have accumulated additional observations that have raised questions for further exploration. The following paragraphs discuss these questions and potential future directions of study to better understand *Y. pestis*-neutrophil interactions.

5.2.1 Which host proteins do Yop effectors interact with in human neutrophils to inhibit signaling?

Much of our current understanding of how *Y. pestis* Yop effector proteins impact host signaling pathways comes from studies using macrophages, with little verified in neutrophils.

Additionally, several Yops have been shown to modify multiple host proteins *in vitro*, but whether all or only one of these proteins is actually targeted *in vivo* is unclear. Moreover, many studies of Yop functions have used *Y. pseudotuberculosis* or *Y. enterocolitica*, not *Y. pestis* [226, 285]. Although the T3SS and Yop effector proteins are highly conserved between the three species, there are some known differences that could influence outcomes [303]. Cumulatively, little evidence has been published that directly demonstrates which host proteins are directly targeted by Yops during *Y. pestis* infection of neutrophils. Better understanding how *Y. pestis* disrupts host cell signaling could potentially assist in mapping neutrophil signaling pathways that may differ from macrophages.

To specifically identify host proteins that are targeted by *Y. pestis* Yop effectors within neutrophils, I would use the BioID system described in Section 5.1.1. Briefly individual Yop effectors would be fused to BioID and complemented into *Y. pestis* lacking the WT copy of the Yop protein (a copy lacking a Yop effector could be transformed into *Y. pestis* to act as a negative control and YopH, known to interact with FAC proteins in neutrophils, would act as confirmation of assay viability). Human neutrophils would then be infected, cells lysed, and biotinylated proteins purified, and identified by MS/MS. Identifying all the potential interactions of Yop effectors with host proteins would be invaluable to understand how *Y. pestis* inhibits neutrophil responses.

5.2.2 How does *Y. pestis* inhibit the synthesis of LTB₄?

Production and release of LTB₄ by neutrophils is an important factor for mounting an effective inflammatory response against bacteria [201, 203]. Synthesis of LTB₄ requires 1) activation of receptor signaling, 2) activation of Ca²⁺ flux and/or MAPKs, 3) liberation of arachidonic acid, 4) phosphorylation of 5-LO and/or association with Ca²⁺, 6) translocation of 5-LO to the nucleus/other membranous compartment, 7) association with accessory proteins (i.e. FLAP), and 8) activity of LTA₄H (Figure 1-3) [189, 191, 194, 195, 345]. While these steps are known to be important for LTB₄ production, there remains aspects that are unknown. Translocation of 5-LO from the cytosol to a lipid membrane is a hallmark of active 5-LO. However, the mechanism that drives 5-LO to translocate to membranes remains unclear. 5-LO associates with CLP and Grb2, two actin interacting proteins, and yet to date a direct role for the cytoskeleton in 5-LO translocation has not

been demonstrated. Additionally, phosphorylation by MAPKs and association with Ca^{2+} are also thought to be important for translocation to a membrane, but have not been fully elucidated. The lack of knowledge regarding what is critical for 5-LO translocation/activation presents an area for further exploration. My findings have pointed to the importance of ERK activation and a dynamic cytoskeleton, yet what remains unknown is which particular step(s) in the synthesis pathway is/are directly inhibited by the Yop effector proteins to inhibit production of LTB_4 ?

5-LO activity is regulated by the MAPK pathway, association with calcium, and requires translocation. All of these signal pathways are known targets of Yop effector proteins. Therefore, activation of 5-LO is likely a key regulatory point for inhibition of LTB_4 production by *Y. pestis*. Previous studies have shown that ERK phosphorylation of 5-LO enhances activation and translocation [189, 191, 345, 346]. My work has shown that ERK phosphorylation is required for LTB_4 production in response to *Y. pestis*. Thus, it is likely that inhibition of ERK phosphorylation by YopJ inhibits phosphorylation of 5-LO, and ultimately prevents translocation to a lipid membrane. To determine whether inhibition of ERK phosphorylation impairs phosphorylation of 5-LO during *Y. pestis* infection, western blotting could be performed using antibodies specific to un-phosphorylated and phosphorylated 5-LO during infection with *Y. pestis* T3⁽⁺⁾, *Y. pestis* expressing YopJ only, or *Y. pestis* T3⁽⁻⁾. Based on my results from Chapter 4, I expect that 5-LO phosphorylation will occur in cells infected with *Y. pestis* T3⁽⁻⁾ but not those infected with *Y. pestis* T3⁽⁺⁾ or *Y. pestis* expressing only YopJ. Addition of ERK1/2 chemical inhibitor (U0126) to cells infected with *Y. pestis* T3⁽⁻⁾ will be able to determine if this inhibition is ERK specific. Whether 5-Lo phosphorylation by ERK is required for translocation to the nuclear membrane/lipidosome is not clear, but microscopy studies to evaluate cellular localization of 5-LO in relation to FLAP, the nucleus, or lipidosomes could be used in infected cells to determine if *Y. pestis* inhibits this required step in LTB_4 synthesis.

While ERK mediated phosphorylation of 5-LO is important for 5-LO activation, LTB_4 production was also inhibited by Yop effector proteins that target cytoskeleton rearrangement, but independent of MAPK signaling. Translocation of 5-LO upon activation is known to occur, although no conclusive evidence as to how the translocation occurs has been shown. Currently, there are three factors which have been proposed to drive translocation of 5-LO, phosphorylation,

association with Ca^{2+} , and movement via the actin cytoskeleton. The first two factors, phosphorylation and association with Ca^{2+} are thought to increase the affinity between 5-LO and phosphatidylethanolamine (PE) to drives 5-LO to membranous compartments rich in PE [191, 345]. Whether the increased affinity for PE is sufficient to drive translocation has not been determined. Moreover, whether both phosphorylation and association with Ca^{2+} are required, or if one or the other is sufficient, remains undetermined. A more likely scenario, is that active transport by the cytoskeleton assists in translocation and is enhanced by the increase in affinity for PE. The requirement for cytoskeleton rearrangement is supported by my finding that four Yop effectors which target the cytoskeleton can inhibit translocation, in addition to data showing 5-LO interacts with CLP and Grb2, actin binding proteins [186, 345]. Based on this information, I developed the hypothesis that *Y. pestis* inhibits LTB_4 production by disrupting not only ERK phosphorylation, but also cytoskeletal rearrangement and Ca^{2+} flux, thereby preventing translocation of 5-LO from the cytosol to membranes rich in AA. To understand the importance of Ca^{2+} flux and the role of cytoskeletal rearrangement for 5-LO activity two different approaches would need to be implemented. The first approach would aim to understand the role of Yop effectors which target the actin cytoskeleton. To do this, I would use antibodies specific to 5-LO, FLAP, and stains specific to the nuclear membrane and lipidosomes in combination with phalloidin, which binds to the actin cytoskeleton. Using these markers, I would infect neutrophils with *Y. pestis* T3⁽⁺⁾, *Y. pestis* independently expressing each of the four Yop effector proteins (YpkA, YopE, YopH, and YopT), or *Y. pestis* T3⁽⁻⁾ with or without latrunculin A pre-treatment. I would then assess 5-LO co-localization to FLAP/membrane compared to UT neutrophils as a negative control. I expect that infection with *Y. pestis* T3⁽⁻⁾ would result in co-localization to FLAP/membrane. I also expect that WT *Y. pestis* would not result in co-localization, and a similar result for the four Yop effector protein infections, as well as T3⁽⁻⁾ when latrunculin A is used as a pre-treatment. Results similar to those stated would confirm that translocation via the cytoskeleton is important for 5-LO activity. To further define the cytoskeletal signaling pathway important for 5-LO translocation, I would use inhibitors specific for Rac or RhoA in place of latrunculin A.

The second approach would aim to delineate the role of Ca^{2+} in translocation and activity of 5-LO during *Y. pestis* infection, focusing specifically on YopH. YopH inhibits signaling through the FAC and in so doing, inhibits Ca^{2+} flux in neutrophils. Therefore, it is likely that inhibition of Ca^{2+} flux by YopH impacts LTB_4 production as well. For this approach, cells would be infected with *Y. pestis* T3⁽⁺⁾, *Y. pestis* T3⁽⁻⁾, or *Y. pestis* expressing only YopH and treated with calcium ionophores or calcium chelators. Localization of 5-LO would be assessed as described above, in addition to collection of supernatants to determine LTB_4 production, described in the methods section. If the activity of YopH on Ca^{2+} flux is responsible for inhibit translocation of 5-LO, then it would be expected that the simple addition of a Ca^{2+} chelator during *Y. pestis* T3⁽⁻⁾ infection would cause 5-LO not to translocate to FLAP, and LTB_4 not to be produced. Conversely, utilization of a Ca^{2+} ionophore concomitantly with *Y. pestis* T3⁽⁺⁾ or *Y. pestis* expressing only YopH would result in translocation of 5-LO to FLAP and production of LTB_4 . Together, these studies will identify the specific molecular mechanisms used by *Y. pestis* to inhibit LTB_4 synthesis.

5.2.3 What impact does YopJ inhibition of p38 phosphorylation have on neutrophil responses?

While the MAPK pathway is required for production of LTB_4 , many other neutrophil antimicrobial responses also rely upon the MAPK pathway for activation. Some antimicrobial responses that depend on MAPK signaling include: production of the respiratory burst, granule release, and cytokine production [159, 216, 313, 347]. Signaling through the MAPK pathway is also not a simple, linear cascade, but rather, a complex, multi-branched, differentially activated network that works in a coordinated way to enact specific responses in a regulated manner [216, 313, 348]. I showed that the effector protein YopJ encoded by *Y. pestis* is sufficient to inhibit ERK signaling and block production of LTB_4 . Furthermore, I have also shown that p38 is also blocked by the activities of *Y. pestis*, but that inhibition of p38 phosphorylation was not required for inhibition of LTB_4 production (Figure 4-8). Phosphorylation of p38 is blocked by the action of YopJ (Figure 5-4), however the benefit of blocking p38 phosphorylation in neutrophils to *Y. pestis* has not been elucidated to date. Signaling through p38 is essential to activation of the respiratory burst and granule release [154, 159, 325, 347, 349], two neutrophil antimicrobial responses inhibited by the *Y. pestis* T3SS [166]. The direct inhibition of p38 was not demonstrated as the key factor regulating

these responses to *Y. pestis* infection, and the question remains whether YopJ inhibition of p38 phosphorylation is directly responsible for inhibition of the respiratory burst and degranulation. To determine whether *Y. pestis*, and specifically YopJ inhibit p38 phosphorylation in neutrophils to directly inhibit the respiratory burst and degranulation, I would use a combination of chemical inhibitors and *Y. pestis* strains to infect neutrophils and then monitor production of ROS and release of granules. Briefly, I would pretreat neutrophils with or without an inhibitor of p38 and subsequently leave cells untreated or infect with either *Y. pestis* T3⁽⁺⁾, *Y. pestis* T3⁽⁻⁾, or *Y. pestis* only expressing YopJ. I would confirm inhibition of p38 is occurring via Western blot, then I would assay granule release as outlined in the methods section, or measure ROS production with a luminol assay. If inhibition of p38 phosphorylation is required to inhibit these processes, I expect to observe reduced ROS and granule release by *Y. pestis* T3⁽⁻⁾ infected neutrophils in the presence of the p38 inhibitor. However, especially for degranulation two of three pathways may need to be inhibited by Yop effector proteins in order to fully suppress granule release. Therefore, inhibition of degranulation may only be observed when a *Y. pestis* strain expressing only YopE and YopJ, or YopH and YopJ is used. If addition of the p38 inhibitor does not inhibit ROS or degranulation, then a second inhibitor of Rac2 or Ca²⁺ flux may need to be used.

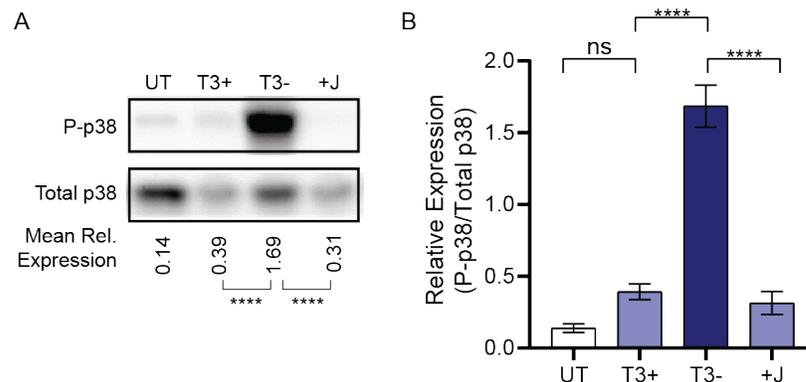


Figure 5-4: YopJ inhibits p38 phosphorylation.

Human neutrophils (8×10^6) were infected with *Y. pestis* KIM1001 with or without the pCD1 plasmid encoding the T3SS (T3⁺ or T3⁻, respectively), or expressing only yopJ (+J); MOI = 100. (A) Representative western blot with mean relative expression of phosphorylated or un-phosphorylated p38 during infection with indicated strains. (B) Mean relative expression of phosphorylated p38

during infection with indicated *Y. pestis* strains. *Y. pestis* KIM1001 = T3⁺; KIM1001 T3⁽⁻⁾ = T3⁻; KIM1001 expressing only *yopJ* = +J; Uninfected = UT. Mean \pm SEM from 3 biologically independent experiments. One-way ANOVA with Sidak's post-hoc; ns= not significant, ****, P<0.0001.

5.2.4 Does *Y. pestis* inhibit release of NETs by neutrophils?

In addition to the antimicrobial mechanisms I have previously discussed, neutrophils can also restrict bacterial growth by limiting the availability of metals through release of calprotectin, to sequester Zn, Mn, and Fe from pathogens [350]. This is part of the host response to infection termed nutritional immunity. Mechanisms used by *Y. pestis* to overcome nutritional immunity are key to infection and understanding these mechanisms is of great interest in our lab. Calprotectin composes ~40% of the cytosolic protein in neutrophils, and is normally released in response to infection independent of granule release [157].

Having observed that *Y. pestis* actively inhibits other exocytic processes in neutrophils (i.e. degranulation) I also predicted that that *Y. pestis* inhibits release of calprotectin by neutrophils. To test this hypothesis human neutrophils were treated with *Y. pestis* KIM1001 with or without the T3SS (T3⁺ or T3⁻), *E. coli*, PMA (positive control), or co-treated with *Y. pestis* expressing the T3SS (T3⁺) and PMA for 30 min, 1 h, or 3h. Release of calprotectin into the supernatant was measured by ELISA (Figure 5-5). At 30 min post-infection, calprotectin was not detected in any of the samples collected. However, by 1 h post-infection, PMA-treated neutrophils began to release calprotectin slightly over that measured for UT, while the other treatments remained below UT levels. By 3 h post-infection, PMA treatment induced release of calprotectin to significantly higher levels ($p > 0.001$). Intriguingly, co-treatment with *Y. pestis* T3⁽⁻⁾ and PMA did not result in increased calprotectin release similar to that seen for PMA alone at 3 h PI (Figure 5-5A). These data indicate that at least *in vitro*, *Y. pestis* is able to inhibit calprotectin release by human neutrophils. Infection with *Y. pestis* T3⁽⁻⁾ by 3 h post infection also did not result in release of calprotectin over that observed for UT, and the level of calprotectin is similar to *Y. pestis* T3⁽⁺⁾ at 3 h post infection. Taken together, this data indicated release of calprotectin from human neutrophils is being inhibited by *Y. pestis* in a T3SS independent manner.

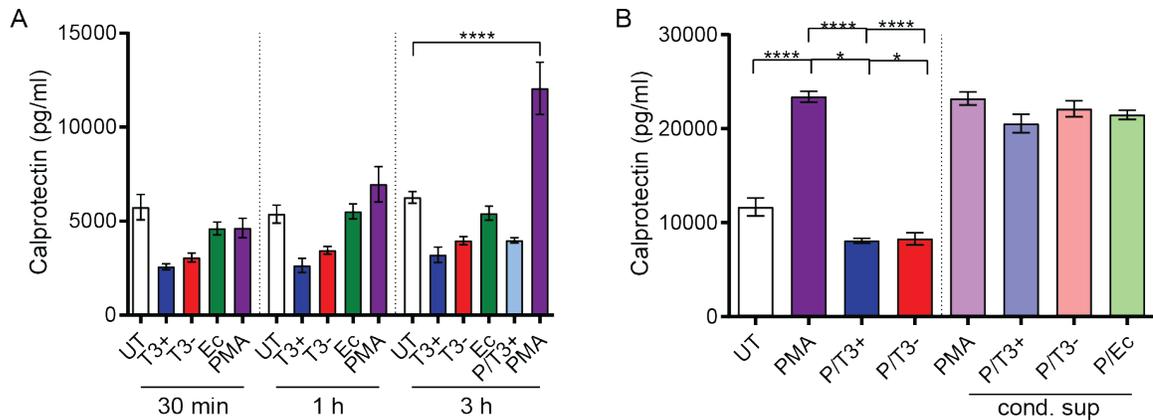


Figure 5-5: *Y. pestis* inhibits release of calprotectin from human neutrophils.

Human neutrophils (4×10^6) were infected with *Y. pestis* KIM1001 with or without the pCD1 plasmid encoding the T3SS (T3⁺ or T3⁻, respectively), *E. coli*, or treated with PMA and infected with *Y. pestis* at the same time; MOI=100. (A) Release of Calprotectin was measured after 30 min, 1 h, or 3h after infection in supernatant. (B) Calprotectin in supernatant from PMA post treated with *Y. pestis* for 3 h or supernatant from neutrophils that were mixed with an equivalent number of *Y. pestis* or *E. coli* as was in the original cell infection (3 h). Mean \pm SEM from 3 biologically independent experiments. One-way ANOVA with Dunnett's *post hoc* test to UT or PMA. **, $P < 0.01$; ****, $P < 0.0001$.

The absence of calprotectin in the culture supernatant in both *Y. pestis* T3⁽⁺⁾ and T3⁽⁻⁾ indicated that calprotectin levels are controlled by a T3SS independent mechanism. One possible mechanism may be that the bacterium does not inhibit release, but degrades calprotectin once released. *Y. pestis* encodes several proteases that may be contributing to degradation of calprotectin. In order to test whether *Y. pestis* uses a protease to degrade calprotectin, supernatant from the PMA 3 h treated neutrophils was incubated at 37°C for 3 h, either without bacteria, with an equivalent amount of *Y. pestis* (T3⁽⁺⁾ or T3⁽⁻⁾) or with *E. coli* to the bacteria to volume ratio initially incubated with neutrophils. Incubation of PMA supernatant with *Y. pestis* T3⁽⁺⁾, *Y. pestis* T3⁽⁻⁾, or *E. coli* did not result in a reduction of calprotectin present (Figure 5-5B). This observation suggests that *Y. pestis* does not degrade calprotectin released into the supernatant through the activity of a protease, and instead suggests that calprotectin release is inhibited by *Y. pestis*.

The leading mechanism proposed for calprotectin release is through a mechanism referred to as Neutrophil extracellular trap (NET) release or NETosis [157, 350, 351]. NETosis is a process where decondensed DNA is extruded by neutrophils, and is thought to be an active process to “trap” pathogens in order to limit their dissemination [178, 278]. NETosis occurs when granule proteins, such as neutrophil elastase (NE) are released into the cytosol through activation of PAD4, and subsequently enters into the nucleus [175]. NE degrades histones to de-condense the genetic material and allows for DNA extrusion into the extracellular matrix. In addition to DNA, these NETs are decorated with granule and cytosolic proteins such as elastase, myeloperoxidase, and calprotectin, which can directly kill or metabolically starve the pathogen [174].

While previous studies have reported that *Y. enterocolitica* and *pseudotuberculosis* stimulate NET release due to expression of the bacterial adhesin protein YadA [352], YadA was lost during divergence of *Y. pestis* from *Y. pseudotuberculosis* and the degree of NETosis in response to *Y. pestis* has not been clearly evaluated. However, my preliminary data demonstrating an absence of calprotectin release in response to *Y. pestis* strongly suggests that *Y. pestis* inhibits NETosis. To test this idea, I would adhere neutrophils to coverslips and infect the cells with *Y. pestis* or the enteric *Yersinia*. I would then use antibodies for calprotectin, neutrophil elastase, and myeloperoxidase to measure NET release. If *Y. pestis* inhibits NETosis, I expect to observe diminished levels of CP, NE, and MP around neutrophils infected with *Y. pestis* compared to the enteric *Yersinia* and the positive control, PMA. However, if *Y. pestis* does not suppress NETosis, this would indicate that calprotectin is being released by a mechanism independent of NETosis.

5.2.5 Does the exosome profile released by neutrophils change upon infection with *Y. pestis*?

My data strongly supports that *Y. pestis* is capable of inhibiting exocytic pathways by human neutrophils, including the release of granules and calprotectin. Exosomes are small vesicles released by cells through fusion of multivesicular bodies to the plasma membrane and can contain proteins, RNAs, and lipids [353-355]. These vesicles have increasingly been the topic of host cell communication studies [193, 194, 356]. Mounting evidence shows that exosomes are released with contents to relay messages to other cells nearby, or even ones far away [353, 356]. Incorporating DNA, RNA, proteins, or lipids within a membrane bound envelope increases molecular stability and

allows for enhanced traversing of distances to relay information that would otherwise quickly be degraded in the extracellular milieu [193, 194, 354]. Release of exosomes is dependent on the actin cytoskeleton [357], a known target of Yop effector proteins [72, 74-76, 358]. However, since *Y. pestis* has been shown to inhibit other arms of exocytosis, it is intriguing to consider that *Y. pestis* may also inhibit the release of exosomes to curtail innate immune cell responses to infection.

The first question that would need to be answered to test this hypothesis is whether *Y. pestis* completely inhibits exosome release similar to degranulation? To answer this question, I would infect human neutrophils with *M. bovis* as a positive control [359], *Y. pestis* T3⁽⁺⁾ or *Y. pestis* T3⁽⁻⁾, isolate exosomes using ultracentrifugation, and quantify the amount of exosomes released by each sample using an ELISA. If *Y. pestis* inhibits exosome release, I would expect fewer exosomes from neutrophils infected with *Y. pestis* T3⁽⁺⁾ compared to *M. bovis*. Moreover, if exosome inhibition is dependent on the T3SS, then the concentration of *Y. pestis* T3⁽⁻⁾ exosomes would be significantly greater than *Y. pestis* T3⁽⁺⁾

The second question that would need to be explored is if *Y. pestis* infection modifies exosome content (this could occur in addition to inhibition of release or separately). To determine if the exosome content differs between UT neutrophils, neutrophils infected with a non-pathogenic bacteria such as *E. coli*, and neutrophils infected with *Y. pestis*, I would infect human neutrophils and then isolate exosomes as described above. I would standardize the number of exosomes analyzed and then use lipidomics, proteomics, and transcriptomics to analyze differences between the samples. If differences are observed, then the impact of these exosomes on innate immune cell function could be explored. This study could be further extended to *in vivo* *Y. pestis* infection of mice, where exosomes could be isolated from *Y. pestis* T3⁽⁺⁾ and T3⁽⁻⁾ infected mouse tissues, such as the lungs or lymph nodes. If the exosome profile is different between T3⁽⁺⁾ and T3⁽⁻⁾ infected mice, it would be interesting to determine if exosomes from the *Y. pestis* T3⁽⁻⁾ infected mice could be transferred to naïve mice to induce control of the *Y. pestis* T3⁽⁺⁾ infection.

5.3 What is the impact of LTB₄ signaling on plague?

5.3.1 Does exogenous addition of LTB₄ enhance the degradative capacity of innate immune cells?

LTB₄ is critical to controlling infection caused by many pathogens [203, 208-210, 360]. The importance of LTB₄ in controlling infection is due to the ability of LTB₄ to stimulate enhanced phagocytosis, improved microbial killing, and trafficking of innate immune cells to the site of infection, via establishment of a chemotactic gradient [203, 206]. Exogenous addition of LTB₄ enhances the innate immune response and can foster immune cell mediated clearance of infection [198]. Since I have shown that *Y. pestis* actively inhibits LTB₄ release from human neutrophils, and LTB₄ influences immune cell antimicrobial responses, I hypothesize that exogenous addition of LTB₄ to innate immune cells (i.e. neutrophils and macrophages) would enhance killing of *Y. pestis in vitro*. To test this, human macrophages and neutrophils would be isolated and adhered to separate 96-well plates. I would add exogenous LTB₄ (a range of concentrations) to the phagocytic cells either prior to or at the time of *Y. pestis* infection, and survival of *Y. pestis* would be monitored over time. The ability to kill *Y. pestis* would be compared to the cells which did not receive LTB₄. Based on the ability of LTB₄ to enhance the antimicrobial activities of phagocytic cells, I would expect that the macrophages and neutrophils treated with LTB₄ and infected with *Y. pestis* would show an enhanced killing of *Y. pestis*, over that observed for cells which were not treated with LTB₄. To verify the activity is attributable to LTB₄, chemical inhibitors of BLT1, the primary receptor could be used to inhibit signaling through the primary receptor, or inhibit BLT1 signaling using blocking antibodies.

5.3.2 Could administration of LTB₄ enhance host resistance to pneumonic plague?

Although there is much that can be learned from *in vitro* studies with immune cells, it is impossible to fully model the complex and dynamic interactions that occur between multiple cell types as occurs *in vivo*. The murine model of plague is the most commonly used laboratory model used to study *Y. pestis* virulence, and disease in mice recapitulates many of the hallmarks of plague observed in human, included delayed inflammation, infection of draining lymph nodes, bubo

formation, and necrosis of tissue [85, 110]. Therefore, while I have shown inhibition of neutrophil responses *in vitro*, in the future it would be desirable to translate these studies into an *in vivo* model like the mouse to better understand the consequences of these interactions in the context of the entire immune system.

The inhibition of LTB₄ by *Y. pestis in vitro* suggests LTB₄ should not be released during *in vivo* infection either. However, neutrophils are not the only cell type capable of producing LTB₄, other immune cells, such as macrophages and mast cells are also capable of producing LTB₄, which many release LTB_{4 in vivo} [282, 322]. To ascertain if LTB₄ is produced during *in vivo* infection, mice were infected via intranasal instillation during a pilot study. Briefly *Y. pestis* with or without the T3SS (T3+ or T3-, respectively), or the negative control, PBS, was instilled into the mouse lungs. BALF was collected at 12 h PI, concentrated using a C18 column, re-suspended in H₂O, and LTB₄ was measured. Infection of mice via intranasal instillation of either *Y. pestis* strain did not result in a significant increase in LTB₄ released (Figure 5-6C). Together, these data suggest *Y. pestis* infection does not release LTB₄, but additional time points should be examined to completely characterize the LTB₄ response during pneumonic plague. A caveat to this data is that while LTB₄ does not appear to be released upon infection of mice with *Y. pestis*, infection with a pathogen known to induce release of LTB₄ in the lungs, such as *Klebsiella pneumoniae*, would provide further evidence that LTB₄ can be released, but that *Y. pestis* does not stimulate release or actively inhibits LTB₄ release [198, 203].

However, these preliminary data support the hypothesis that inhibition of the LTB₄ mediated response contributes to the non-inflammatory environment associated with early plague. To understand whether exogenous addition of LTB₄ increases resistance to WT *Y. pestis* during *in vivo* infection, I would infect mice with WT *Y. pestis* that have received exogenous LTB₄. (dose and timing of administration could be titrated), monitoring bacterial proliferation and host survival as a measurement of efficacy. If inhibition of LTB₄ contributes to the non-inflammatory environment associated with pneumonic plague, I expect that the mice treated with LTB₄ would have less *Y. pestis* CFU counts and would survive longer than the mice that were not treated with LTB₄. One caveat to this approach is that LTB₄ is highly inflammatory. Treatment of mouse lungs with LTB₄

could cause detrimental inflammation over that beneficial to clearing the *Y. pestis* infection, which could lead to damage of the lung tissue, pneumonia, and death. Careful titration would be needed to determine an effective dose, that doesn't stimulate deleterious effects, within the mouse lungs.

5.4 Do murine neutrophils release LTB₄ in response to *Y. pestis*?

While mice are widely used to model human infection, it is widely recognized that human and mouse neutrophils differ in their responses to bacteria, and I described some of these differences in Chapter 1. Data published near the when I published my data on degranulation indicates that *Y. pestis* is also able to inhibit degranulation in murine neutrophils [361]. However, the LTB₄ response by murine neutrophils to *Y. pestis* has not been explored. Therefore, to begin to answer this question, bone marrow derived neutrophils (BMNs) from C57Bl/6 mice were isolated and infected with *Y. pestis* KIM1001 with or without the T3SS (T3⁺ and T3⁻, respectively). After 30 min of infection, supernatant was collected and release of LTB₄ was measured (Figure 5-6). As observed for human neutrophils, infection with *Y. pestis* T3⁽⁺⁾ did not result in significant LTB₄ secretion over untreated BMNs. Surprisingly, BMNs infected with *Y. pestis* T3⁽⁻⁾ also did not release LTB₄. This lack of LTB₄ production was likely not due to the maturity of BMNs compared to peripheral neutrophils, since infection with *E. coli* resulted in production of LTB₄ (Figure 5-6A). These findings with murine BMNs are in contrast to my previous studies with human neutrophils, and raise interesting questions about potential differences in LTB₄ signaling between mouse and human neutrophils that should be explored further.

Generally, mice produce a more toleragenic response to infection than humans, which may be why LTB₄, an inflammatory mediator, is released by human neutrophils and not murine neutrophils in response to *Y. pestis* [218]. The lack of a LTB₄ response in murine BMNs compared to human neutrophils implies a difference exists in the host cell receptor recognition or downstream signaling from the receptor. For *Y. pestis* infection specifically, several differences between human and murine receptors have previously been identified, such as recognition of *Y. pestis* LPS [43, 50, 338]. Production of LTB₄ is dependent on signaling from receptors to the MAPK pathway and Ca²⁺ flux. Therefore, to better understand how murine neutrophils respond to *Y. pestis* infection versus human neutrophils, I would begin by looking at whether signaling through the MAPK pathway is

occurring in BMNs similar to what I have observed for human neutrophils (i.e. *Y. pestis* T3⁽⁻⁾ stimulates phosphorylation of ERK and p38). I would also assess the role of Ca²⁺ flux in murine and human neutrophils and the impact on production of LTB₄, to determine if the pathway is functioning in a similar manner in both cell types. To do this, I would use a Ca²⁺ ionophore to flood the neutrophils and assay LTB₄ production. Subsequently, to understand transcriptional differences occurring between the human and murine neutrophils in response to *Y. pestis* infection, I would perform RNAseq on highly purified neutrophils from humans and mice to directly compare the differential responses enacted by each cell type to *Y. pestis* infection. While the mechanisms may differ, it is important to stress that *Y. pestis* is able to evade LTB₄ synthesis by both murine and human neutrophils. The ability to evade LTB₄ synthesis supports my central hypothesis that inhibition of the normal LTB₄ response to infection contributes to the ability of *Y. pestis* to establish a non-inflammatory environment.

These preliminary data indicate that murine neutrophils are unable to mount a LTB₄ response to *Y. pestis*, which also raises the question of whether this is specific for *Y. pestis*, or applies to the enteric *Yersinia* pathogens. To begin to explore this question, I infected BMNs with *Y. pseudotuberculosis* and *Y. enterocolitica* and compared the LTB₄ response to *Y. pestis* or *E. coli* (Figure 5-6B). Like *Y. pestis*, infection with *Y. pseudotuberculosis* did not result in increased release of LTB₄ from the BMNs. However, infection with *Y. enterocolitica* did cause an increase in LTB₄ release over UT, although not to the level *E. coli* induced. To determine whether *Y. pestis* and *Y. pseudotuberculosis* actively inhibit release of LTB₄ from BMNs, or if release is simply not stimulated, neutrophils were co-infected with *E. coli* and each *Yersinia* species expressing the T3SS (+/Ec). Co-infection of BMNs released LTB₄ to a similar level as that observed for *E. coli* alone, suggesting *Y. pestis* and *Y. pseudotuberculosis* do not actively inhibit release of LTB₄ from BMNs, but rather they do not stimulate release (Figure 5-6B).

While *Y. pestis* and *Y. pseudotuberculosis* do not trigger release of LTB₄, *Y. enterocolitica* and *E. coli* do trigger release from mouse neutrophils. Some factor seems to have been lost or gained during the divergence of *Y. pseudotuberculosis* and *Y. enterocolitica* from their common ancestor, that is responsible for the difference in LTB₄ response observed between human and

mouse neutrophils. To understand what factor is different between *Y. pseudotuberculosis* and *Y. enterocolitica* a genomic analysis between the two species could be performed. Alternatively, a TnSeq library of *Y. enterocolitica* could be used to infect mouse bone marrow neutrophils in a high throughput screen to determine what is triggering LTB₄ release. The hits could be compared back to *Y. pseudotuberculosis* and *Y. pestis* to verify lack of expression or modification upon divergence from *Y. enterocolitica*.

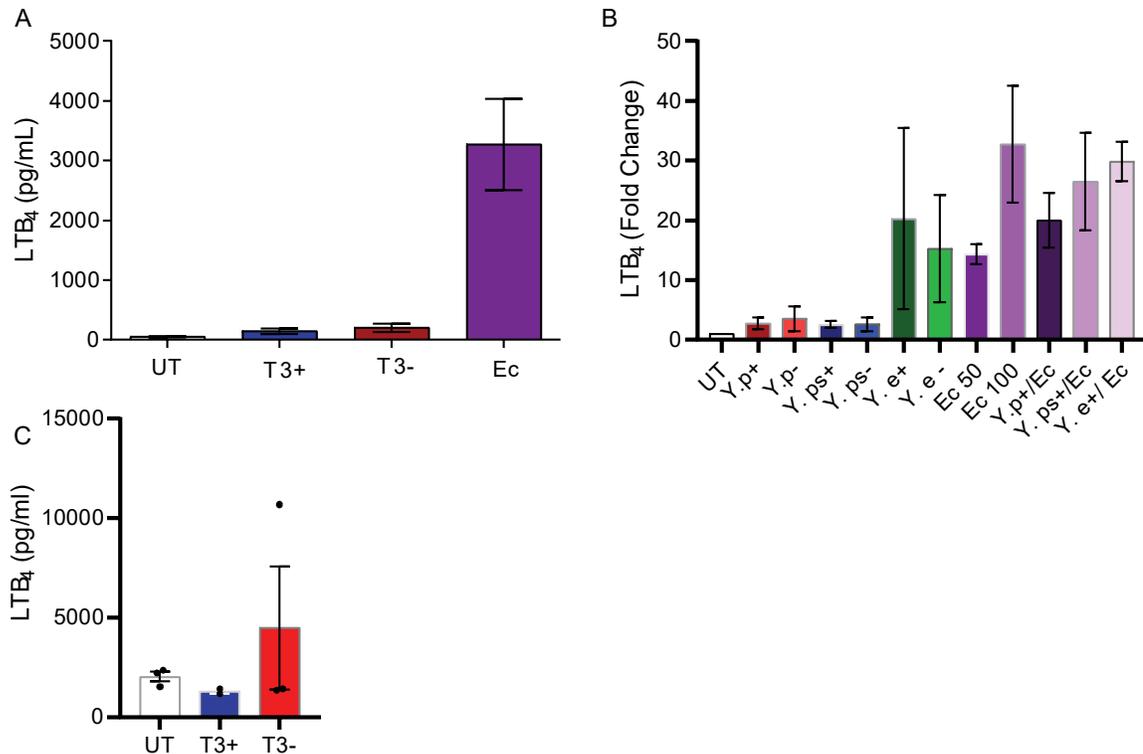


Figure 5-6: LTB₄ is not release from mouse phagocytes.

(A) Bone marrow derived mouse neutrophils (1×10^6) were infected with *Y. pestis* KIM1001 or CO92, with or without the pCD1 plasmid encoding the T3SS (T3+ or T3-, respectively), or *E. coli* (Ec) MOI=100. Release of LTB₄ was measured after 30 min of infection in supernatant. (B) Bone marrow derived mouse neutrophils (1×10^6) were infected with *Y. pestis* KIM1001 or CO92, *Y. pseudotuberculosis*, or *Y. enterocolitica* with or without the pCD1 plasmid encoding the T3SS (Y.p+, Y.p-, Y.ps+, Y.ps-, Y.e+, or Y.e-, respectively), or *E. coli* (Ec) alone or mixed 1:1 with the indicated *Yersinia* strain; final MOI=100, except for *E. coli* alone at MOI=50 and release of LTB₄ was measured 30 min after infection in the supernatant. Mean \pm SEM from 2-9 biologically

independent experiments. (C) LTB₄ in BALF from C57Bl6 mice were infected with fully virulent *Y. pestis* or lacking the pCD1 plasmid, T3+ and T3-, respectively. At 12 h post-infection, BALF was collected and LTB₄ was concentrated using a C4 column. Mean \pm SEM from 3 mice for each sample.

CONCLUSIONS

Yersinia pestis has masterfully adapted to subverting destruction by the innate immune system by subverting destruction mediated by both macrophages and neutrophils. The ways in which *Y. pestis* subverts antimicrobial responses utilized by the respective cell types differs greatly. However, many questions still remain regarding the exact mechanisms used by *Y. pestis* to subvert macrophage and neutrophil antimicrobial responses. The work presented here, in addition to the observations I have made, aim to address those questions. I have presented data demonstrating that *Y. pestis* relies on eight host Rab GTPases to survive and replicate within macrophages, and that five Rabs are co-localized to the YCV. The mechanistic roles these Rab GTPases play during *Y. pestis* intracellular survival are areas for continued research.

Survival in macrophages provides *Y. pestis* the needed time to increase T3SS expression for survival outside of macrophages. Upon expression and exiting from macrophages, the T3SS inhibits further phagocytosis, and functions to combat antimicrobial defense mechanisms mounted by neutrophils. I demonstrated that *Y. pestis* inhibits release of all four neutrophil granule subtypes, and production of LTB₄ through inhibition of the MAPK pathway. Furthermore, I have presented evidence that not only granule and LTB₄ release are modified during *Y. pestis* infection, but also that other neutrophil responses may be modified as well. I also found that the ways in which human and mouse cells respond to *Y. pestis* infection are not always similar. Understanding whether a phenotype recapitulates in a mouse model and why differences between human cells and mouse cells occur will allow us to better understand how *Y. pestis* causes disease in different hosts. Together, the information obtained from this work and future studies will enable us to understand how *Y. pestis* maintains an early non-inflammatory host environment, hopefully leading us to a way in which *Y. pestis* infection of humans can be eliminated.

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APPENDIX

Chapter III has previously been published, the acknowledgements are listed below. Chapter III was published in *Infection and Immunity*, which is an ASM owned journal. The journal gives permission to publish Chapter III under the exemption of dissertations.

Chapter IV

Acknowledgements

We would like to thank Terri Manning, Irina Miralda, and Keith C. Klaes for isolation of human neutrophils and for helpful discussions. This work was supported by NIH grants GM125504 and AI119557 (MBL), a Collaborative Research Grant from the University of Louisville's Center for Predictive Medicine for Biodefense and Emerging Infectious Disease (MBL and SMU), and in part by a grant from the Jewish Heritage Fund for Excellence Research Enhancement Grant Program at the University of Louisville, School of Medicine (MBL).

CURRICULUM VITAE

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EDUCATION

- Bloomsburg University of Pennsylvania* (GPA: 3.95) August 2008 – May 2012
Bloomsburg, Pennsylvania
B. S. Biology
Minor: Chemistry
Graduated: Summa Cum Laude
- University of Louisville* (GPA: 3.90) August 2013 – Present
Louisville, Kentucky
M.S. Microbiology and Immunology June 2015
Mentor: Matthew Lawrenz, Ph.D.
Ph.D. Microbiology and Immunology March 2020
Graduate Dean's Citation Recipient
Mentor: Matthew Lawrenz, Ph.D.
Dissertation title: Modulation of host innate immune cells by *Yersinia pestis* to create a permissive environment for replication

HONORS AND AWARDS

- Geisinger Janet Weis- Research Internship May – August 2011
Danville, Pennsylvania
- Phi Kappa Phi (Interdisciplinary Honors Society) April 10th 2011
- Avanti Poster Prize -top poster presentation at Phagocytes Gordon Research Conference.
The Yin and Yang of Phagocytes: Regulators of Human Health and Disease.
Waterville Valley, NH June 15, 2017
- Midwest Microbial Pathogenesis Conference Travel Award for encouraging young scientists to present research at a conference. (Awarded based on Abstract)
Notre Dame- North Bend, IN August 25-27, 2017
- Presidential Award Finalist and Travel Award Recipient-One of three top abstracts selected to present at Society of Leukocyte Biology Conference.
Chandler, AZ October 15, 2018

ORAL PRESENTATIONS

Regional and International Meetings

- COST Research Day, (Dec 9th, 2011). Bloomsburg University of Pennsylvania, Bloomsburg, PA
"The Role of YAP in Hydra Regeneration"
- Microbiology & Immunology Seminar Series (Dec 17th, 2015). University of Louisville, Louisville, KY. "Exploitation of host Rab proteins by *Yersinia pestis* during intracellular infection"
- Microbiology & Immunology Seminar Series (February 9th, 2017). University of Louisville, Louisville, KY. "Interplay of *Yersinia pestis* with neutrophils and macrophages."

- Center for Predictive Medicine Retreat (November 15th, 2016). University of Louisville, Louisville, KY. "Response of human neutrophils to *Yersinia pestis* infection."
- ASM Biothreats Conference (February 11, 2018). Baltimore, MD. "Exploitation of Host Rab GTPases by *Yersinia pestis* Facilitates Intracellular Survival"
- Society of Leukocyte Biology (October 15, 2018). "Mico manipulator: *Yersinia pestis* actively alters the neutrophil response". Chandler, AZ.
- Center for Predictive Medicine Research Symposium (February 25, 2019). "*Yersinia pestis* inhibits PMN degranulation." University of Louisville, Hurstbourne, KY.

POSTER PRESENTATIONS

Regional and International Meetings

- Research! Louisville, Louisville, KY (Sept 16th, 2014). "Host Rab1b Is Required for *Yersinia pestis* Intracellular Survival"; *Amanda R. Pulsifer, Michael G. Connor, Jarrod M. Pennington, and Matthew B Lawrenz.
- Membrane Trafficking and Signaling Symposium, Louisville, KY (October 3rd, 2014). "Host Rab1b Is Required for *Yersinia pestis* Intracellular Survival"; *Amanda R. Pulsifer, Michael G. Connor, Jarrod M. Pennington, and Matthew B. Lawrenz.
- Research! Louisville, Louisville, KY (2015). "Manipulation of Host Rab GTPases by *Y. pestis*"; *Amanda R. Pulsifer, Michael G. Connor, and Matthew B Lawrenz.
- Midwest Microbial Pathogenesis Conference. Urbana Champaign, IL (Sept 24th, 2016). "Exploitation of Host Rab GTPase by *Y. pestis*"; *Amanda R. Pulsifer, Michael G. Connor, and Matthew B Lawrenz.
- Gordon Research Conference, The Yin and Yang of Phagocytes, Waterville Valley, NH (June 2017). "Exploitation of Host Rab GTPases by *Yersinia pestis* Facilitates Intracellular Survival"; *Amanda R. Pulsifer, Michael G. Connor, Donghoon Chung, Eric C. Rouchka, and Matthew B. Lawrenz.
- Midwest Microbial Pathogenesis Conference. Notre Dame, IN (Sept 9th, 2016). "Exploitation of Host Rab GTPases by *Yersinia pestis* Facilitates Intracellular Survival"; *Amanda R. Pulsifer, Michael G. Connor, Donghoon Chung, Eric C. Rouchka, and Matthew B. Lawrenz.
- ASM Biothreats Conference. Baltimore, MD (Feb 13th, 2018). "Exploitation of Host Rab GTPases by *Yersinia pestis* Facilitates Intracellular Survival"; *Amanda R. Pulsifer, Michael G. Connor, Donghoon Chung, Eric C. Rouchka, and Matthew B. Lawrenz.
- Research! Louisville, Louisville, KY (2018). "*Yersinia pestis* actively inhibits PMN degranulation"; *Amanda R. Pulsifer, Shane A. Reeves, Sobha Bodduluri, Haribabu Bodduluri, Silvia M. Uriarte, and Matthew B Lawrenz.
- Society of Leukocyte Biology Conference. Chandler, AZ (Oct 9th, 2018). "Mico manipulator: *Yersinia pestis* actively alters the neutrophil response"; *Amanda R. Pulsifer, Shane A. Reeves, Sobha Bodduluri, Haribabu Bodduluri, Silvia M. Uriarte, and Matthew B. Lawrenz.

PUBLICATIONS

- Connor, M.G., A.R. Pulsifer, C.T. Price, Y. Abu Kwaik, M.B. Lawrenz. 2015. *Yersinia pestis* requires host Rab1b for survival in macrophages. *PLoS Pathog.* 2015 Oct 23;11(10):e1005241. eCollection 2015 Oct.
- VanCleave, T.T., A. R. Pulsifer, M.G. Connor, J.M. Warawa and M. B. Lawrenz. 2017. *Impact of gentamicin concentration and exposure time on intracellular Yersinia pestis*, *Frontiers in Cellular and Infection Microbiology*
- Connor, M.G., A.R. Pulsifer, D.H. Chung, E. Rouchka, B. K. Ceresa, M.B. Lawrenz. 2018. *Yersinia pestis* targets the host endosome recycling pathway during the biogenesis of the *Yersinia* containing vacuole to avoid killing by macrophages. *mBio.* 2018 Jan 23;11(10):e1005241. eCollection 2018 Jan.
- Pulsifer A.R., VanCleave T.T., Lawrenz M.B. (2019) Intracellular Assays to Monitor Survival and Growth of *Yersinia pestis* Within Macrophages. In: Vadyvaloo V., Lawrenz M. (eds) *Pathogenic Yersinia. Methods in Molecular Biology*, vol 2010. Humana, New York, NY.

5. Bowen W., L. Batra, A.R. Pulsifer, E.S. Yolcu, M.B. Lawrenz, and H. Shirwan. 2019. Timing of administration of SA-4-1BBL adjuvant influences protective efficacy of rF1-V vaccine against plague. *Vaccine*. 10;37(38):5708-5716. eCollection 2019.
6. **Pulsifer, A.R.**, Vashishta A., Reeves, S.A., Wolfe, J.K., Palace S.G., Proulx M.K., Goguen J., Bodduluri, S.R., Haribabu B., Uriarte, S.M., Lawrenz, M.B. 2020. Redundant and cooperative roles for *Yersinia pestis* Yop effectors in the inhibition of human neutrophil exocytic responses revealed by gain-of-function approach. *Infect Immun* 88:e00909-19. eCollection 2020.

MENTORSHIP

Individuals trained in laboratory techniques:

- Tiva VanCleave – Confocal Microscopy (General and Live cell), Mammalian cell culture, *in vitro* infections, CFU enumeration
- Sarah Price – Animal models of infection (Intranasal inoculation, intradermal needle inoculation), animal husbandry
- Stephanie Lunn – Sterile Technique, Mammalian cell culture, *in vitro* infections, CFU enumeration
- Shane Reeves – Sterile Technique, PCR/Cloning
- Amanda Brady – Sterile Technique, mammalian cell culture, animal husbandry, RNA interference, mammalian cell plasmid based protein overexpression, bacterial electroporation, PCR/Cloning, CFU enumeration, confocal microscopy.

COMMUNITY ENGAGEMENT

Member of:

- | | |
|---|-----------------------|
| 1. Tri Beta (Biology Honors Society) | Fall 2011-Spring 2012 |
| 2. Science Policy and Outreach Group | |
| • Treasurer | Sept 2014 – Sept 2015 |
| 3. Microbiology and Immunology Student Organization | |
| • Treasurer | August 2014-July 2015 |
| • President | August 2016-July 2017 |

Volunteer activities:

- Assisted with set-up of Annual Health Sciences Symposium for nursing and allied health students (April 14th, 2011)
- Volunteered at the Tri Beta Northeast District Conference. (March 2012)
- Siblings and Children's weekend science demonstrations with Tri-beta club. (May 2012)
- Assisted with activities and lead a demonstration for high school students on microbial testing to introduce graduate study as a career option with SPOG outreach (August 15th, 2015)
- Volunteered for departmental recruitment tours, poster sessions, and speaking to recruits about life in Grad school and Louisville in general. (2014-2019)
- Welcomed incoming 1st year IPIBS students and talked about life as a graduate student, what to expect for their Ph.D. and pitfalls to avoid. (August 4th, 2017)
- Girls Rule STEM-H Summit- interacted with attending parents by escorting them around campus discussing the STEM-H related research and activities that University of Louisville hosts. (April 6th, 2019)
- MISTRE committee member to select high school students from underprivileged areas to complete a summer internship program with the Department of Microbiology and Immunology at the University of Louisville. (May 2019)